

# Short Papers

## Joint Histogram-Based Cost Aggregation for Stereo Matching

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**Abstract**—This paper presents a novel method for performing efficient cost aggregation in stereo matching. The cost aggregation problem is reformulated from the perspective of a histogram, giving us the potential to reduce the complexity of the cost aggregation in stereo matching significantly. Differently from previous methods which have tried to reduce the complexity in terms of the size of an image and a matching window, our approach focuses on reducing the computational redundancy that exists among the search range, caused by a repeated filtering for all the hypotheses. Moreover, we also reduce the complexity of the window-based filtering through an efficient sampling scheme inside the matching window. The tradeoff between accuracy and complexity is extensively investigated by varying the parameters used in the proposed method. Experimental results show that the proposed method provides high-quality disparity maps with low complexity and outperforms existing local methods. This paper also provides new insights into complexity-constrained stereo-matching algorithm design.

**Index Terms**—Cost aggregation, stereo matching, disparity hypotheses, joint histogram

### 1 INTRODUCTION

DEPTH estimation from a stereo image pair [1] has been one of the most fundamental tasks in the field of computer vision. It aims at estimating a pair of corresponding points between two (or more) consecutive images taken from different viewpoints. Stereo matching can be classified into two categories (global and local approaches) according to the strategies used for estimation. Global approaches generally define an energy model with various constraints (using smoothness or uniqueness assumptions) and solve it using global optimization techniques such as belief propagation or graph cut. Local approaches obtain a disparity map by measuring correlation of color patterns in local neighboring windows. It has been generally known that the local approaches are much faster and more suitable for a practical implementation than global approaches. However, the complexity of the leading local approaches which provide high-quality disparity maps is still huge. This paper explores the computational redundancy of cost aggregation in the local approaches and proposes a novel method for performing an efficient cost aggregation.

Local approaches measure a correlation between intensity values inside a matching window  $\mathcal{N}(p)$  of a reference pixel  $p$ , based on the assumption that all the pixels in the matching

window have similar disparities. The performance depends heavily on how to find an optimal window for each pixel. The general procedure of local approaches is as follows. For instance, suppose that a truncated absolute difference (TAD) is used to estimate a left disparity map  $D_l$ . A per-pixel raw matching cost  $e(p, d)$  for disparity hypothesis  $d$  is first calculated by using the left and “ $d$ ”-shifted right images as follows:

$$e(p, d) = \min(\|I_l(x, y) - I_r(x - d, y)\|, \sigma), \quad (1)$$

where  $I_l$  and  $I_r$  are the left and right color images, respectively. The per-pixel cost is truncated with a threshold  $\sigma$  to limit the influence of outliers to the dissimilarity measure. Note that other dissimilarity measures such as Birchfield-Tomasi dissimilarity [2], rank/census transform [3], or normalized cross correlation (NCC) can also be used. An aggregated cost  $E(p, d)$  is then computed via an adaptive summation of the per-pixel cost. This process, which causes a huge complexity, is repeated for all the disparity hypotheses, stepping from 0 to  $D - 1$ :

$$E(p, d) = \frac{\sum_{q \in \mathcal{N}(p)} w(p, q) e(q, d)}{\sum_{q \in \mathcal{N}(p)} w(p, q)}. \quad (2)$$

The winner-takes-all technique is finally performed for seeking the best one among all the disparity hypotheses as

$$D_l(p) = \arg \min_{d \in [0, \dots, D-1]} E(p, d). \quad (3)$$

### 2 RELATED WORK AND MOTIVATION

For obtaining high-quality disparity maps, a number of local stereo-matching methods have been proposed by defining the weighting function  $w(p, q)$  which can implicitly measure the similarity of disparity values between pixel  $p$  and  $q$ . Yoon and Kweon [4] proposed an adaptive (soft) weight approach which leverages the color and spatial similarity measures with the corresponding color images, and it can be interpreted as a variant of joint bilateral filtering [5]. It is easy to implement and provides high accuracy, but has huge complexity due to its nonlinearity from the computation of the weighting function. The color segmentation-based cost aggregation [6] was also presented with the assumption that pixels inside the same segment are likely to have similar disparity values. Cross-based approaches [7] used a shape-adaptive window that consists of multiple horizontal line segments spanning several neighboring rows. The shape of the matching window  $\mathcal{N}(p)$  is estimated based on the color similarity and an implicit connectivity constraint, and a hard weighting value (1 or 0) is finally used.

In general, the complexity of the cost aggregation can be characterized as  $O(NBL)$ , where  $N$  and  $B$  are the size of the input image and the matching window  $\mathcal{N}(p)$  and  $L$  represents the search range, i.e., the number of discrete labels (e.g., disparity hypotheses). To reduce the complexity of the cost aggregation, a number of algorithms have been proposed in terms of the size of the image  $N$  and the matching window  $B$ . Min and Sohn [8] proposed a new multiscale approach for ensuring reliable cost aggregation in the stereo matching. They tried to reduce the complexity by using smaller matching windows on the coarse image and cost domain. Richardt et al. [9] reduced the complexity of the adaptive support weight approach [4] by using an approximation of the bilateral filter [10]. The complexity is independent of the size of the matching window, but a gray image used in the bilateral grid causes some loss of quality.

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An iterative solution [11], inspired by the anisotropic diffusion, was proposed to achieve similar results to the adaptive weight approach [4] with a lower computational load. It was shown that the geodesic diffusion is efficiently performed after a few iterations and produces state-of-the-art results among the local stereo methods [11]. Rhemann et al. [12] formulated several computer vision tasks with a discrete labeling problem, and then performed the cost aggregation with the guided image filtering [13], which allows the constant time implementation regardless of the window size. They demonstrated that this simple and generic framework achieved very competitive results in the stereo matching, optical flow estimation, and interactive segmentation. The complexity increases linearly with an image size ( $N$ ) and the number of labels ( $L$ ) only.

In this paper, we extensively explore the principles behind the cost aggregation and propose a novel approach for performing the cost aggregation in an efficient manner. Differently from the conventional approaches which have tried to reduce the complexity in terms of the size of the image and the matching window by using the multiscale scheme [8] or the constant time filtering techniques [9] [12], our approach focuses on reducing the redundancy that exists among the search range  $L$ , caused by the repeated calculation of  $E(p, d)$  for all the disparity hypotheses in (2). Moreover, the redundancy that exists in the window-based filtering is exploited as well. We will show that the proposed spatial sampling scheme inside the matching window  $\mathcal{N}(p)$  can lead to a significant reduction of the complexity. Finally, the tradeoff between accuracy and complexity is extensively investigated over the parameters used in the proposed method.

This paper extends our preliminary work [14] by performing new experiments with a well-established raw matching cost through careful parameter tuning. We also provide an in-depth analysis of some critical parameters of our algorithm and include an accuracy-complexity tradeoff study. The remainder of this paper is organized as follows: In Section 3, we describe a new formulation for the efficient cost aggregation and its approximation techniques. We then present experimental results in Section 4 and summarize conclusions in Section 5, respectively.

### 3 EFFICIENT COST AGGREGATION IN STEREO

#### 3.1 New Formulation for Likelihood Aggregation

For local approaches, the cost aggregation is the most important yet time-consuming part. Given two images  $I_l$  and  $I_r$ , we define a function  $e^h(p, d)$  that represents how likely a pixel  $p$  is to have a specific disparity hypothesis  $d$ . For instance, it could be defined using the TAD as  $e^h(p, d) = \max(\sigma - |I_l(x, y) - I_r(x - d, y)|, 0)$ . Note that other metrics such as the rank/census transform or NCC can also be utilized in a way that it is likely to have a large value as the disparity hypothesis  $d$  approaches a true disparity value.

To yield a reliable likelihood function, we implicitly consider a smoothness constraint by utilizing a color-weighted adaptive likelihood aggregation. The aggregated likelihood  $E^h(p, d)$  can be then formulated using the matching window  $\mathcal{N}(p)$  in a similar manner to (2) as

$$E^h(p, d) = \sum_{q \in \mathcal{N}(p)} w(p, q) e^h(q, d). \quad (4)$$

After applying the same aggregation procedure, the output disparity value  $D_l(p)$  is estimated by seeking the *maximum* value of the aggregated likelihood  $E^h(p, d)$ , which is the same as the solution of (3). Note that in the likelihood aggregation, the normalization term  $\sum w(p, q)$  is omitted, unlike (2). This modification does not affect the accuracy of the likelihood aggregation since the disparity value  $D_l(p)$  is estimated for each pixel independently, where this normalization term is fixed for all  $ds$  [15].

The aggregated likelihood function  $E^h(p, d)$  has a similar formulation to a histogram that represents a probability distribution of continuous (or discrete) values in a given data. In general, each bin of the histogram can be calculated by counting the number of corresponding observations in the set of data. Similarly, given the data set of the neighboring pixels  $q$ , the  $d$ th bin of the reference pixel  $p$  is computed by counting the bin with the corresponding  $e^h(q, d)$ . Since a single pixel  $q$  is associated with a set of multiple data (i.e.,  $e^h(q, d)$  for all bin  $ds$ ), the aggregated likelihood function  $E^h(p, d)$  can be referred to as a *relaxed* histogram.

Another characteristic of the proposed histogram-based aggregation is the use of the weighting function  $w(p, q)$ . As previously mentioned, the weighting function can play an important role for gathering the information of neighboring pixels where disparity values are likely to be similar. In this paper, we use a similarity measure based on the color and spatial distances as follows [4], [8]:

$$w(p, q) = \exp(-\|I_p - I_q\|/\sigma_I - \|p - q\|/\sigma_S).$$

Since the color similarity is measured by using a corresponding color image, it shares a similar principle to the joint bilateral filtering [5], where the weight is computed with a signal different from the signal to be filtered. This characteristic enables the joint histogram to be extended into a weighted filtering with the support of color discriminative power. In the following section, we will describe two methods for reducing the complexity of building the joint histogram  $E^h(p, d)$ .

#### 3.2 First Approximation: Compact Representation of Likelihood for Search Range

Recently, several methods have been proposed using a compact representation of the data that consists of a complex form in stereo matching. Yu et al. [16] proposed a novel envelope point transform method by applying a principal components analysis (PCA) to compress messages used in belief propagation [17]. Wang et al. [18] estimated the subset of disparity hypotheses for reliably matched pixels and then propagated them on an MRF formulation for estimating the subset of unreliable pixels. Yang et al. [19] proposed the method for reducing the search range and applied it into hierarchical belief propagation [20]. PCA or the Gaussian mixture model can be used for the compact representation, but the compression for all pixels is time consuming.

The weighting function  $w(p, q)$  based on the color and spatial distances has been used to obtain accurate disparity maps as in (4). The likelihood aggregation hence becomes a nonlinear filtering whose complexity is very high. In this paper, we propose a new approach for reducing the complexity from a perspective of the relaxed joint histogram. Our key idea is to *find a compact representation of the per-pixel likelihood  $e^h(p, d)$* , based on the assumption that  $e^h(p, d)$  with low values do not provide really informative support on the histogram-based aggregation.

In this paper, we extract the subset of local maxima at the per-pixel likelihood  $e^h(p, d)$  for the compact representation [21]. The per-pixel likelihood for each pixel is prefiltered with a  $5 \times 5$  box window for suppressing noise. The prefiltering is done for all disparity hypotheses, but its complexity is trivial in the case of using a spatial sampling method, which will be described in the next section. The local maximum points are calculated by using the profile of the prefiltered likelihood function. They are then sorted in a descending order and a predefined number of disparity candidates  $D_c (\ll D)$  are finally selected. If the number of the local maxima is less than  $D_c$ , the values corresponding to the second, third (and so on) highest likelihood are selected. Fig. 1 shows an example of the disparity candidate selection for “Teddy” stereo images, where the number of the disparity hypotheses is 60. The new aggregated likelihood  $E^h(p, d)$  is defined with the subset of disparity hypotheses only:

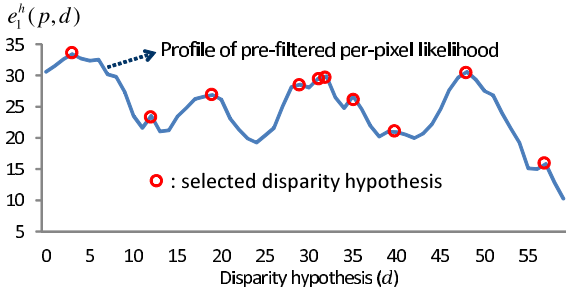


Fig. 1. Disparity candidate selection with local/global maxima.

$$E^h(p, d) = \sum_{q \in \mathcal{N}(p)} w(p, q) e_1^h(q, d) o(q, d), \quad (5)$$

$$o(q, d) = \begin{cases} 1, & d \in M_C(q), \\ 0, & \text{otherwise}, \end{cases}$$

where  $M_C(q)$  is a subset of disparity hypotheses whose size is  $D_c$ . Note that  $M_C(q)$  varies from pixel to pixel.  $e_1^h$  represents the prefiltered likelihood with a  $5 \times 5$  box window. Fig. 2 explains the difference between the conventional cost aggregation and the proposed method. When the size of the matching window is set to  $B$ , the conventional method performs the nonlinear filtering for all pixels ( $N$ ) and disparity hypotheses ( $D$ ), so the complexity is  $O(NBD)$ . In contrast, the proposed method votes the subset of informative per-pixel likelihoods (whose size is  $D_c$ ) into  $E^h(p, d)$  with the complexity of  $O(NBD_c)$ . Moreover, since the normalization term  $\sum w(p, q)$  is not used in the joint histogram  $E^h(p, d)$ , the complexity has been further reduced. We will show in the experimental results that the compact representation by the subset of local maxima is helpful for reducing the complexity while maintaining the accuracy.

Fig. 3 shows the accuracy of the disparity candidate selection in the nonoccluded region of “Teddy” image according to the number of disparity hypotheses  $D_c$ . It was calculated by counting the number of pixels whose subsets actually include a ground truth disparity value. When  $D_c = 60$ , namely, the same as the original size, the subsets of all pixels include the ground truth disparity value. Interestingly, when  $D_c = 6$ , only 91.8 percent of pixels contain the ground truth disparity values in their subsets, but the accuracy of the estimated disparity map (94.1 percent) is almost similar to those of the best one (94.2 percent when  $D_c = 5$ ) or slightly better than the disparity map estimated with all the disparity hypotheses (93.7 percent when  $D_c = 60$ ). This shows that the joint histogram-based aggregation can reliably handle errors of the initial candidate selection by gathering the information appropriately from the subsets of the neighboring pixels.

### 3.3 Second Approximation: Spatial Sampling of Matching Window

Another source for reducing the complexity is on the spatial sampling inside the matching window. There is a tradeoff between the accuracy and the complexity according to the size of the matching window. In general, using a large matching window and a well-defined weighting function  $w(p, q)$  for obtaining a high-quality disparity map leads to high computational complexity [4], [8]. In this paper, we handle this problem with a spatial sampling scheme inside the matching window, differently from the previous work that used the signal processing technique [9].

Many approaches have used a smoothness assumption that disparities inside an object vary smoothly except near the boundaries. A large window is generally needed for reliable matching, but it does not mean that all the pixels inside the matching window whose disparity values are likely to be similar in the case of being located in the same object should be used altogether.

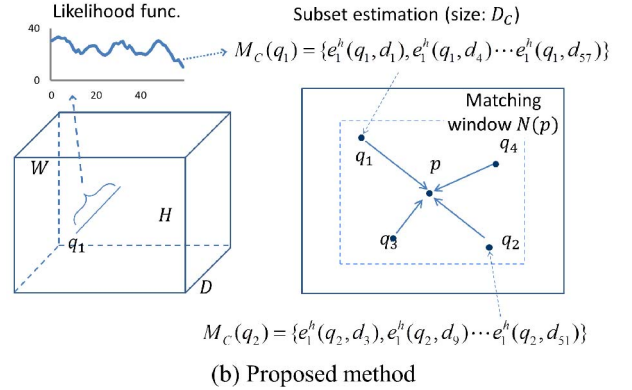
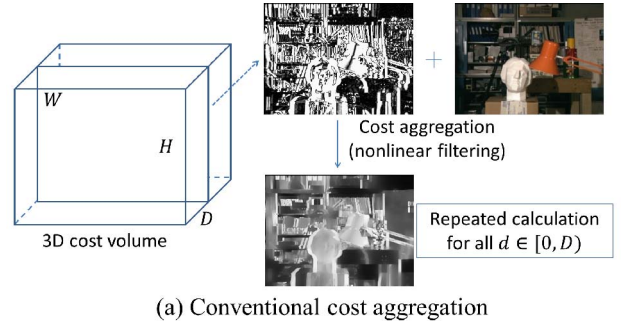


Fig. 2. Cost aggregation: (a) Conventional approaches perform nonlinear filtering with (or without) a color image for all disparity hypotheses:  $O(NBD)$ . (b) The proposed method estimates the subset of disparity hypotheses whose size is  $D_c (\ll D)$  and then performs joint histogram-based aggregation:  $O(NBD_c)$ .

This observation suggests that the spatial sampling inside the matching window can reduce the complexity of the window-based filtering. More specifically, the sparse samples inside the matching window could be enough to gather reliable information. Ideally, the pixels can be classified according to their likelihoods. It is, however, impossible to classify the pixels inside the matching window according to their disparity values, which should be finally estimated. Color segmentation may be a good choice for grouping the pixels, but the segmentation is time consuming and not feasible for a practical implementation.

In this paper, a simple but powerful way for the spatial sampling is proposed. The pixels inside the matching window are regularly sampled, and then only the sampled ones are used for the joint histogram-based aggregation in (5). The neighboring pixels which are close to each other are likely to have similar disparity values so that the regularly sampled data is sufficient for ensuring reliable matching so long as the pixels at a distance are used. As shown in Fig. 4, there are two ways for spatial sampling: reference pixel-dependent and independent sampling. The dependent sampling can be defined as follows:

$$E^h(p, d) = \sum_{q \in \mathcal{N}(p)} w(p, q) e_1^h(q, d) o(q, d) s_1(p, q), \quad (6)$$

$$s_1(p, q) = \begin{cases} 1, & \|p - q\| \% S = 0, \\ 0, & \text{otherwise}, \end{cases}$$

where  $s_1(p, q)$  is a binary function capturing the regularly sampled pixels inside the matching window for a sampling ratio  $S$ .  $p \% S = 0$  denotes a pixel whose  $x$  and  $y$  coordinates are both multiples of  $S$ . As previously mentioned, the prefiltering with  $5 \times 5$  window is applied into the per-pixel likelihood function for suppressing noise in the disparity candidate selection. Note that in this sampling strategy, regardless of the spatial sampling ratio  $S$ , the likelihood function  $e_1^h(p, d)$  for all the pixels should be estimated by using the

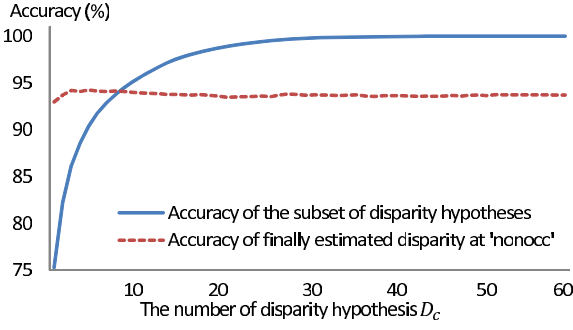


Fig. 3. Accuracy of the disparity candidate selection and the finally estimated disparity map in the nonoccluded regions of “Teddy” according to  $D_c$ . The accuracy of the selection process was measured by counting the number of pixels whose subsets actually include a ground truth disparity value.

disparity candidate selection, which consists of dissimilarity measure, box filtering, and local maxima estimation/sorting. It leads to relatively high complexity compared to the joint histogram-based aggregation.

The reference pixel-independent sampling can solve this problem. As shown in Fig. 4b, our new sampling scheme can be defined as follows:

$$E^h(p, d) = \sum_{q \in \mathcal{N}(p)} w(p, q) e_1^h(q, d) o(q, d) s_2(q), \quad (7)$$

$$s_2(q) = \begin{cases} 1, & q\%S = 0, \\ 0, & \text{otherwise,} \end{cases}$$

where  $s_2(q)$  is also a binary function which is similar to  $s_1(p, q)$ , but does not depend on the reference pixel  $p$ . All the reference pixels are supported by the same regularly sampled neighboring pixels so that we can reduce the complexity of the disparity candidate selection with a factor of the sampling ratio  $S \times S$ . The dissimilarity is first measured and the subset of the disparity hypotheses are then estimated for every  $S$  pixel. Note that the sampling ratio  $S$  is related to the sampling of the neighboring pixels only. Table 1 shows a pseudocode for the proposed method.

## 4 EXPERIMENTAL RESULTS

We compared the performance of the proposed method with state-of-the-art methods in the Middlebury testbed [22]. All the experiments were performed on a computer containing an Intel Xeon 2.8-GHz CPU (using a single core only) and a 6-GB RAM. The proposed stereo-matching method is evaluated by measuring the percent of bad matching pixels (where the absolute disparity error is larger than 1 pixel) for three subsets of an image: nonocc (the pixels in the nonoccluded region), all (all the pixels), and disc (the visible pixels near the occluded regions).

The proposed method has been tested using the same parameters, except for two parameters: the number of disparity

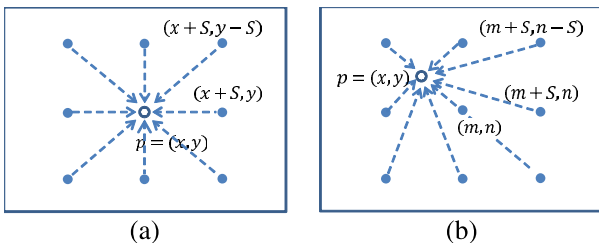


Fig. 4. Spatial sampling of matching window: (a) reference pixel-dependent, (b) reference pixel-independent sampling. A neighboring pixel  $q = (m, n)$  is sampled inside an image independently regardless of a reference pixel  $p = (x, y)$ .

TABLE 1  
Pseudocode for Efficient Likelihood Aggregation

Parameter definition
$N$ : The size of an image $I$
$B$ : The size of matching window $\mathcal{N}(p)$ ( $=W \times W$ )
$M_D$ : The set of disparity hypotheses whose size is $D$
$M_C$ : The subset of disparity hypotheses whose size is $D_c$
$S$ : Sampling ratio inside a matching window
Algorithm: Efficient likelihood aggregation
DISPARITY CANDIDATE SELECTION
<b>Complexity:</b> $O(25ND/S^2)$
For all pixels $p$ which satisfy $p\%S = 0$ and $p \in I$
1: Initialize prefiltered likelihood function $e_1^h(p, d)$ to 0 for all $ds$ .
For all disparity candidates $d \in M_D(p)$
For all neighboring pixels which satisfy $\ p - q\ _\infty \leq 2$
2: Compute per-pixel likelihood $e^h(q, d)$ and $e_1^h(p, d) += e^h(q, d)$ ( $5 \times 5$ box filtering)
End
End
3: Estimate $M_C(p)$ with the local maxima on $e_1^h(p, d)$
End
JOINT HISTOGRAM-BASED AGGREGATION
<b>Complexity:</b> $O(NBD_c/S^2)$
For all reference pixels $p \in I$
4: Initialize likelihood function $E^h(p, d)$ to 0 for all $ds$ .
For neighboring pixels which satisfy $ q_1 _\infty \leq W/2S$
5: Compute weight $w(p, q)$ with color and spatial distances between two neighboring pixels $p$ and $q = ((int)(p/S) + q_1) \times S$ . (Reference pixel-independent sampling)
For all disparity candidates $d_q \in M_C(q)$
6: $E^h(p, d_q) += w(p, q) \times e_1^h(q, d_q)$
End
End
7: $D_I(p) = \arg \max_{d \in [0, \dots, D-1]} E^h(p, d)$
End

candidates  $D_c$  and the spatial sampling ratio  $S$ . We investigated the effects of these two parameters for the accuracy and the complexity. The CIELab color space is used for calculating the weighting function  $w(p, q)$ , where  $\sigma_I$  and  $\sigma_S$  are 1.5 and 17.0, respectively. The size of the matching window  $\mathcal{N}(p)$  is set to  $31 \times 31$  for the stereo matching. Occlusion is also handled to evaluate the overall accuracy of the estimated disparity maps. The occluded pixels are detected by a cross-checking technique and the disparity value of background regions is then assigned to the occluded pixels. Finally, a weighted median filter (WMF) is applied to the disparity maps for better boundary handling. It is applied across the discontinuities regions only, and thus its computational load is negligible (e.g., 15 ms for “Tsukuba”). We found this postprocessing achieves a small improvement on the discontinuities regions.

The per-pixel likelihood function  $e^h(p, d)$  was measured by using both the TAD of the color images and their gradient as

$$e^h(p, d) = \alpha \cdot \max(\lambda_c - \|I_t(x, y) - I_r(x - d, y)\|, 0), \quad (8)$$

$$+ (1 - \alpha) \cdot \max(\lambda_g - \|\nabla_x I_t(x, y) - \nabla_x I_r(x - d, y)\|, 0),$$

where  $\alpha$  is a parameter controlling the influence of two (color and gradient) terms, which are truncated with  $\lambda_c$  and  $\lambda_g$ , respectively. It has been known that this model is more robust against illumination variation [23]. We will show that this per-pixel likelihood function combining the image gradient significantly improves a depth accuracy over our previous work [14]. For all experiments, we set  $\alpha$ ,  $\lambda_c$ , and  $\lambda_g$  to 0.11, 13.5, and 2.0, respectively. Note that (8) is likely to become large as  $d$  approaches a true disparity value.



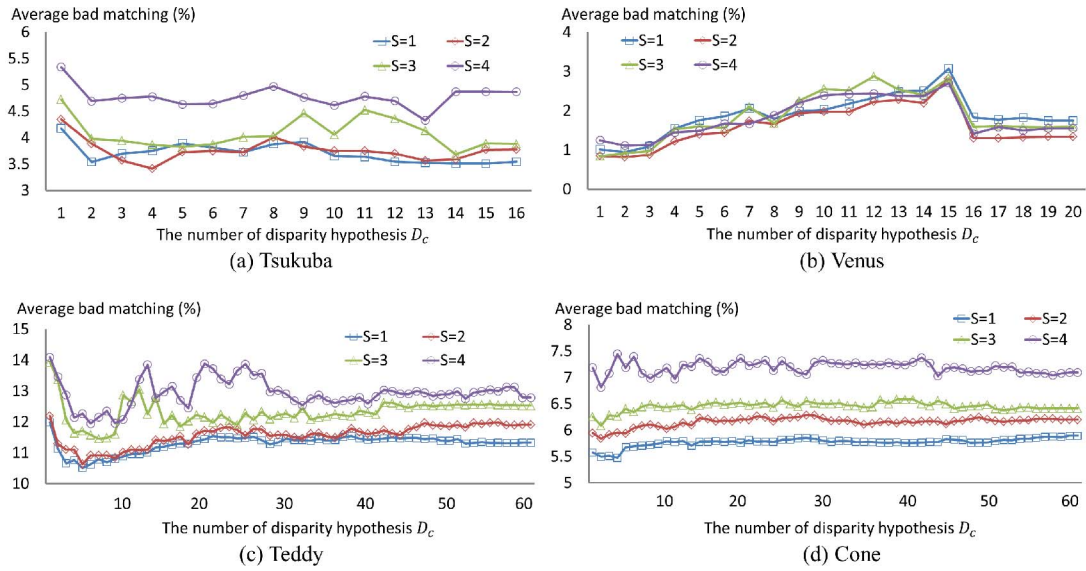


Fig. 5. Performance evaluation: average percent (%) of bad matching pixels for “nonocc,” “all,” and “disc” regions according to  $D_c$  and  $S$ .

Fig. 5 shows a performance evaluation according to the number of depth candidate  $D_c$  and the spatial sampling ratio  $S$ . The average percent (%) of bad pixels (APBP) for “nonocc,” “all,” and “disc” regions is shown for each sampling ratio  $S$ . Note that when  $S$  is set to 1 and all disparity hypotheses are used (e.g.,  $D_c = 60$  for “Teddy”), the proposed method is equivalent to the conventional cost aggregation except that the joint histogram-based aggregation is used. We could find that the bad matching percent does not converge (or sometimes it increases) as the number of disparity hypotheses  $D_c$  increases. It indicates that using the information of all the disparity hypotheses does not necessarily guarantee to obtain accurate disparity maps. In other words, *unnecessary candidates with low likelihood (evidence) values may contaminate the likelihood aggregation process*. In terms of the spatial sampling ratio  $S$ , we found that the quality of the disparity maps is gradually degenerated as  $S$  increases, but the results of  $S = 1, 2, 3$  are similar. Interestingly, in the “Venus” image, the results of using  $S = 2$  showed slightly better than those of  $S = 1$ . The “Venus” image consists of a few planar surfaces only, which are simple and easy to estimate compared to the “Teddy” and “Cone” images, and thus the effect of the spatial sampling in the joint histogram-based aggregation would be relatively marginal.

Next, we investigated the tradeoff between the accuracy and the complexity by comparing processing times in Fig. 6. We showed the results of “Tsukuba” ( $S = 1$ ) only, and other results also show similar behaviors. Note that the proposed method was implemented on the CPU only. The processing time was measured for the calculation of a single (left or right) disparity map. As expected, the processing time is proportional to the number of disparity hypotheses  $D_c$ , and inversely proportional to the square of the sampling ratio  $S$ . Interestingly, when the number of disparity hypotheses  $D_c$  is small (e.g.,  $D_c = 1-10$  for “Teddy” or “Cone”), the processing times for  $S = 3$  and 4 are almost similar. The tradeoff in Fig. 6b shows that the accuracy (100% – APBP) is not monotonically increasing as the processing time ( $D_c$ ) increases.

The performance evaluation from the Middlebury testbed is shown in Table 2 by reporting a comparison with other state-of-the-art methods. All the leading local methods were sorted with the average ranking listed in the Middlebury testbed. The number of disparity candidates  $D_c$  is all set to 10 percent of the original search range. We measured the disparity accuracy with the varying spatial sampling ratio  $S$  (1-3). We found that the proposed method with

$S = 1$  achieved the best accuracy among all the leading local stereo-matching methods. In comparison with all stereo-matching methods, the average ranking of the current results ( $S = 1$ ,  $D_c = 10\%$ ) is 16th, while the ranking of the initial results from our previous work [14] is 76th. As mentioned earlier, it is mainly due to the use of the raw matching cost combining the TAD of the color images and their gradient as in (8). “Our method (BEST)” represents the result when the parameters ( $S$  and  $D_c$ ) that provide the disparity maps with the best accuracy are used. Interestingly, using 10 percent of original search range ( $S = 1$ ) produces the result which is nearly close to the best disparity quality.

For the comparison of the complexity, we referred to the results reported in the recent work [25]. We have optimized our C implementation for both “CrossLMF-0/1” [25] and “CostFilter” [12] and achieved accelerated runtime since the publication of [25]. “CrossLMF-0” and “CrossLMF-1” represent cross-based local multipoint filtering methods [25] using the zero-order and first-order polynomial models, respectively. To analyze the tradeoff

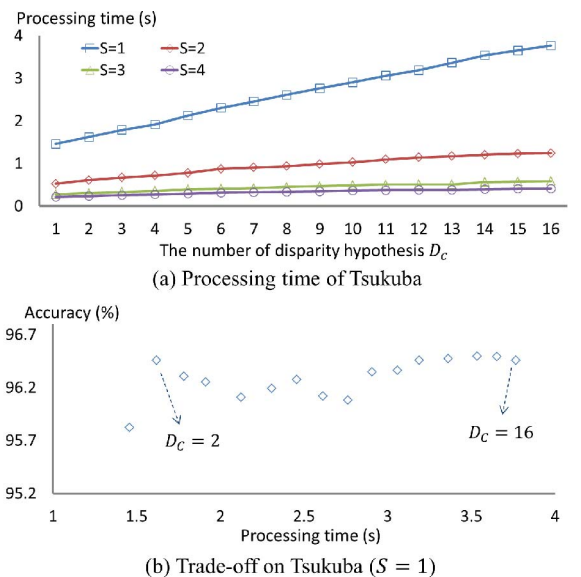


Fig. 6. Processing times (a) and tradeoff (b) of the proposed method according to  $D_c$  and  $S$ . The results of the “Tsukuba” image only are shown due to the lack of space. In (b), the “Accuracy” means (100% – APBP). One can find that the accuracy is not monotonically increasing as the processing time ( $D_c$ ) increases.

TABLE 2  
Performance Evaluation of Disparity Accuracy for Local Stereo-Matching Methods

Algorithm	Tsukuba			Venus			Teddy			Cone			APBP (%)
	nocc	all	disc	nocc	all	disc	nocc	all	disc	nocc	all	disc	
<b>Our method (BEST)</b>	1.44	1.78	7.01	0.14	0.38	1.92	5.75	11.2	14.6	2.29	7.62	6.52	5.05
<b>Our method (<math>S=1, D_c=10\%</math>)</b>	1.93	2.30	6.39	0.16	0.46	2.22	5.88	11.3	14.7	2.41	7.78	6.89	5.20
PatchMatch [24]	2.09	2.33	9.31	0.21	0.39	2.62	2.99	8.16	9.62	2.47	7.80	7.11	4.59
CrossLMF-1 [25]	2.46	2.78	6.26	0.27	0.38	2.15	5.50	10.6	14.2	2.34	7.82	6.80	5.13
<b>Our method (<math>S=2, D_c=10\%</math>)</b>	2.09	2.44	7.12	0.14	0.38	1.92	5.92	11.3	15.5	2.70	7.93	7.48	5.41
CostFilter [12]	1.51	1.85	7.61	0.20	0.39	2.42	6.16	11.8	16.0	2.71	8.24	7.66	5.55
NonLocalFilter [26]	1.47	1.85	7.88	0.25	0.42	2.60	6.01	11.6	14.3	2.87	8.45	8.10	5.48
GeoSup [27]	1.45	1.83	7.71	0.14	0.26	1.90	6.88	13.2	16.1	2.94	8.89	8.32	5.80
P-LinearS [28]	1.10	1.67	5.92	0.53	0.89	5.71	6.69	12.0	15.9	2.60	8.44	6.71	5.68
<b>Our method (<math>S=3, D_c=10\%</math>)</b>	2.11	2.38	7.45	0.17	0.39	2.17	6.53	11.9	16.3	2.91	8.16	7.97	5.70
GeoDif [11]	1.88	2.35	7.64	0.38	0.82	3.02	5.99	11.3	13.3	2.84	8.33	8.09	5.49
RecursiveBF [29]	1.85	2.51	7.45	0.35	0.88	3.01	6.28	12.1	14.3	2.80	8.91	7.79	5.68
DistinctSM [30]	1.21	1.75	6.39	0.35	0.69	2.63	7.45	13.0	18.1	3.91	9.91	8.32	6.14
CostAggr+occ [8]	1.38	1.96	7.14	0.44	1.13	4.87	6.80	11.9	17.3	3.60	8.57	9.36	6.20
AdaptWeight [4]	1.38	1.85	6.90	0.71	1.19	6.13	7.88	13.3	18.6	3.97	9.79	8.26	6.67
FastBilateral [31]	2.38	2.80	10.4	0.34	0.92	4.55	9.83	15.3	20.3	3.10	9.31	8.59	7.31
<b>HistoAggr [Our prev. work] [14]</b>	2.47	2.71	11.1	0.74	0.97	3.28	8.31	13.8	21.0	3.86	9.47	10.4	7.33
VariableCross [7]	1.99	2.65	6.77	0.62	0.96	3.20	9.75	15.1	18.2	6.28	12.7	12.9	7.60
DCBGrid [9]	5.9	7.26	21.0	1.35	1.91	11.2	10.5	17.2	22.2	5.34	11.9	14.9	10.9

between complexity and accuracy, we list both the processing time and the APBP (%) for some representative local stereo-matching methods in Table 3. “CostFilter” and “P-LinearS” used the guided filter [13] for efficient cost aggregation. Note that the processing time on a single-core CPU was measured for “Tsukuba,” and the average error was calculated for all the test sequences. The processing time of the proposed method also includes the postprocessing such as occlusion detection/handling and WMF, while some of the previous works consider the cost aggregation only. As already explained in Fig. 5, the disparity results ( $S = 1, D_c = 10\%$ ) estimated using only 10 percent of original search range are better than those ( $S = 1, D_c = 100\%$ ) estimated using all disparity candidates.

Fig. 7 shows examples of the disparity maps estimated by the proposed method when the number of disparity hypotheses  $D_c$  is 10 percent of the original search range and the spatial sampling ratio  $S$  is fixed to 1. Namely,  $D_c$  is set to 2 for “Tsukuba,” 2 for “Venus,” 6 for “Teddy,” and 6 for “Cone,” respectively. One could find that the proposed method provides high-quality disparity maps even though a small number of disparity hypotheses are used.

To analyze the effect of prefiltering the likelihood function  $e^h(p, d)$  in the disparity candidate selection, we measured the accuracy of disparity maps obtained when applying the box filtering with varying window sizes to  $e^h$ . Table 4 shows the APBP for the Middlebury test sequences ( $S = 1$  and  $D_c = 10\%$ ). The result with no prefiltering ( $1 \times 1$ ) shows serious performance degeneration. As the size of the box filter increases, the method

produces better quality, but using too large box windows ( $7 \times 7, 9 \times 9$ ) deteriorates the quality and incurs more computational overhead. Note that while this prefiltering can be seen as the first cost aggregation step, it mainly serves the removal of noise from the per-pixel likelihood functions.

One interesting fact is that the proposed two methods for reducing the complexity of the joint histogram-based aggregation can be combined with other cost aggregation methods as well. A number of local approaches have been proposed by defining the weighting function  $w(p, q)$  with hard or soft values. After reformulating these methods into the histogram-based scheme, the compact representation of per-pixel likelihoods and the spatial

TABLE 3  
Comparison with Other Methods (as of October 2012):  
The Runtime Was Measured for “Tsukuba”

Algorithms	Rank	APBP	Runtime
<b>Our method (<math>S=1, D_c=10\%</math>)</b>	<b>16</b>	<b>5.20 %</b>	<b>1.38 s</b>
CrossLMF-1 [25]	18	5.13 %	0.50 s
CrossLMF-0 [25]	19	5.24 %	0.21 s
<b>Our method (<math>S=2, D_c=10\%</math>)</b>	<b>23</b>	<b>5.41 %</b>	<b>0.52 s</b>
CostFilter (GF) [12]	24	5.55 %	0.48 s
P-LinearS (GF) [28]	33	5.68 %	33.0 s
<b>Our method (<math>S=1, D_c=100\%</math>)</b>	<b>36</b>	<b>5.63 %</b>	<b>3.50 s</b>
<b>Our method (<math>S=3, D_c=10\%</math>)</b>	<b>37</b>	<b>5.70 %</b>	<b>0.28 s</b>
AdaptWeight [4]	73	6.67 %	60.0 s

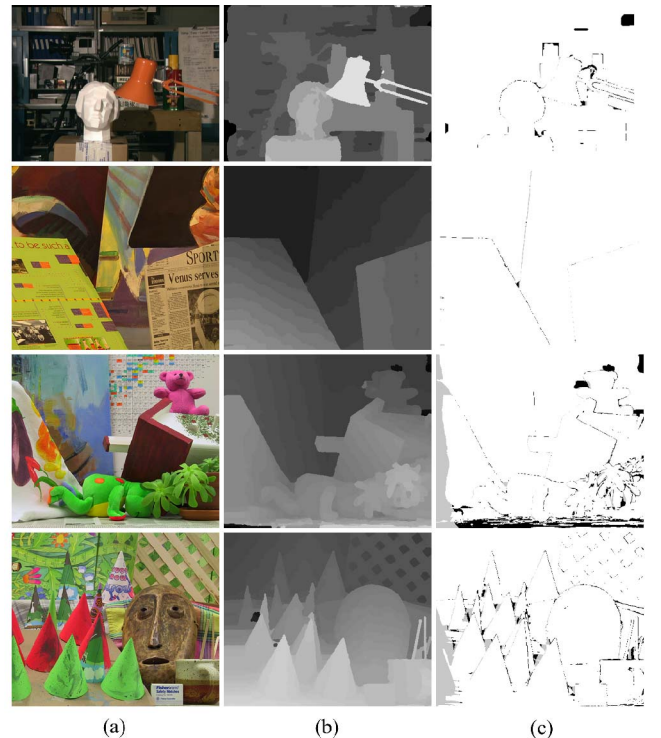


Fig. 7. Results for (from top to bottom) “Tsukuba,” “Venus,” “Teddy,” and “Cone” image pairs: (a) original images, (b) our results, and (c) error maps. The number of disparity hypotheses  $D_c$  is set to 10 percent of the original search range and the spatial sampling ratio  $S$  is set to 1.

TABLE 4  
Effect of Prefiltering in the Disparity Candidate Selection

Window size	$1 \times 1$	$3 \times 3$	$5 \times 5$	$7 \times 7$	$9 \times 9$
APBP (%)	9.56 %	5.36 %	5.20 %	5.46 %	5.79 %

sampling of the matching window can be used for an efficient implementation. Moreover, the tradeoff between the accuracy and the complexity presented here can be taken into account in the complexity-constrained algorithm design.

## 5 CONCLUSION

In this paper, we have presented a novel approach for the efficient cost aggregation used in the stereo matching. Given the per-pixel likelihood (evidence) function, we reformulated the problem from the perspective of the relaxed joint histogram. Two algorithms were then proposed for reducing the complexity of the joint histogram-based aggregation. Differently from the conventional local approaches, we reduce the complexity in terms of the search range by estimating a subset of informative disparity hypotheses. We showed that reliable disparity maps were obtained even when the number of labels hypotheses ( $D_c$ ) was about 10 percent of the original full search range. In addition, the complexity of the window-based processing was dramatically reduced while keeping a similar accuracy through the reference pixel-independent sampling of the matching window.

In further research, we will investigate more elaborate algorithms for selecting the subset of label hypotheses. As shown in Fig. 5, the optimal number of disparity hypotheses may be dependent on the characteristics of input images and the spatial sampling ratio  $S$ , even though the proposed method can provide excellent results with a fixed number of label hypotheses (e.g., 10 percent of the original search range). We plan to devise an efficient method for estimating the optimal number  $D_c$  adaptively for different input images. Another further research would be to extend the method to an optical flow estimation.

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