

Lecture 11: Control III

Professor Katie Driggs-Campbell

February 22, 2024

ECE484: Principles of Safe Autonomy



Administrivia

- Team formation due this week (check campuswire)
- Upcoming due dates:
 - HW1 and MP1 due Friday 2/23
 - HW2 and MP2 due Friday 3/01
 - Project Pitches in class 3/05 and 3/07
- Exam has been moved to 4/18 at 7pm in 1013 and 1015 ECEB



Project Expectations

- GEM Track or F1tenth Track
 - Given RGB-D input, detect the track and follow the path
 - Add at least one additional feature!
- GRAIC Track
 - Given ground truth reference waypoints and obstacle positions, complete circuits around the tracks
 - Add one additional cool feature!
- **Now: Finalize Teams and Tracks**
- **Now: Start Safety Training for Hardware Teams**
- 03/05: Project Pitch Presentation
- 03/29 or 04/05: Mid Check-in
- 04/23: Final Presentation
- 05/03: Video Due



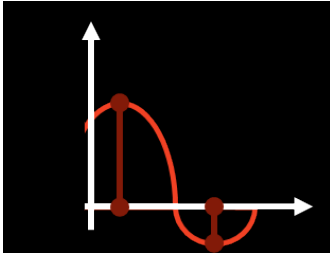
Today's Plan (Part 1)

- Take a look at PID controllers
- Build up waypoint following using the models discussed previously
- Introduce some advanced control techniques

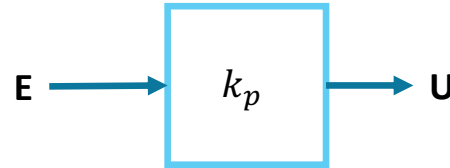


PID Controllers

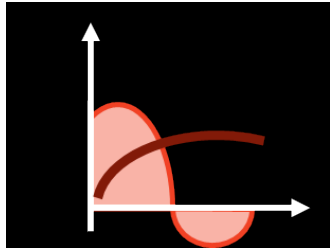
- Proportional



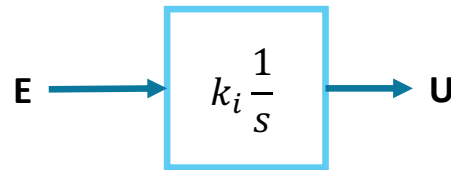
$$u = k_p e$$



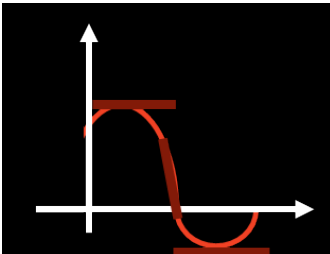
- Integral



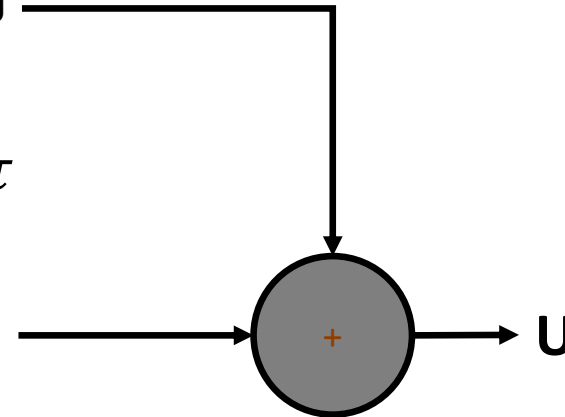
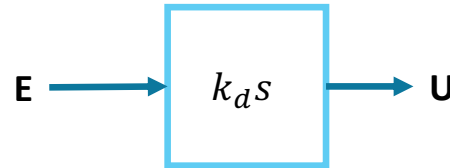
$$u = k_i \int e(\tau) d\tau$$



- Derivative



$$u = k_d \dot{e}$$



Linear Error Dynamics

$$a_p e^{(p)} + \dots + a_2 \ddot{e} + a_1 \dot{e} + a_0 e = 0$$

$\parallel \qquad \qquad \parallel \qquad \qquad \parallel \qquad \qquad \parallel$
 $x_p \qquad \qquad x_3 \qquad \qquad x_2 \qquad \qquad x_1$

$$\dot{x}_p = -a_0/a_p x_1 - a_1/a_p x_2 - \dots - \dots$$
$$\dot{x} = Ax$$

$$\begin{bmatrix} \dot{x}_1 \\ \vdots \\ \dot{x}_p \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & \dots & \dots \\ 0 & 0 & 1 & \dots & \dots \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_0/a_p & \dots & \dots & \dots & \dots \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_p \end{bmatrix}$$



Viewing as a Second Order System

- The second order system is: $\ddot{e} + c_1\dot{e} + c_2e = 0$
- In standard form, we write:

$$\ddot{e}(t) + 2\xi\omega_n\dot{e}(t) + \omega_n^2e(t) = 0$$

where ξ is the *damping ratio* and ω_n is the *natural frequency*

- The eigenvalues are given as:

$$\lambda_{1,2} = -\xi\omega_n \pm \omega_n\sqrt{\xi^2 - 1}$$

- Note that the system is stable iff ω_n and ξ are positive

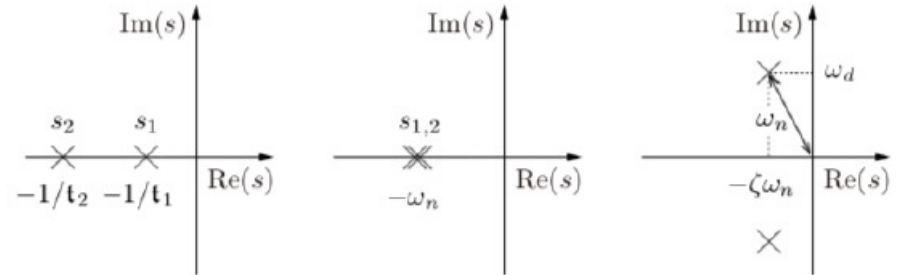


Second Order Dynamics: Cases

Recall:

$$\lambda_{1,2} = -\xi\omega_n \pm \omega_n\sqrt{\xi^2 - 1}$$

- Overdamped ($\zeta > 1$)
 - Roots s_1 and s_2 are distinct
 - $x(t) = c_1 e^{s_1 t} + c_2 e^{s_2 t}$
 - Time constant is the less negative root

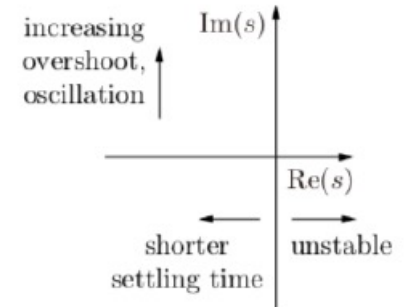
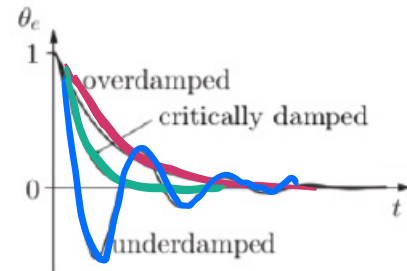


overdamped ($\zeta > 1$) critically damped ($\zeta = 1$) underdamped ($\zeta < 1$)

- Critically damped: $\zeta = 1$
 - Roots s_1 and s_2 are equal and real
 - $x(t) = (c_1 + c_2 t) e^{-\omega_n t}$
 - Time constant is given by $1/\omega_n$

- Underdamped: $\zeta < 1$
 - Roots are complex conjugates:

$$s_{1,2} = -\zeta\omega_n \pm j\omega_n\sqrt{1 - \zeta^2}$$
 - $x(t) = (c_1 \cos \omega_d t + c_2 \sin \omega_d t) e^{-\zeta\omega_n t}$

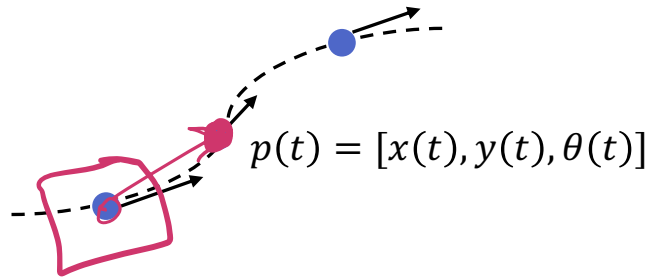


On to PID for path following



Path following control

- The path followed by a robot can be represented by a *trajectory or path* parameterized by time
 - from a higher-level planner, map, or perception system
- Defines the desired instantaneous pose $p(t)$



Open-loop waypoint following

- We can write an **open-loop controller** for a robot that is naturally controlled via angular velocity, such as a differential-drive robot:

$$u_{\omega,OL}(t) = \begin{bmatrix} v(t) \\ \omega(t) \end{bmatrix} = \begin{bmatrix} \sqrt{\dot{x}(t)^2 + \dot{y}(t)^2} \\ \dot{\theta}(t) \end{bmatrix}$$

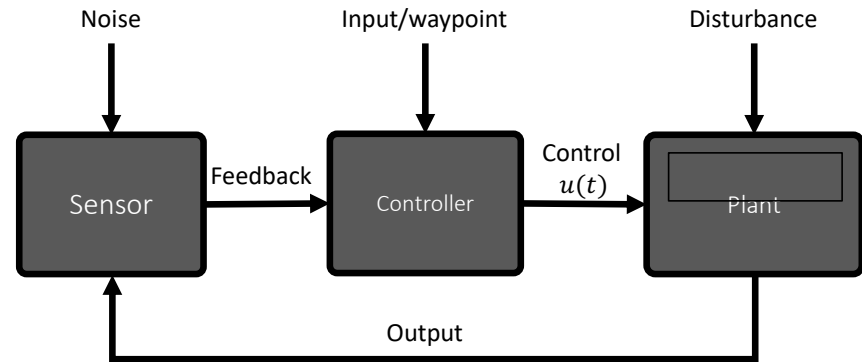
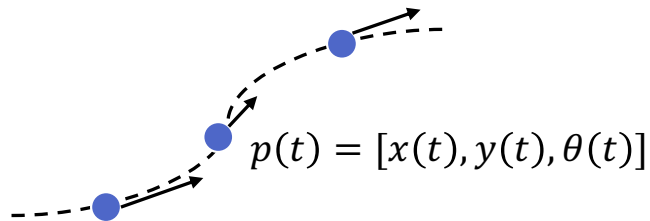
- We can write an **open-loop controller** for a robot with car-like steering:

$$u_{\kappa,OL}(t) = \begin{bmatrix} v(t) \\ \kappa(t) \end{bmatrix} = \begin{bmatrix} \sqrt{\dot{x}(t)^2 + \dot{y}(t)^2} \\ \frac{\dot{\theta}(t)}{\sqrt{\dot{x}(t)^2 + \dot{y}(t)^2}} \end{bmatrix}$$



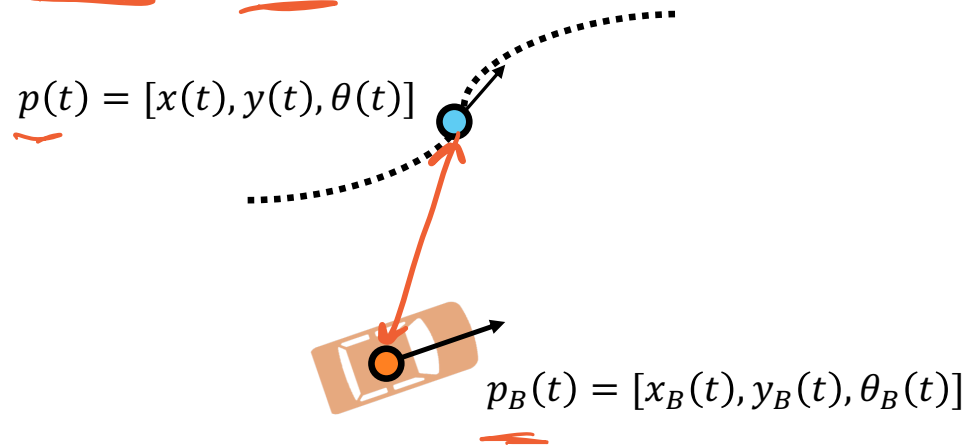
Path following control

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Path following control

- Desired instantaneous pose $p(t)$
- How to define error between actual pose $p_B(t)$ and desired pose $p(t)$ in the form of $y_d(t) - y(t)$?



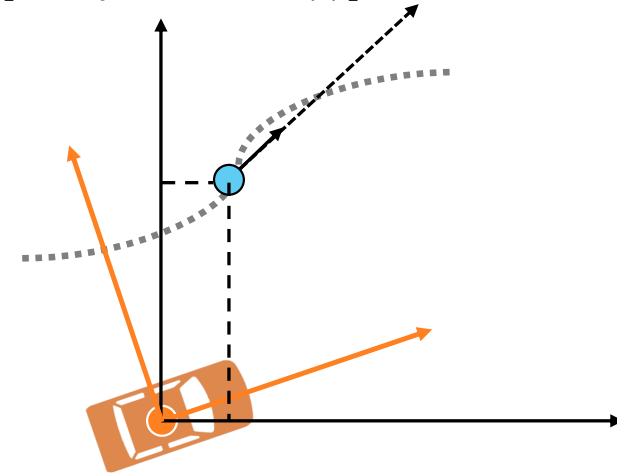
Path following control

The error vector measured vehicle coordinates

$$e(t) = [\delta_s(t), \delta_n(t), \delta_\theta(t), \delta_v(t)]$$

$[\delta_s, \delta_n]$ define the coordinate errors in the vehicle's reference frame:
along track error and cross track error

$$p(t) = [x(t), y(t), \theta(t), v(t)]$$



$$p_B(t) = [x_B(t), y_B(t), \theta_B(t), v_B(t)]$$



Path following control

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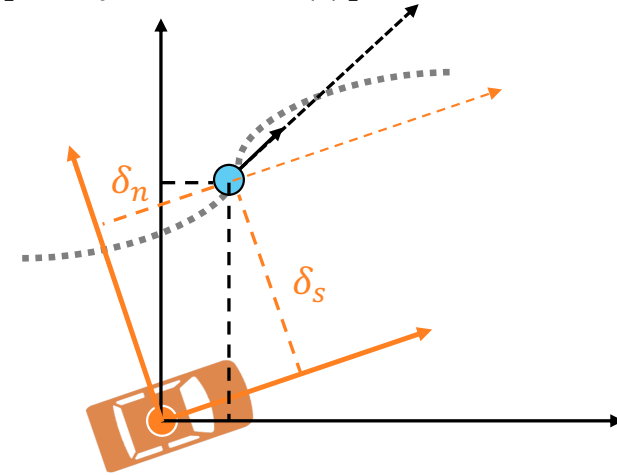
- **Along track error:** distance ahead or behind the target in the instantaneous direction of motion.

$$\delta_s = \cos(\theta_B(t)) (x(t) - x_B(t)) + \sin(\theta_B(t)) (y(t) - y_B(t))$$

- **Cross track error:** portion of the position error orthogonal to the intended direction of motion

$$\delta_n = -\sin(\theta_B(t)) (x(t) - x_B(t)) + \cos(\theta_B(t)) (y(t) - y_B(t))$$

$$p(t) = [x(t), y(t), \theta(t), v(t)]$$



$$p_B(t) = [x_B(t), y_B(t), \theta_B(t), v_B(t)]$$



Path following control

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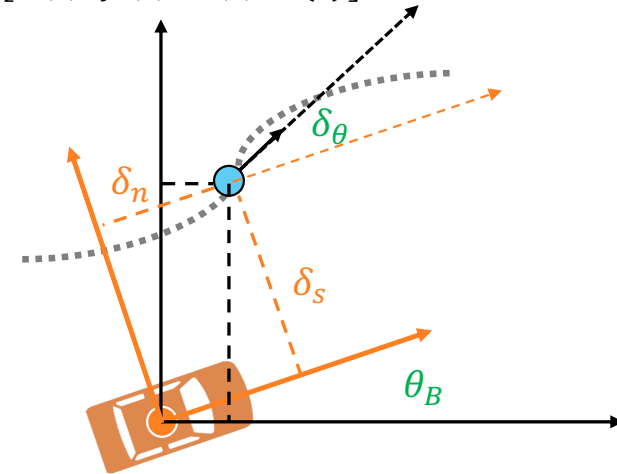
- **Heading error:** difference between desired and actual orientation and direction

$$\delta_\theta = \theta(t) - \theta_B(t)$$

$$\delta_v = v(t) - v_B(t)$$

→ Each of these errors match the form $y_d(t) - y(t)$

$$p(t) = [x(t), y(t), \theta(t), v(t)]$$



$$p_B(t) = [x_B(t), y_B(t), \theta_B(t), v_B(t)]$$



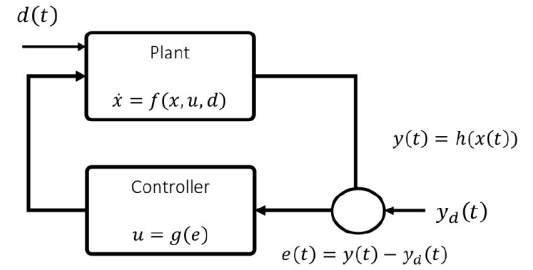
A simple P-controller example

- Given a simple system: $\dot{y}(t) = u(t) + d(t)$
- Using proportional (P) controller:

$$u(t) = -K_P e(t) = -K_P (y(t) - y_d(t))$$

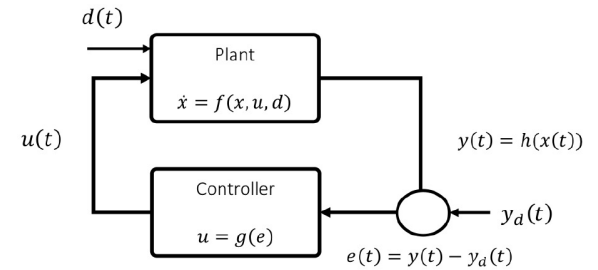
$$\dot{y}(t) = -K_P y(t) + K_P y_d(t) + d(t)$$

disturbance $d(t)$



A simple P-controller example

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$$\dot{y}(t) = -K_P y(t) + K_P y_d(t) + d(t)$$
- Consider constant setpoint y_0 and disturbance d_{ss}
$$\dot{y}(t) = -K_P y(t) + K_P y_0 + d_{ss}$$
- What is the steady state output?



A simple P-controller example

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- Using proportional (P) controller:

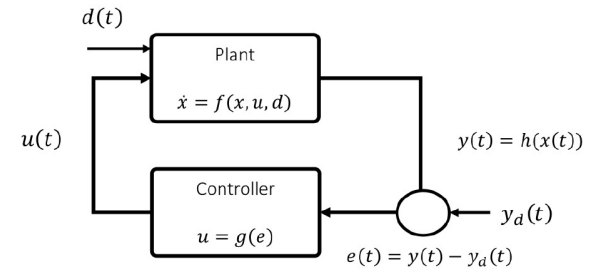
$$u(t) = -K_P e(t) = -K_P (y(t) - y_d(t))$$

$$\dot{y}(t) = -K_P y(t) + K_P y_d(t) + d(t)$$

- Consider constant setpoint y_0 and disturbance d_{SS}

$$\dot{y}(t) = -K_P y(t) + K_P y_0 + d_{SS}$$

- What is the steady state output?
 - Set: $-K_P y(t) + K_P y_0 + d_{SS} = 0$
 - Solve for y_{SS} : $y(t) = \frac{d_{SS}}{K_P} + y_0$

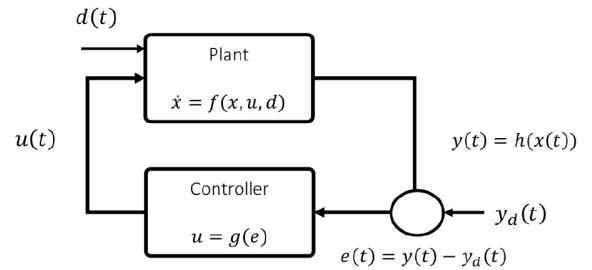


A simple P-controller example

- Given a simple system: $\dot{y}(t) = u(t) + d(t)$
- Consider constant setpoint y_0 and disturbance d_{ss}

$$\dot{y}(t) = -K_P y(t) + K_P y_0 + d_{ss}$$

- Steady state output $y_{ss} = \frac{d_{ss}}{K_P} + y_0$



A simple P-controller example

- Given a simple system: $\dot{y}(t) = u(t) + d(t)$
- Consider constant setpoint y_0 and disturbance d_{SS}

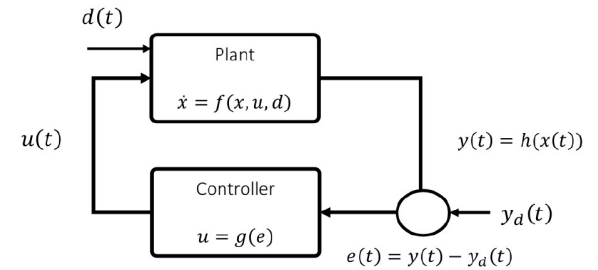
$$\dot{y}(t) = -K_P y(t) + K_P y_0 + d_{SS}$$

- Steady state output $y_{SS} = \frac{d_{SS}}{K_P} + y_0$

- Transient behavior:

$$y(t) = y_0 e^{-t/T} + y_{SS} (1 - e^{-t/T}), T = 1/K_P$$

- To make steady state error small, we can increase K_P at the expense of longer transients



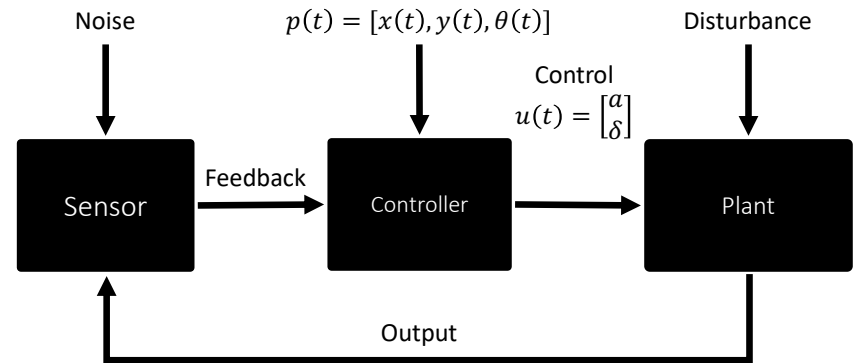
Control Law

Control input is given by $u = [a, \delta]^T$

where a is the acceleration and δ is the steering angle

$$u = K \begin{bmatrix} \delta_s \\ \delta_n \\ \delta_\theta \\ \delta_v \end{bmatrix}$$

$$K = \begin{bmatrix} K_s & 0 & 0 & K_v \\ 0 & K_n & K_\theta & 0 \end{bmatrix}$$

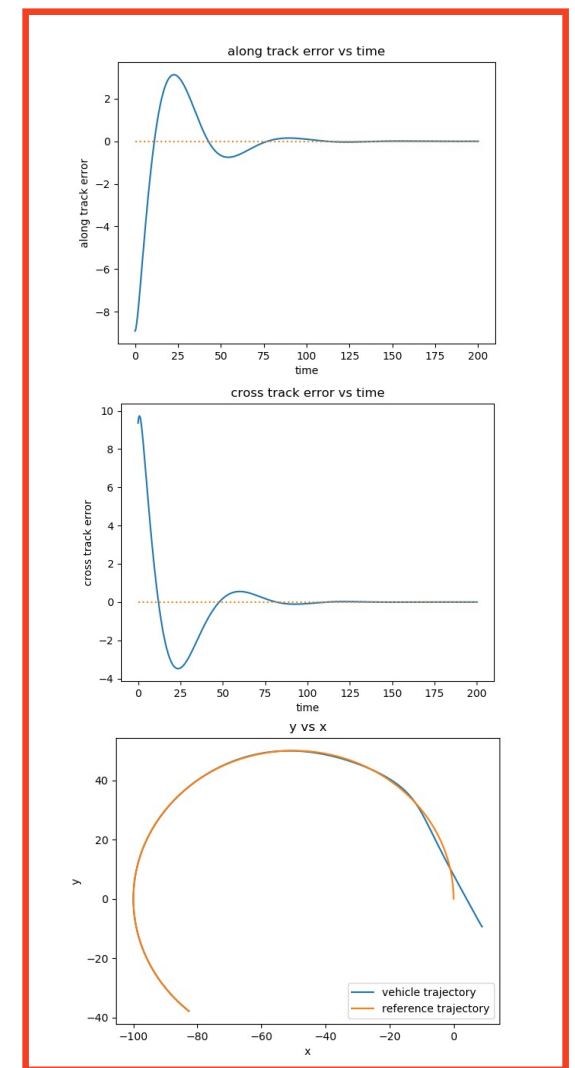


Control Law

$$K = \begin{bmatrix} K_s & 0 & 0 & K_v \\ 0 & K_n & K_\theta & 0 \end{bmatrix}$$

The **pure-pursuit controller** produced by this gain matrix performs a PD-control. It uses a PD-controller to correct **along-track error**.

The control on curvature is also a PD-controller for **cross-track error** because δ_θ is related to the derivative of δ_n .



Midpoint Summary

- Reviewed linear systems and stability of differential equations
- Looked at PID controllers as a way to regulate systems using state feedback
- Derived a waypoint following error dynamics
 - This will be needed for MP2!



Advanced Control Topics





Today's Plan

- Quick discussion of future topics in advanced control theory
- Introduction to optimal control
 - Linear Quadratic Regulation (LQR)
 - Model Predictive Control (MPC)
- End-to-end learning



Today's Plan

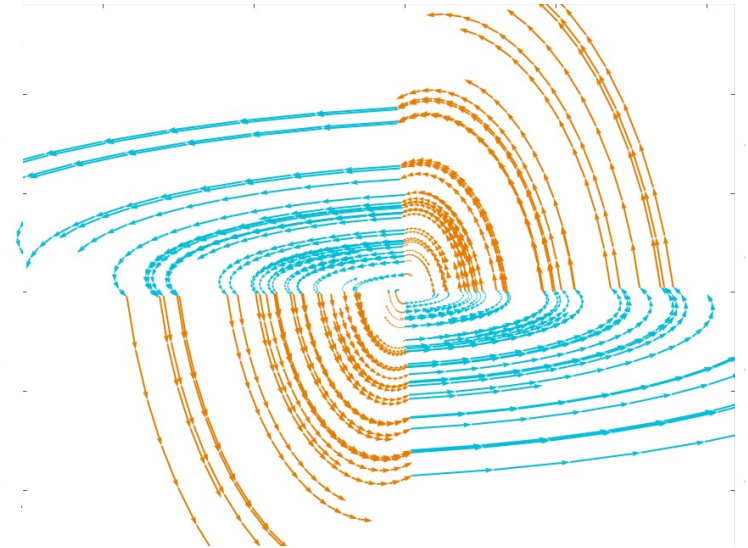
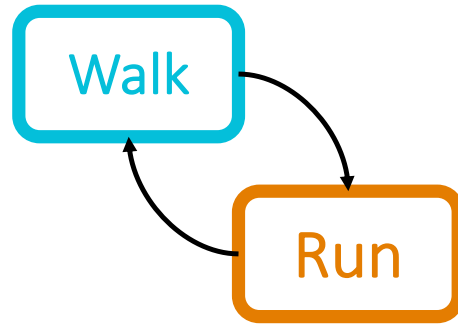
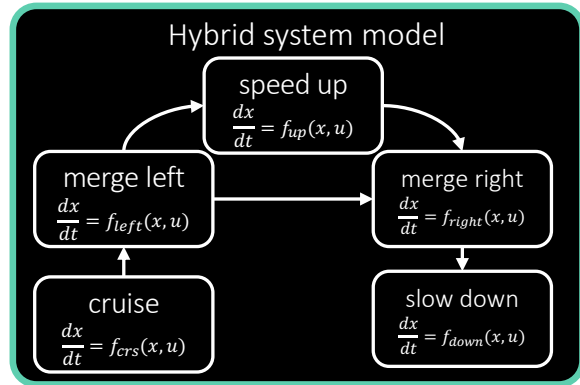
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Extensions from Control Theory

1. Hybrid Control

- Given discrete modes of continuous behavior, can we guarantee stability?



Extensions from Control Theory

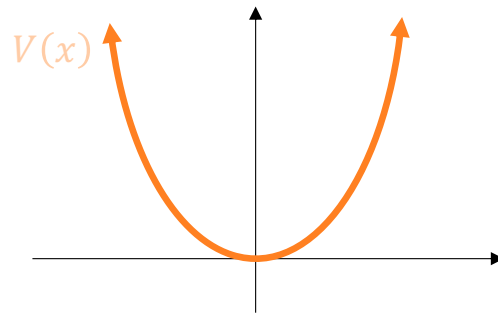
1. Hybrid Control

- Given discrete modes of continuous behavior, can we guarantee stability?

2. Lyapunov Stability

- The system is said to be Lyapunov stable about an equilibrium if

$$\forall \varepsilon > 0 \exists \delta_\varepsilon > 0 \text{ such that } |x_0| \leq \delta_\varepsilon \Rightarrow \forall t \geq 0, |\xi(x_0, t)| \leq \varepsilon$$



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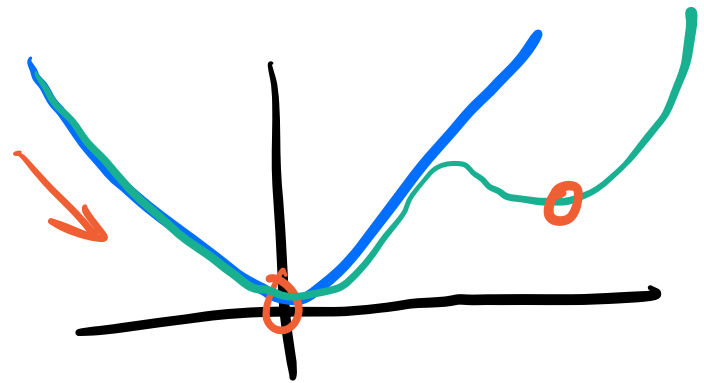
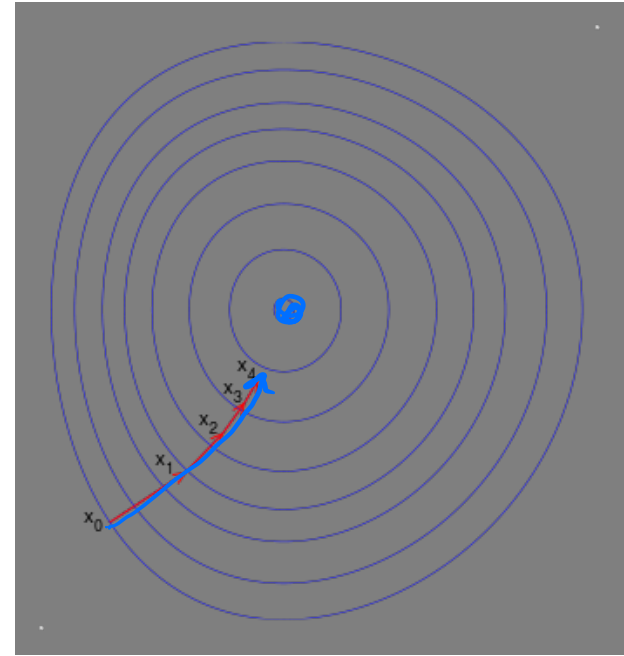
Convex Optimization

minimize $J(x)$
 x

subject to $g_i(x) \leq 0 \quad \forall i$

$h_j(x) = 0 \quad \forall j$

if J is convex,
 \rightarrow every local min
is a global min



Linear Quadratic Regulation (LQR)

$$x_{t+1} = Ax_t + Bu_t$$

$$J(x, u) = x_T^T Q_F x_T + \sum_t x_t^T Q x_t + u_t^T R u_t$$

where $Q, R, Q_F \in \mathbb{R}^{n \times n}$



Is Optimal Enough?

Deploying a PID Controller



Is Optimal Enough?

Deploying a PID Controller



Model Predictive Control



Model Predictive Control

Receding Horizon Approach:

minimize _{x, u} $J(x, u)$

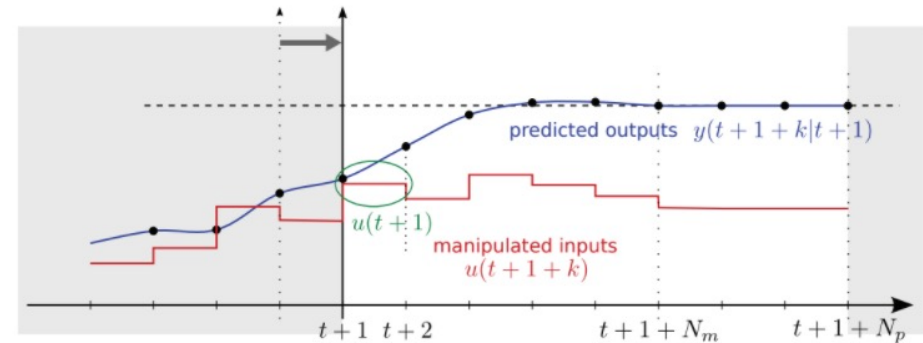
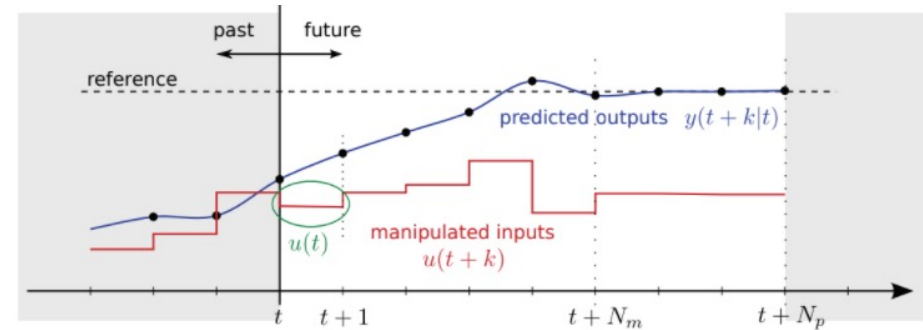
subject to $x_t = f(x_{t-1}, u_{t-1})$

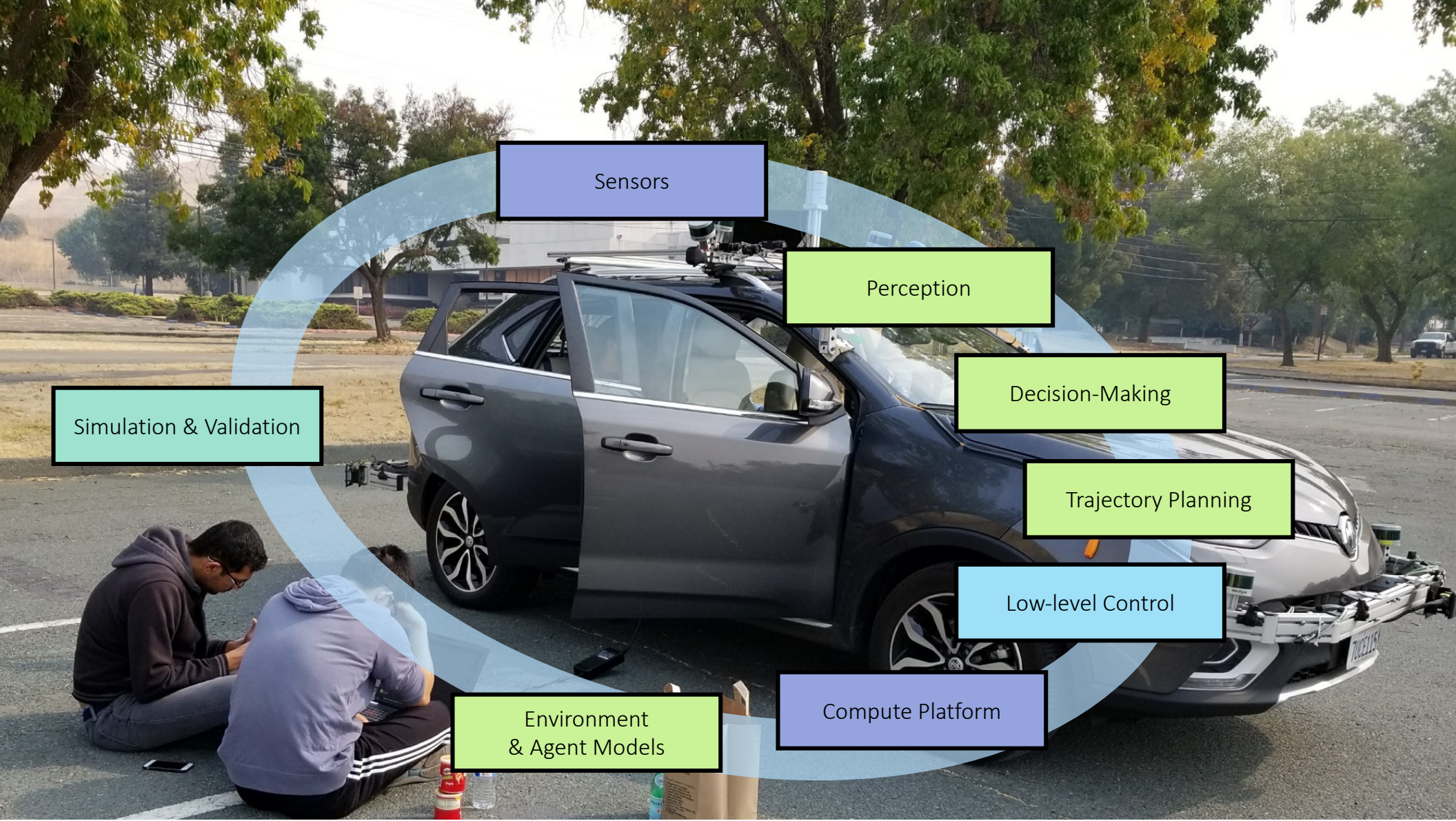
$$x_0 = x_{init}, x_T = x_G$$

$$\underline{u} \leq u \leq \bar{u}$$

$$\underline{x} \leq x \leq \bar{x}$$

Optimize over time horizon T , execute u_1 ,
optimize again with updated information.





Sensors

Perception

Decision-Making

Trajectory Planning

Low-level Control

Compute Platform

Environment
& Agent Models

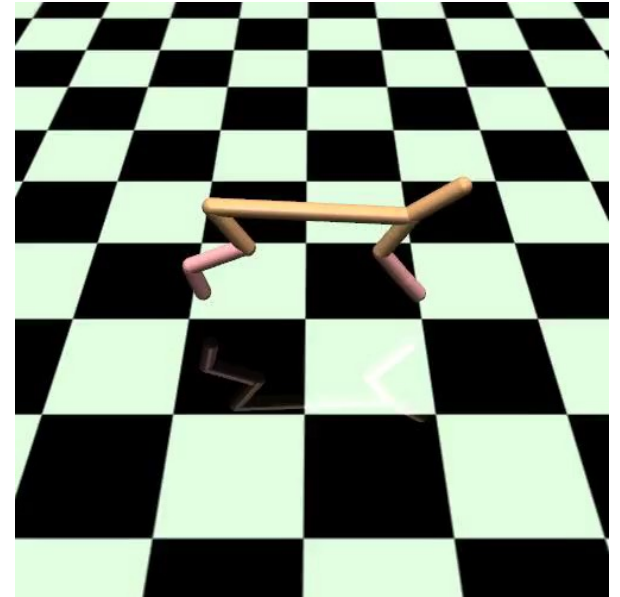
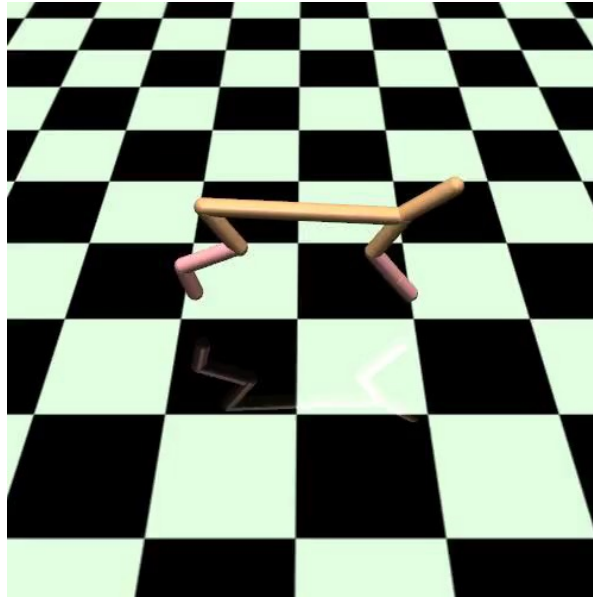
Simulation & Validation

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RL Approaches: Hand Specifying Rewards

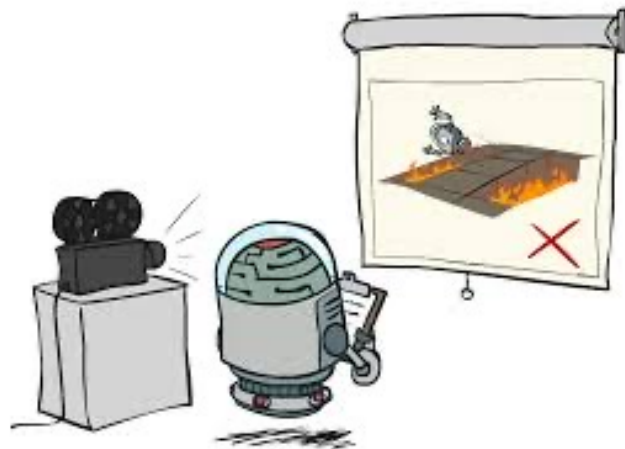


Experience vs. Demonstrations

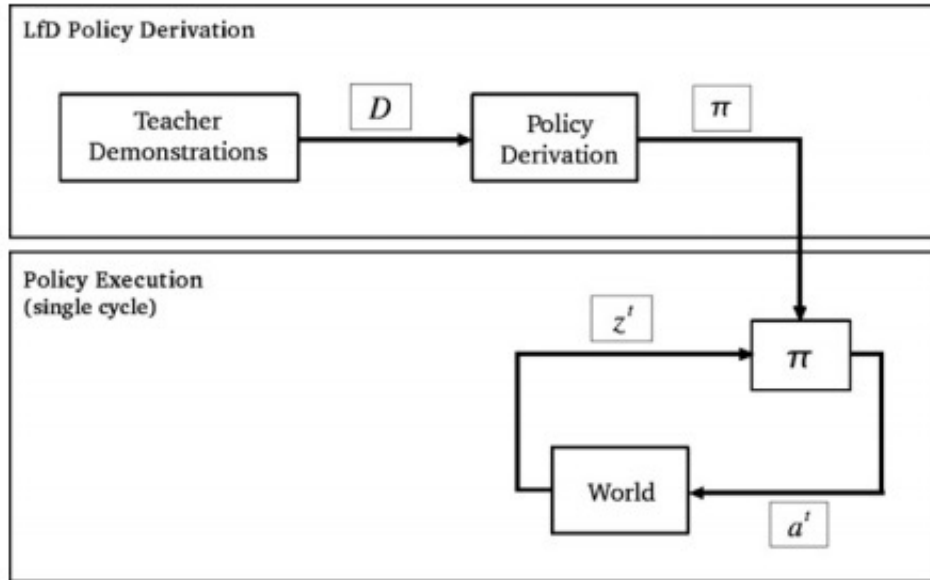
Reinforcement Learning



Demonstrations (sort of)



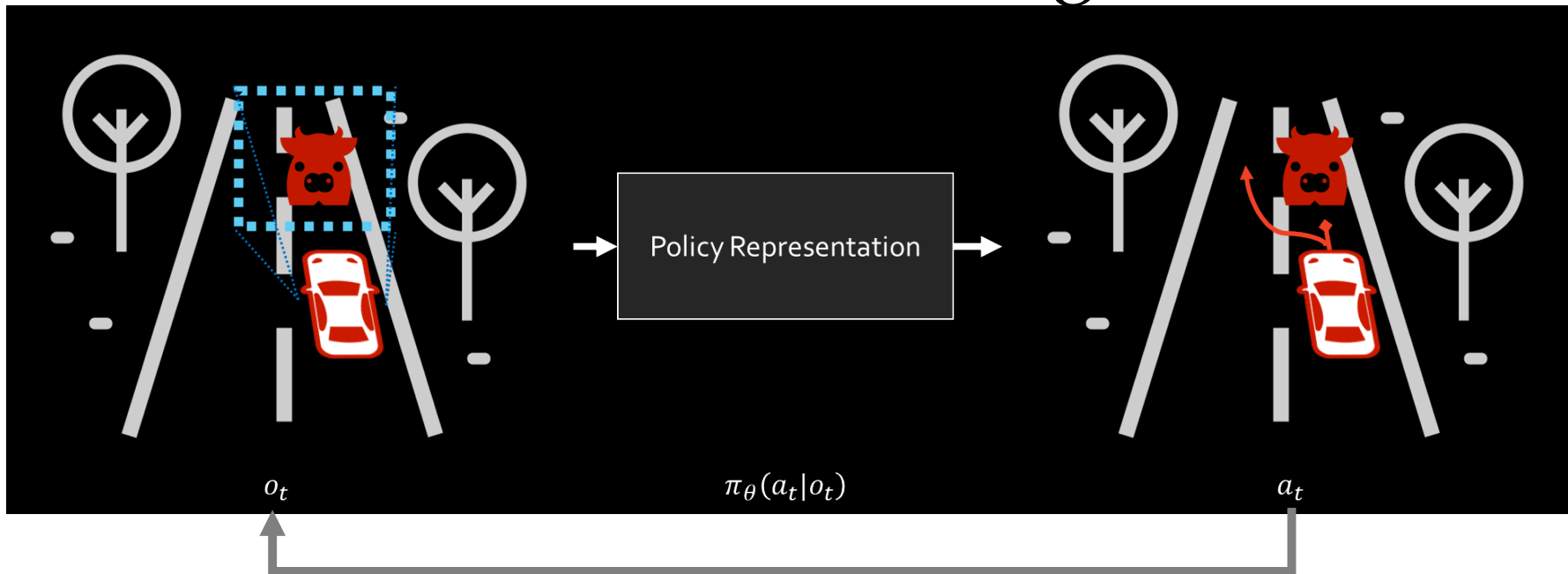
LfD: Framework and Design Choices



- Demonstration approach
 - Choice of demonstrator (expert)
 - Demonstration technique (offline, online, iterative)
- Problem space continuity
- Dataset gathering (and limitations)
 - Correspondence (recording, embodiment)
 - Demonstration (teleoperation, shadowing)
- Policy derivation



Behavior Cloning



o_t

a_t

Training Data

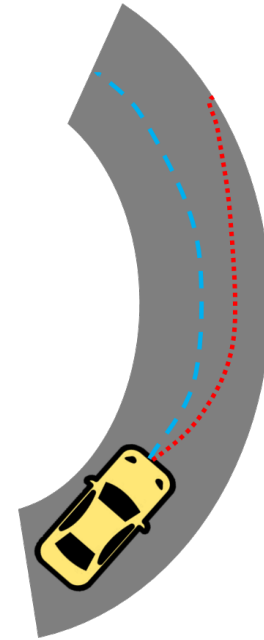
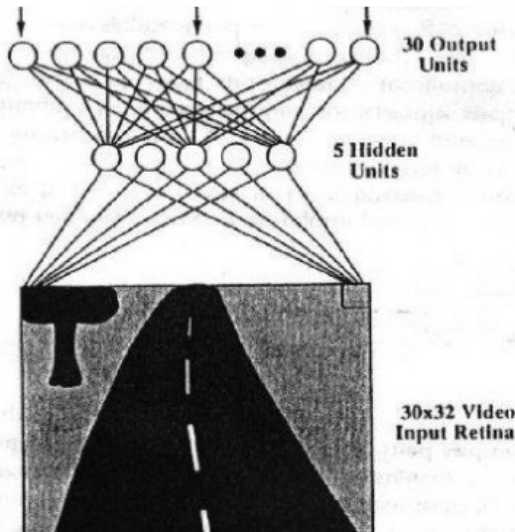
Supervised Learning

$\pi_{\theta}(a_t|o_t)$



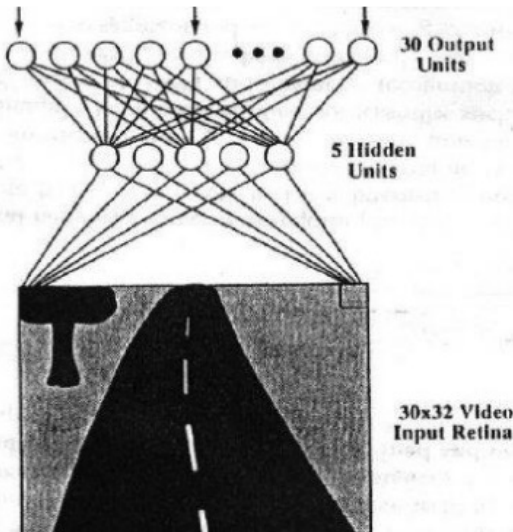
Behavior Cloning

ALVINN: Autonomous Land Vehicle In a Neural Network (1989)

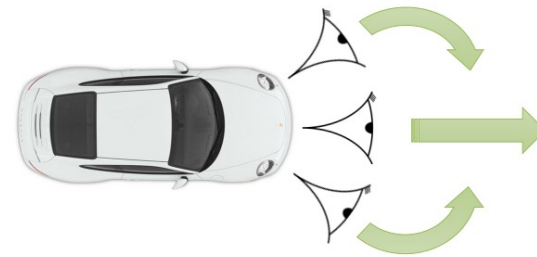
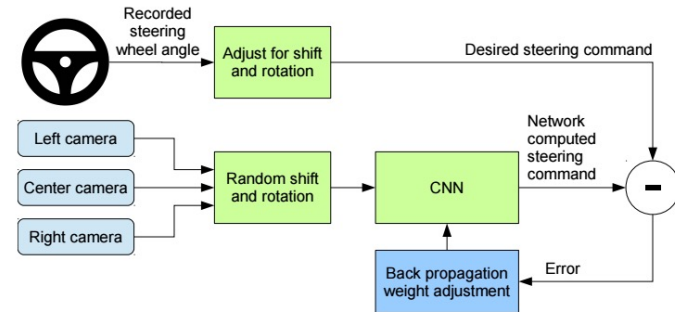


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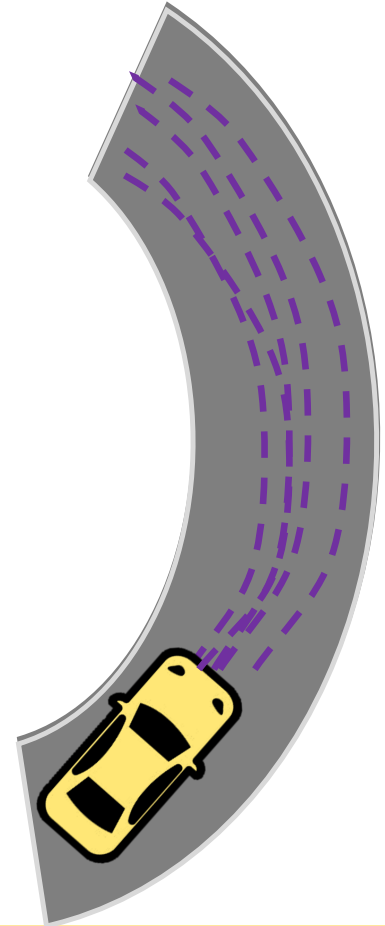
End-to-End Deep Learning for Self-Driving Cars (2016)



HG-Dagger:
Interactive Imitation Learning with Human Experts
M. Kelly, C. Sidrane, K. Driggs-Campbell, M. Kochenderfer

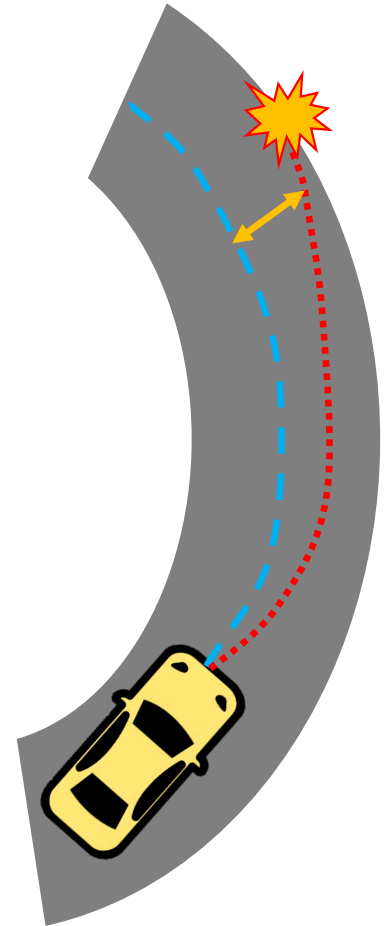
(Deep) Imitation Learning

- Given sample trajectories from an expert, try to learn the underlying policy



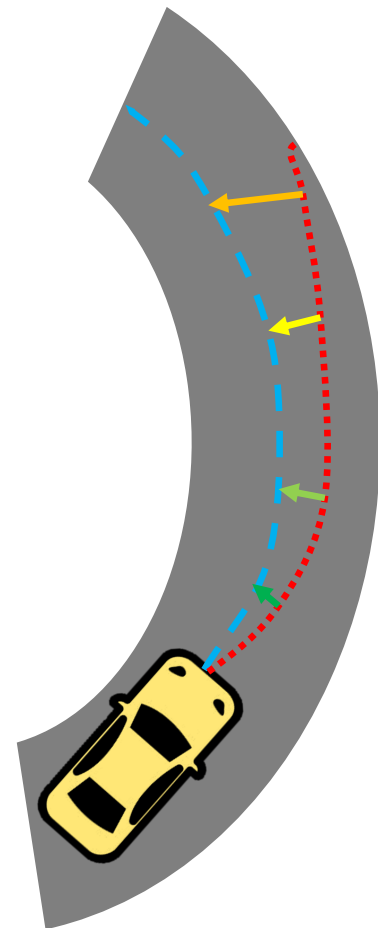
(Deep) Imitation Learning

- Given sample trajectories from an expert, try to learn the underlying policy
- Tends to suffer from distribution shift, compounding errors, model mismatch

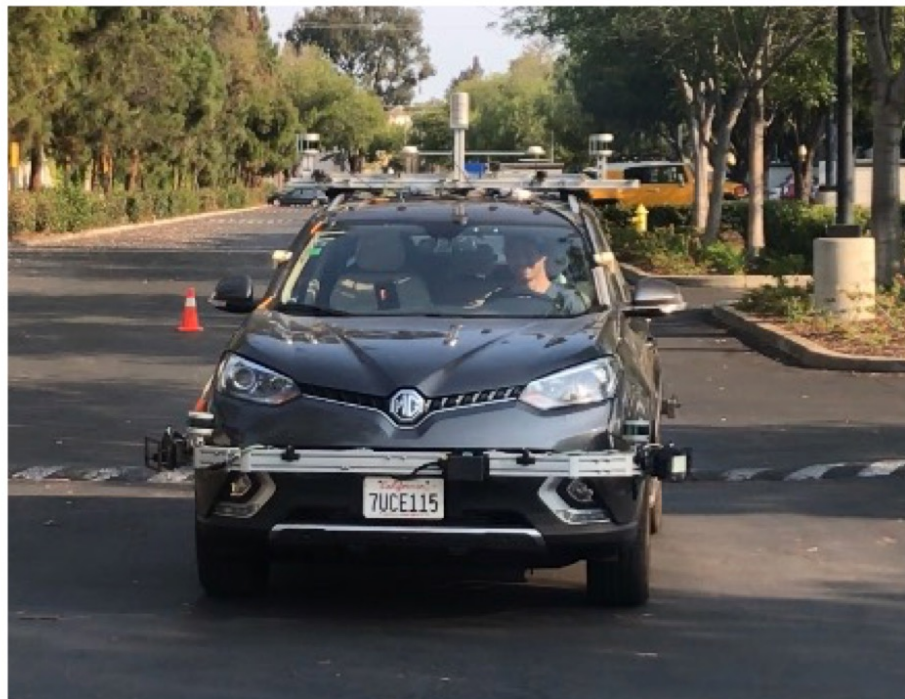


(Deep) Imitation Learning

- Given sample trajectories from an expert, try to learn the underlying policy
- Tends to suffer from distribution shift, compounding errors, model mismatch
- By improving how we collect the data, we can improve the resulting policy!



Human-Gated Imitation Learning



HG-DAgger:
Interactive Imitation Learning with Human Experts

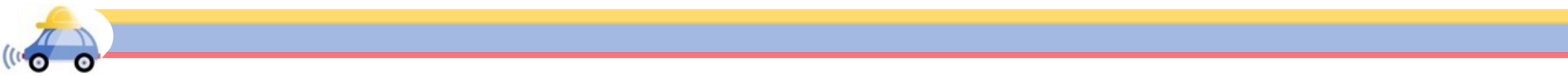
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Summary

- Introduced a few advanced topics on **model-based control**
- Discussed learning and end-to-end (**model-free**) approaches
- Note that all of the methods discussed require some low-level controller (i.e., PID) and some high-level input (i.e., decision-making)
- Did not discuss the safety implications of different control methods!
What do you think are the hazards and advantages of different approaches?
- *Next time*: Filtering and localization!



Extra Slides



Inverse Reinforcement Learning

- Given an optimal trajectory, we want to find the cost function:

$$\xi_D \rightarrow \mathcal{U}: \mathcal{E} \rightarrow \mathbb{R}_+ \text{ s.t. } \mathcal{U}[\xi_D] \leq \mathcal{U}[\xi], \forall \xi$$

- Rewrite as: $\mathcal{U}[\xi_D] \leq \min_{\xi} \mathcal{U}[\xi] \rightarrow$ Suffers from trivial solutions!

- Modify to find cost function that gives minimum cost by a margin:

$$\mathcal{U}[\xi_D] \leq \min_{\xi} \mathcal{U}[\xi] - l(\xi, \xi_D), \text{ where } l(\xi, \xi_D) = \begin{cases} 0 & \text{if } \xi = \xi_D \\ 1 & \text{otherwise} \end{cases}$$

- To make this hold true for the *maximum margin*:

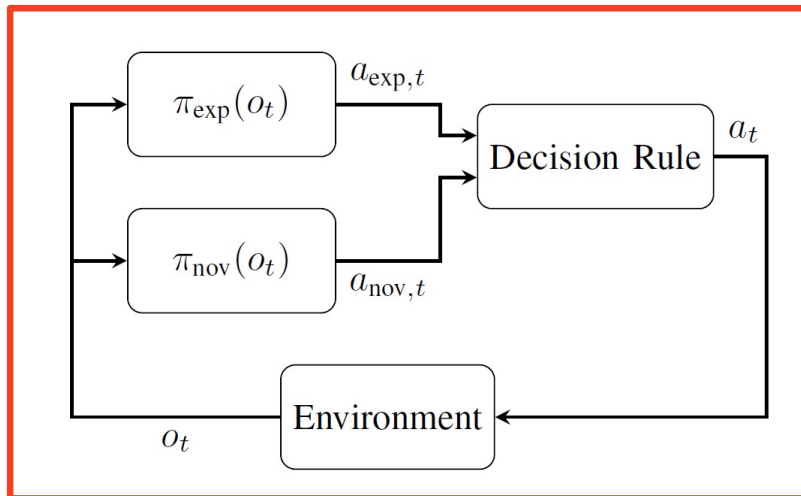
$$\begin{aligned} & \max_{\mathcal{U}} \min_{\xi} \mathcal{U}[\xi] - l(\xi, \xi_D) - \mathcal{U}[\xi_D] \\ & \min_{\mathcal{U}} \left[\mathcal{U}[\xi_D] - \min_{\xi} [\mathcal{U}[\xi] - l(\xi, \xi_D)] + \lambda R(\mathcal{U}) \right] \end{aligned}$$

- To solve this problem, parameterize the function $\mathcal{U} \rightarrow$ often a linear combination of features



Dagger: Dataset Aggregation

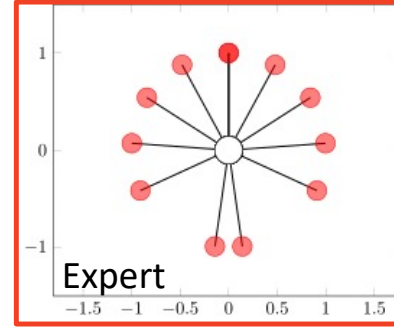
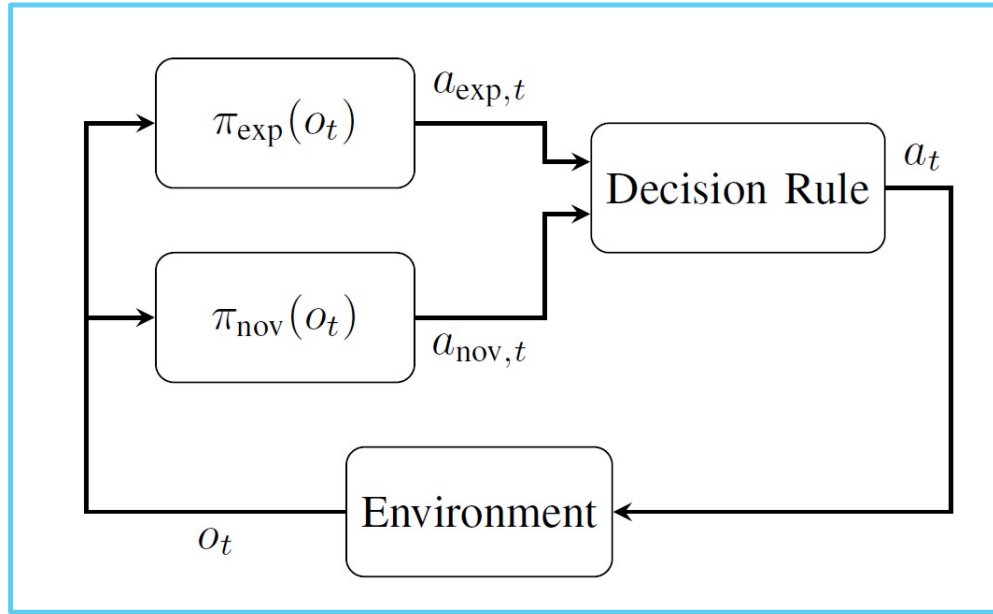
1. Train π_{nov} from human data \mathcal{D}
2. Run π_{nov} to get dataset $\mathcal{D}_{\pi_{nov}}$
3. Obtain corrected labels
4. Aggregate: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_{\pi_{nov}}$
5. Repeat!



Algorithm 2 VANILLADAGGER Decision Rule

```
1: procedure DR( $o_t, i, \beta_0, \lambda$ )
2:    $a_{nov,t} \leftarrow \pi_{nov}(o_t)$ 
3:    $a_{exp,t} \leftarrow \pi_{exp}(o_t)$ 
4:    $\beta_i \leftarrow \lambda^i \beta_0$ 
5:    $z \sim \text{Uniform}(0, 1)$ 
6:   if  $z \leq \beta_i$ 
7:     return  $a_{exp,t}$ 
8:   else
9:     return  $a_{nov,t}$ 
```

“Safe” Imitation Learning



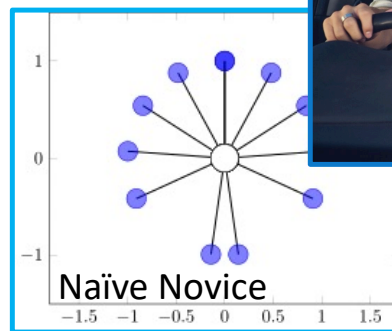
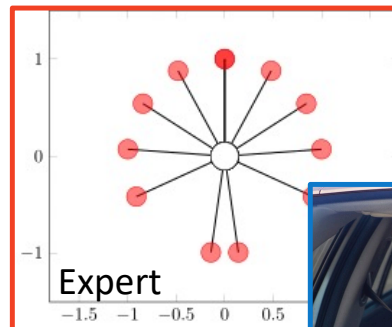
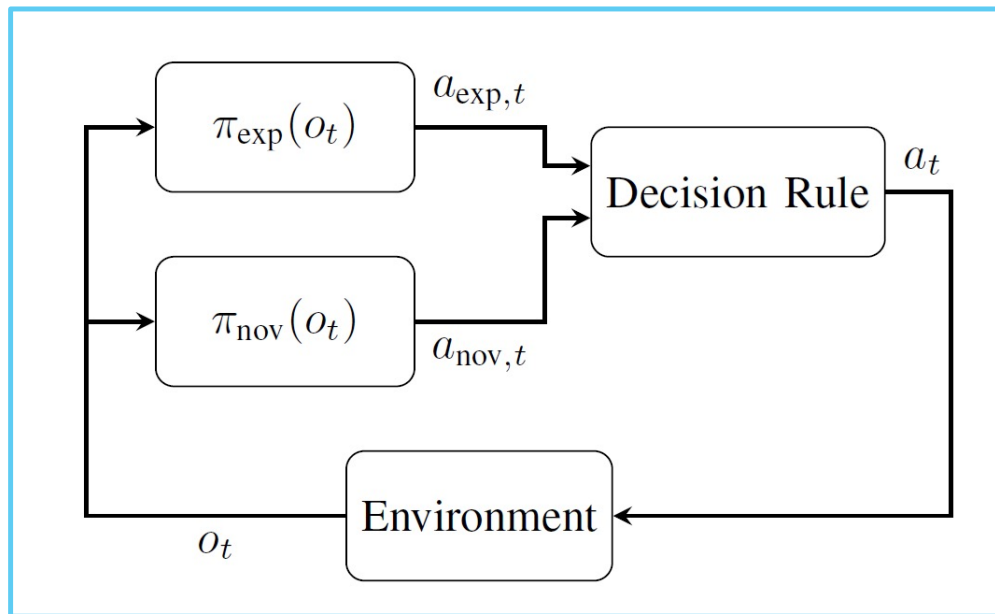
Naïve Novice

Online Training

Trained Novice



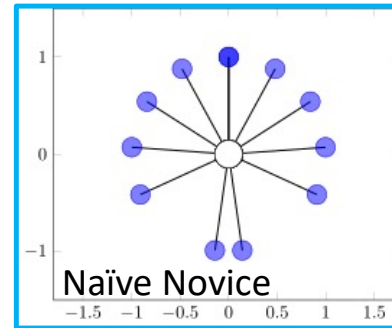
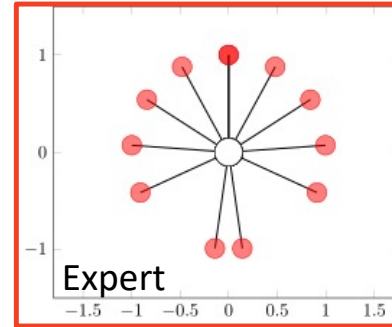
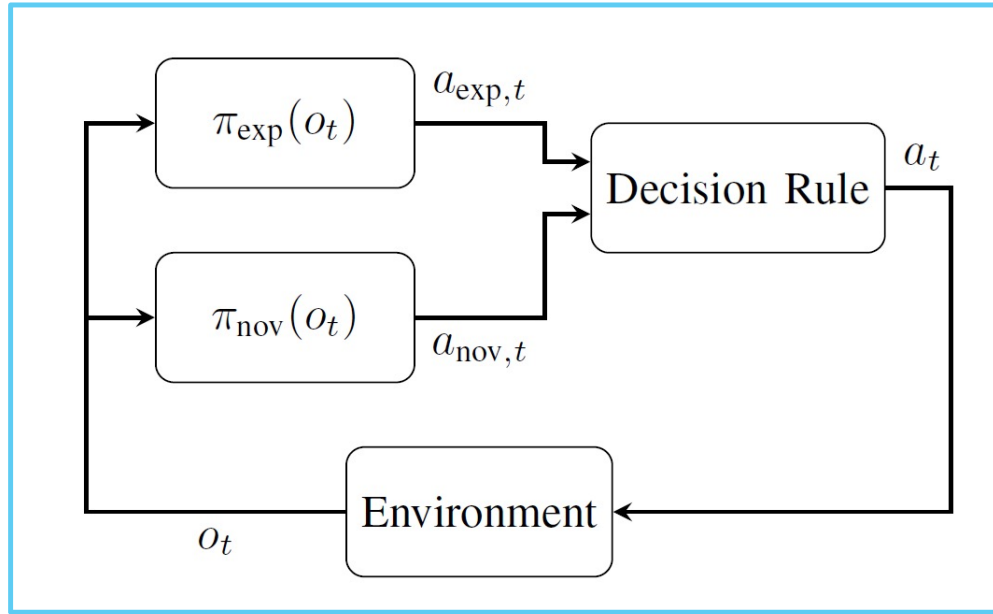
“Safe” Imitation Learning



Trained Novice



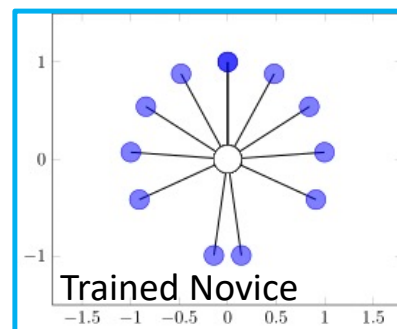
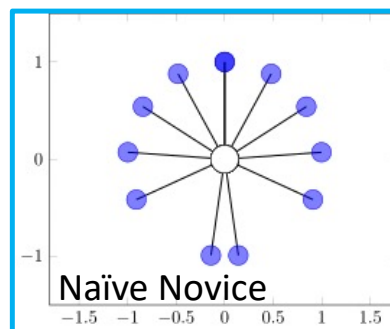
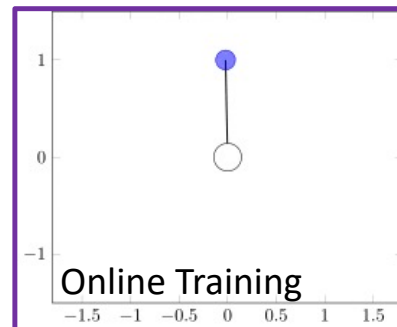
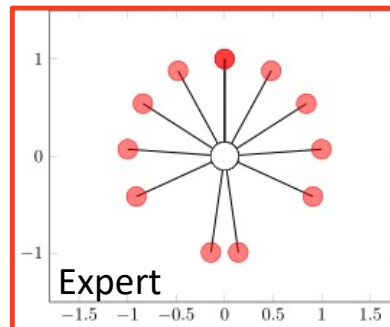
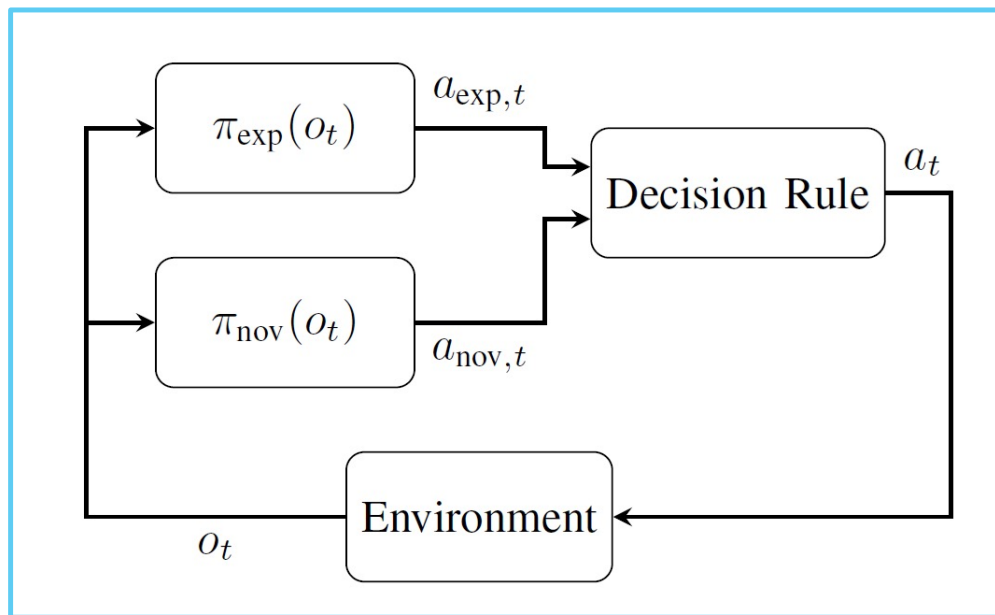
“Safe” Imitation Learning



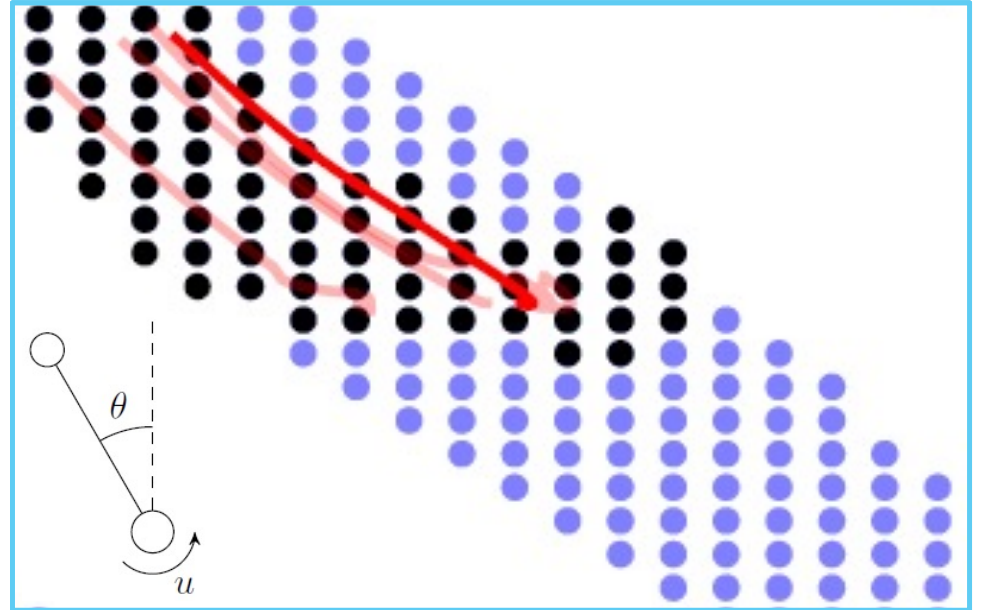
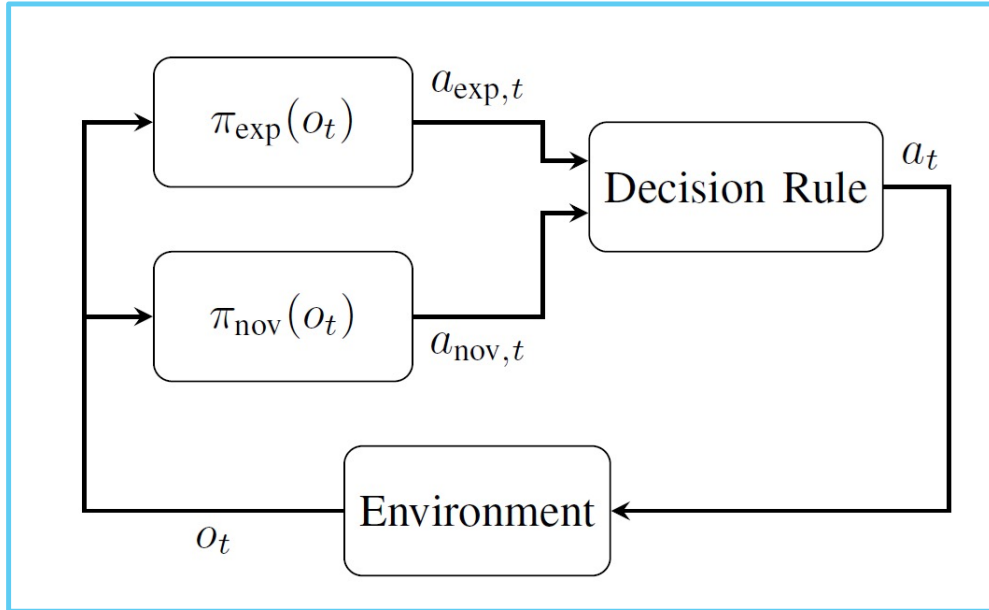
Trained Novice



“Safe” Imitation Learning



Safe Imitation Learning



Methods for Determining the Decision Rule?

Algorithm 3 SAFEDAGGER* Decision Rule

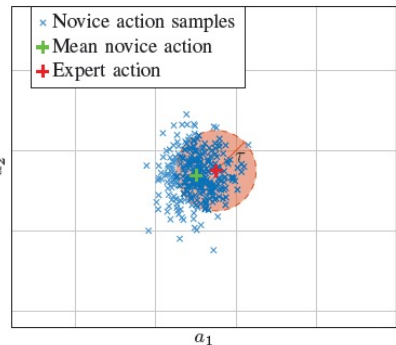
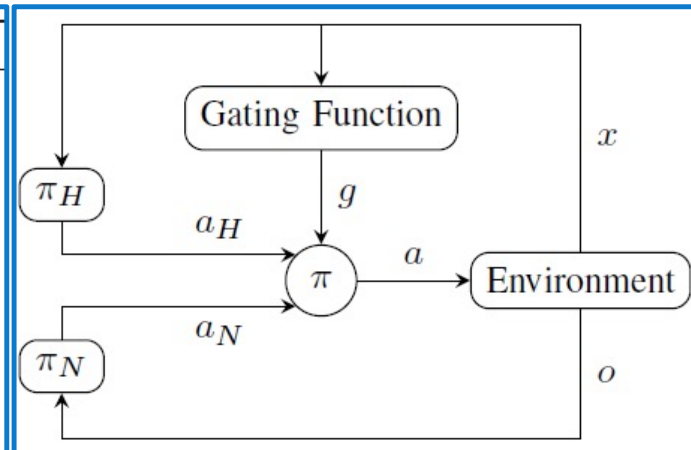
```

1: procedure DR( $o_t, \tau$ )
2:    $a_{\text{nov},t} \leftarrow \pi_{\text{nov}}(o_t)$ 
3:    $a_{\text{exp},t} \leftarrow \pi_{\text{exp}}(o_t)$ 
4:   if  $\|a_{\text{nov},t} - a_{\text{exp},t}\|^2 \leq \tau$ 
5:     return  $a_{\text{nov},t}$ 
6:   else
7:     return  $a_{\text{exp},t}$ 
  
```

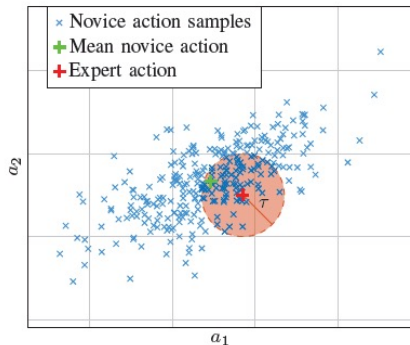
Algorithm 4 EnsembleDagger Decision Rule

```

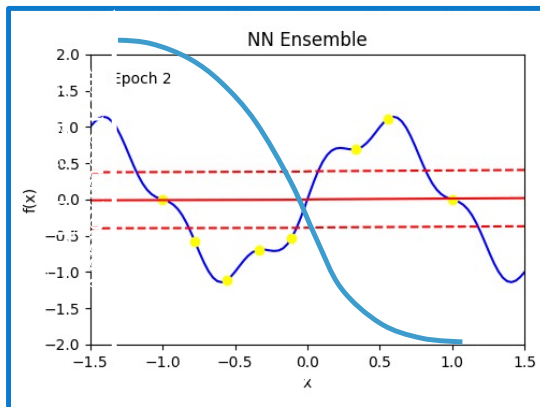
1: procedure DR( $o_t, \tau, \chi$ )
2:    $\bar{a}_{\text{nov},t}, \sigma_{\text{nov},t}^2 \leftarrow \pi_{\text{nov}}(o_t)$ 
3:    $a_{\text{exp},t} \leftarrow \pi_{\text{exp}}(o_t)$ 
4:    $\hat{\tau} \leftarrow \|\bar{a}_{\text{nov},t} - a_{\text{exp},t}\|^2$ 
5:    $\hat{\chi} \leftarrow \sigma_{\text{nov},t}^2$ 
6:   if  $\hat{\tau} \leq \tau$  and  $\hat{\chi} \leq \chi$ 
7:     return  $\bar{a}_{\text{nov},t}$ 
8:   else
9:     return  $a_{\text{exp},t}$ 
  
```



(a) Well-represented state

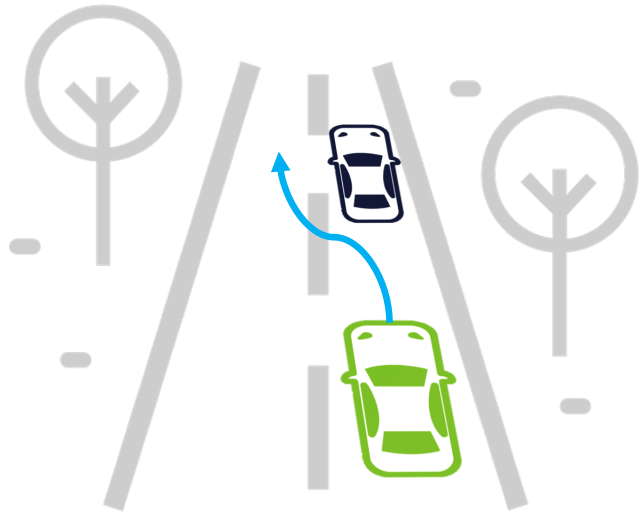


(b) Poorly-represented state

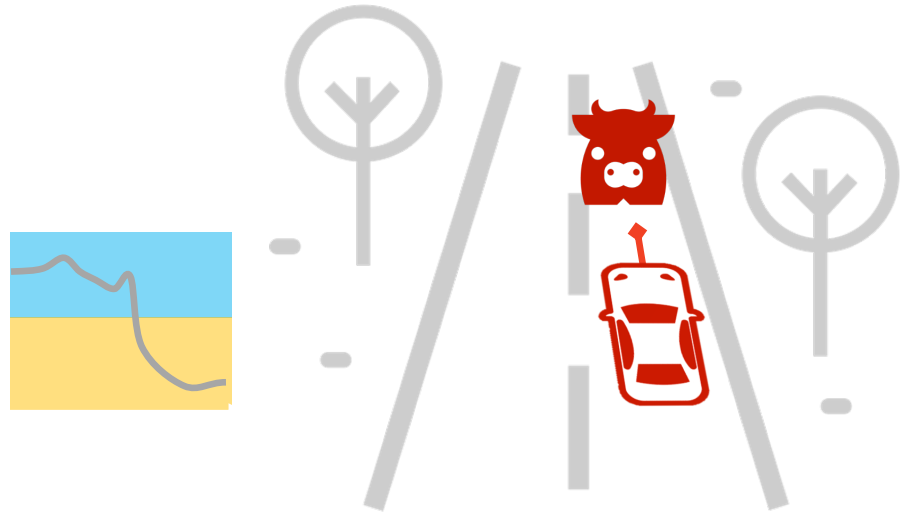


Self-Driving Demonstration

High Confidence in NN Policy



Unseen scenario → Resume control



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