

MATH 595 - LECTURE 5

Theorem

$\Pi_1(M, \mathcal{F})$ and $\text{Hol}(\Pi, \mathcal{F})$ have natural Lie groupoid structures and $\underline{\Phi}$ is a Lie groupoid homomorphism which is a local diffeo.

Proof.

We will describe a base for the topology, that are also the domains of charts.

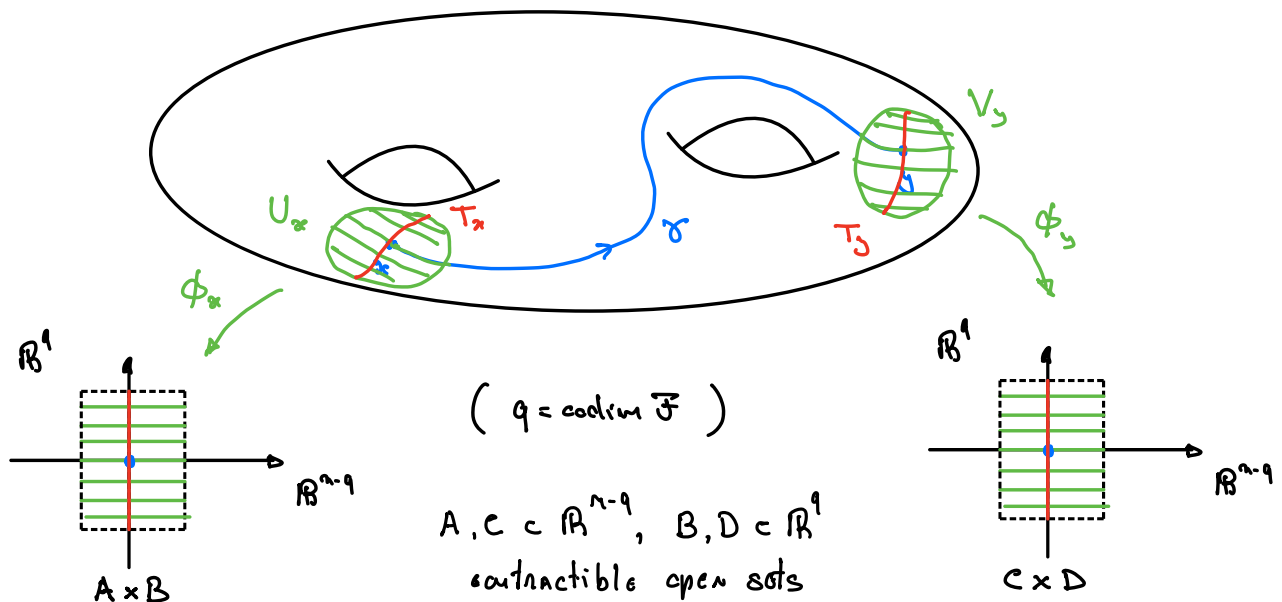
Fix path $\gamma: [0, 1] \rightarrow M$, $\gamma(0) = x$, $\gamma(1) = y$, lying in a leaf L_0

Choose foliated charts centered at $x \neq y$, and transversals $T_x \neq T_y$:

We can assume that choices are small enough so that we have the holonomy transformation:

$$h(\gamma): T_x \rightarrow T_y \quad h(\gamma)(z) = H(1, z)$$

$$H: [0, 1] \times T_x \rightarrow M \quad \begin{cases} t \mapsto H(t, z) \text{ path in leaf} \\ H(0, z) = z \\ H(1, z) \in T_y \end{cases}$$



charts: $\mathbb{R}^{2m+1} \supset A \times B \times C \rightarrow \Pi_1(M, \mathcal{F})$

$$\phi(a, b, c) = [\gamma_3 \circ \gamma_2 \circ \gamma_1] \omega \begin{cases} \gamma_1 = \phi_x^{-1}(\overline{(a, b)} \mid \overline{(0, b)}) \\ \gamma_2 = H(-, \phi_x^{-1}(0, b)) \\ \gamma_3 = \phi_x^{-1}(\overline{(0, \phi_y(x_2(t)))} \mid \overline{(c, \phi_y(x_2(t)))}) \end{cases}$$

This defines a basis for the topology, as well as, local euclidean charts
Need to check that transition functions are smooth.



Classes of Lie Groupoids

Recall X is called K -connected if $\pi_i(X)$ is trivial for $0 \leq i < K$

Def.

A Lie groupoid $\mathcal{G} = M$ is target K -connected if $\tilde{E}^1(x)$ is K -connected for all $x \in M$. Special cases:

- $K=0$: \mathcal{G} is t -connected
- $K=1$: \mathcal{G} is t -simply connected

Remark. Inversion is diffeo between $\tilde{S}^1(x)$ and $\tilde{E}^1(y)$ so
target K -connected = source K -connected

• We do not assume M connected. So t -connected does not imply \mathcal{G} is connected.

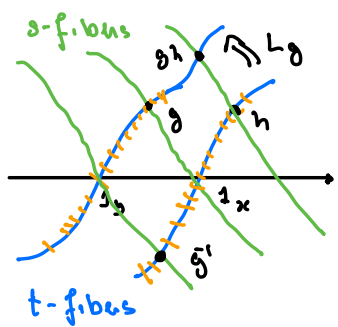
Proposition. Given any Lie groupoid \mathcal{G} :

$\mathcal{G}^0 := \{ g \in \mathcal{G} : g \in \text{connected component of } \tilde{E}^1(t(g)) \text{ containing } 1_{t(g)} \}$
is an open, t -connected, subgroupoid of \mathcal{G} .

Proof.

• Let $y \xleftarrow{g} x \in G^0 \iff 1_y \& g$ belong to same connected component of $E^1(y)$

• $L_g(1_x) = g \& L_g$ maps connected components to connected comp.



so $g, h \in G^0 \Rightarrow gh \in G^0$
 $g \in G^0 \Rightarrow g^{-1} \in G^0$
 $\Rightarrow G^0$ is a groupoid

To show that G^0 is open it is enough $\exists M \subset U_{\text{open}} \subset G^0$

By local normal form for submanifolds:

$1_x \in G$ has open neighborhood $U_x \subset G^0$

$\Rightarrow U = \bigcup_{x \in M} U_x$ is obtained open set. \square

Proposition Let $G = M$ be t -connected Lie groupoid. Any open neighborhood $M \subset U \subset G$ generates G : given $g \in G$ there exists $u_1, \dots, u_m \in U$ such that

$$g = u_1 \cdot \dots \cdot u_m$$

Proof. It is enough to show that:

Claim. The set $S = \{g \in E^1(x) \mid g \text{ is product of elements } u_i \in U\}$ is both open & closed in $E^1(x)$

- S is open: because left translations are diffeos between t -fibers
- S is closed: check that $E^1(x) \setminus S$ is open in $E^1(x)$. Choose

$g \notin S, t(g) = \alpha$. Since inclusion is also diffeo

$$g \tilde{U} := \{ g \tilde{u} : s(g) = s(\tilde{u}), u \in U \}$$

is neighborhood of g . But $g \tilde{U} \cap S = \emptyset$: if $g \tilde{u} \in S$ then

$$g \tilde{u} = u_1 \dots u_n \Rightarrow g = u_1 \dots u_n u \in S, \text{ contradiction.}$$

□

Thm.

Let $G \rightrightarrows M$ be a t -connected Lie groupoid. There exists a Lie groupoid $\tilde{G} \rightrightarrows M$ and a Lie groupoid morphism $\Phi: \tilde{G} \rightarrow G$ such that:

(i) \tilde{G} is t -simply connected

(ii) Φ is a surjective local diffeo.

Moreover, \tilde{G} is unique up to isomorphism.

Proof.

$$\tilde{G} = \coprod_{\alpha \in M} \tilde{S}^{-1}(\alpha) \quad \text{with} \quad \tilde{S}^{-1}(\alpha) = \{ [g] \mid g: I \rightarrow \tilde{S}^{-1}(\alpha), g(0) = 1_\alpha \}$$

$$s([g]) = \alpha, t([g]) = t(g(\pm 1))$$

$$[g] \cdot [h] := [R_{h(1)}(g) \circ h]$$

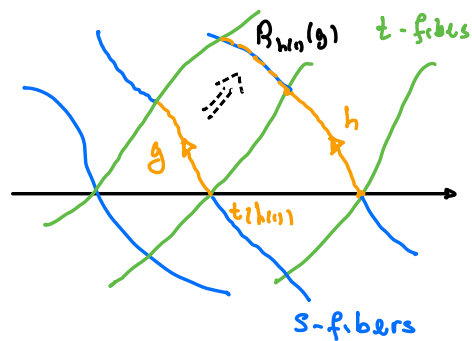
$\Rightarrow \tilde{G}$ is a groupoid

Why smooth?

$\mathcal{F} \equiv$ Foliation by s -fibers

$\Pi_1(\mathcal{F}) \rightrightarrows G$ - Lie groupoid so its source map $p: \Pi_1(\mathcal{F}) \rightarrow G$ is submersion

$\Rightarrow \tilde{G} = p^{-1}(M) \subset \Pi_1(\mathcal{F})$ is submanifold. Check that operations make it into a Lie groupoid.



We have a surjective groupoid morphism:

$$\tilde{G} \rightarrow G, [g] \mapsto g$$

which is smooth, being restriction of target of $\Pi(\mathbb{F}) \rightarrow G$ to \tilde{G} .

Exercise: Check its differential is isomorphism.

Let $\bar{\Phi}: G' \rightarrow G$ be another groupoid satisfying (i) and (ii).

Then looking at t -fibers:

$$\begin{array}{ccc} (t_{\tilde{G}}^{-1}(x), 1_x) & \xrightarrow{\bar{\Psi}_x} & (t_{G'}^{-1}(x), 1_x) \\ \Phi \searrow & & \swarrow \Phi' \\ & (t^{-1}(x), 1_x) & \end{array}$$

These are covering maps $\Rightarrow \exists^!$ diffeo $\bar{\Psi}_x$ making diagram commute

$\Rightarrow \exists^!$ Lie Groupoid isomorphism

$$\begin{array}{ccc} \tilde{G} & \xrightarrow{\bar{\Phi}} & G' \\ \Phi \searrow & & \swarrow \Phi' \\ & G & \end{array}$$



The previous result is a groupoid version of the following

Lie I. Given a connected Lie group G , there exists a 1-connected Lie group \tilde{G} w/ same Lie algebra. Moreover, \tilde{G} is unique up to isomorphism.

There are two other results that form the foundations of the classical Lie group \leftrightarrow Lie algebra correspondence.

Lie II. Given Lie groups $G \neq H$, w/ G 1-connected, and Lie algebra homomorphism $\phi: \mathfrak{g} \rightarrow \mathfrak{h}$ there exists a unique Lie group homomorphism $\Phi: G \rightarrow H$ w/ $d_e \Phi = \phi$.

Lie III. Given a finite dimensional Lie algebra \mathfrak{g} there exists a Lie group G w/ Lie algebra \mathfrak{g}

We would like to extend these to groupoids, so we now turn to the study of the infinitesimal versions of Lie groupoids.

II Lie Algebras

Lie Algebras of a Lie Groupoid

Recall: $G \cong$ Lie group, there isomorphism of vector spaces:

$$i) \mathfrak{X}_{inv}(G) = \{ X \in \mathfrak{X}(G) : (L_g)_* X = X, \forall g \in G \} \xleftrightarrow{\sim} T_e G$$

$$\begin{array}{ccc} X & \longmapsto & X|_e \\ \longleftarrow \alpha & & \longleftarrow \alpha, \quad \vec{\alpha}_g := d_e L_g(\alpha) \end{array}$$

$$ii) X_1, X_2 \in \mathfrak{X}_L(G) \Rightarrow [X_1, X_2] \in \mathfrak{X}_L(G)$$

Def. Lie algebra of G is the vector space $\mathfrak{g} = T_e G$ w/ bracket

$$[-, -] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g} :$$

$$[\alpha_1, \alpha_2] := [\vec{\alpha}_1, \vec{\alpha}_2]|_e$$

Rmk. Why left-invariant vector fields? Our convention for Lie bracket of vector fields is the usual one:

$$[X_1, X_2](f) = X_1(X_2(f)) - X_2(X_1(f))$$

If $G = GL(V)$ then $T_e G = \mathfrak{gl}(V)$ (since $GL(V) \subset \mathfrak{gl}(V)$ is open)

Exercise: The bracket induces on $\mathfrak{g}(V)$ is the usual commutator of linear transformations.

$$[A, B] = AB - BA$$

(If one uses right-invariant vector fields, then the bracket induces on $\mathfrak{g}(V)$ is the anti-commutator)

Def. A left-invariant vector field on $G \cong M$ is a v.f. $X \in \mathfrak{X}(G)$:

(i) X is tangent to t -fibers: $d_t(X_g) = 0, \forall g \in G$

(ii) $d_h L_g(X_h) = X_{gh}, \forall (g, h) \in G^{(2)}$.

Lemma. The vector space $\mathfrak{X}_{inv}(G)$ of left-inv. v.f. is closed under the Lie bracket of vector fields. It is isomorphic to the space of sections of the vector bundle over M :

$$A(G) := \text{Ker}(dt)_{u(M)} = u^*(\text{Ker} dt)$$

Proof.

• X, Y tangent to t -fibers $\Rightarrow [X, Y]$ is also tangent to t -fibers

• Fixing $g \in G$, $L_g: \underset{x}{\tilde{t}(s(g))} \rightarrow \underset{y}{\tilde{t}(t(g))}$ is diffeo, so:

• $X|_{\tilde{t}^{-1}(x)}, Y|_{\tilde{t}^{-1}(x)} \in \mathfrak{X}(\tilde{t}^{-1}(x))$
 • $(L_g)_* X|_{\tilde{t}^{-1}(x)} = X|_{\tilde{t}^{-1}(y)}, (L_g)_* Y|_{\tilde{t}^{-1}(x)} = Y|_{\tilde{t}^{-1}(y)}$ } \Rightarrow

$$\Rightarrow (L_g)_* [X, Y]|_{\tilde{t}^{-1}(x)} = (L_g)_* [X|_{\tilde{t}^{-1}(x)}, Y|_{\tilde{t}^{-1}(x)}] = [X|_{\tilde{t}^{-1}(y)}, Y|_{\tilde{t}^{-1}(y)}] = [X, Y]|_{\tilde{t}^{-1}(y)}$$

For second part observe that the map:

$$\mathfrak{X}_{inv}(G) \ni X \longmapsto X|_{u(M)} \in \text{Ker}(dt)_{u(M)}$$

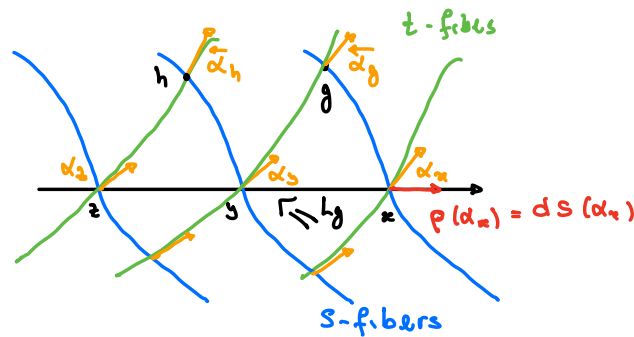
has inverse the map:

$$\text{Ku}(dt)_{u(x)} \ni \alpha \longmapsto \overleftarrow{\alpha} \in \mathcal{X}_{\text{inv}}(Y), \quad \overleftarrow{\alpha}|_g := d_e L_g(\alpha_{S(g)})$$

▣

We also define a vector bundle map $\rho: A(Y) \rightarrow TM$ by:

$$\rho(\alpha) = d_{1x} S(\alpha) \quad \text{if } \alpha \in A_x = \text{Ku}(d_{1x} t)$$



Lemma

The bracket on sections $\rho(A(Y))$ and the map $\rho: \rho(A(Y)) \rightarrow \mathcal{X}(M)$ satisfy the Leibniz identity:

$$[\alpha_1, f \alpha_2] = f [\alpha_1, \alpha_2] + \rho(\alpha_1)(f) \alpha_2, \quad \alpha_1, \alpha_2 \in \rho(A(Y)), f \in C^\infty(M)$$

Proof.

$\overleftarrow{\alpha}$ and $\rho(\alpha)$ are S -related:

$$S_x(\overleftarrow{\alpha}) = \rho(\alpha) \Rightarrow \overleftarrow{\alpha}(S^*f) = S^*(\rho(\alpha)(f))$$

Also:

$$f \overleftarrow{\alpha} = S^*(f) \overleftarrow{\alpha}$$

Then:

$$\overleftarrow{[\alpha_1, f \alpha_2]} = [\overleftarrow{\alpha_1}, \overleftarrow{f \alpha_2}] = [\overleftarrow{\alpha_1}, S^*(f) \overleftarrow{\alpha_2}] =$$

$$\left(\begin{array}{l} \text{usual Leibniz} \\ \text{for v.f.} \end{array} \right) \left\{ \begin{array}{l} \\ \end{array} \right. = S^*(f) [\overleftarrow{\alpha_1}, \overleftarrow{\alpha_2}] + \overleftarrow{\alpha_1}(S^*(f)) \overleftarrow{\alpha_2}$$

$$= \overleftarrow{f [\alpha_1, \alpha_2]} + \overleftarrow{\rho(\alpha_1)(f) \alpha_2} = \overleftarrow{f [\alpha_1, \alpha_2] + \rho(\alpha_1)(f) \alpha_2}$$

▣