

Proposition 2 Consider any automaton  $A = \langle Q, Q_0, \delta \rangle$

and a set  $I \subseteq Q$  such that (i)  $Q_0 \subseteq I$  (ii)  $\text{Post}(I) \subseteq I$ .

Then  $\text{Post}^R(Q_0) \subseteq I$ .

Such an  $I$  is called an inductive invariant.

Recall  $\text{Post}(S) = \{x' \mid \exists x \in S (x, x') \in \delta\}$

$$\text{Post}^R(S) = S \quad R=0$$

$$= \text{Post}(\text{Post}^{R-1}(S)) \quad R > 0$$

$\text{Post}(\cdot)$  is monotonic.

Proof. Induction on  $R$ .

Base  $R=0$   $\text{Post}^R(Q_0) = \text{Post}^0(Q_0) = Q_0$  [def Post]  
 $Q_0 \subseteq I$  [by (i)]

Inductive step Assume  $\text{Post}^R(Q_0) \subseteq I$  for some  $k$ . <sup>(i)</sup>

We have to show that  $\text{Post}^{R+1}(Q_0) \subseteq I$ .

We apply  $\text{post}(\cdot)$  to both sides of (i)

By monotonicity of  $\text{Post}(\cdot)$   $\text{Post}(\text{Post}^R(Q_0)) \subseteq \text{Post}(I)$

$$\Rightarrow \text{Post}^{R+1}(Q_0) \subseteq \text{Post}(I) \quad [\text{def Post}^R]$$

$$\Rightarrow \text{Post}^{R+1}(Q_0) \subseteq I \quad \square \quad [\text{by (ii)}]$$

Remark (i) IF we can find an  $I \subseteq Q$  satisfying (i) and (ii) and  $I \cap \text{Unsafe} = \emptyset$

then we have proven that all executions of  $A$  - are safe [never enter Unsafe].

Remark (2) Prop 2 is IF — Then —  
not iff it is a sufficient condition  
for proving —  $\text{Post}^R(Q_0) \subseteq I$ .

Can you propose an obvious invariant  $I$ ?

Example from last lecture

if  $x_2 - x_1 < d_s$

$$v_1 := \max(0, v_1 - a_b)$$

else  $v_1 := v_1$

$$x_2 := x_2 + v_2$$

$$x_1 := x_1 + v_1$$

Unsafe: " $x_1 \geq x_2$ "

$$\text{Unsafe} \triangleq \{x \in \mathbb{R}^4 \mid x.x_1 \geq x.x_2\}$$

$$\text{Safe} = \text{Unsafe}^c = \{x \mid x.x_1 < x.x_2\}$$

Is safe an inductive invariant?

(i)  $\mathcal{Q}_0 \subseteq \text{Safe}$  ✓

(ii)  $\text{Post}(\text{Safe}) \subseteq \text{Safe}$  ✗

For an arbitrary state  $x$  with  $x.x_1 < x.x_2$

we cannot show that if  $(x, x') \in D$  the

$x'$  is also safe.

$x$  does not have enough velocity information

What if  $x.v_1 \gg x.x_2 - x.x_1$  ?

Thus we need to add more information in  $x_1 < x_2 \wedge v_1 > \dots$   
 we will also have to add assumptions about  $d_s$  : sensing dist  
 $a_b$  : braking force etc

Notice how trying to get an absolute proof is forcing us to "discover" assumptions that make the system work

New idea for I. bound max time of braking

Back to our example with small models

initially

$$x_1 = x_{10} \quad x_2 = x_{20} \quad v_1 = v_{10} \quad v_2 = 0 \quad \text{①}$$

$$\text{timer} = 0$$

$$\text{if } x_2 - x_1 \leq d_s$$

$$\text{if } v_1 \geq a_b$$

$$\text{① } \left\{ \begin{array}{l} v_1 := v_1 - a_b \\ \text{timer} := \text{timer} + 1 \end{array} \right.$$

$$\text{② } \text{else } v_1 := 0$$

$$\text{else } v_1 := v_1 \quad \text{--- ③}$$

$$x_1 := x_1 - v_1 \quad (1,2,3)$$

Candidate invariant:  $\text{timer} + \frac{v_1}{a_b} \leq \frac{v_0}{a_b} - I_2$

Claim:  $I_2$  is an inductive invariant

Proof  $I_2$  satisfies conditions (i) & (ii) of Prop 2.

(i)  $\forall x \in \mathcal{Q}_0 \quad x \in I_2 \quad x \cdot \text{timer} + \frac{x \cdot v_1}{a_b} \leq \frac{v_{10}}{a_b}$

(ii)  $0 + \frac{v_{10}}{a_b} \leq \frac{v_{10}}{a_b}$

$\forall x, x' \in \mathcal{Q}, x \in I_2, (x, x') \in D \Rightarrow x' \in I_2$

3 Cases

$x \cdot \text{timer} + \frac{x \cdot v_1}{a_b} \leq \frac{v_{10}}{a_b}$

①  $x' \cdot \text{timer} + \frac{x' \cdot v_1}{a_b}$

$= x \cdot \text{timer} + 1 + \frac{x \cdot v_1}{a_b} - \frac{a_b}{a_b} = x \cdot \text{timer} + \frac{x \cdot v_1}{a_b}$

$\leq \frac{v_{10}}{a_b} \quad [x \in I_2]$

②  $x' \cdot \text{timer} + \frac{x' \cdot v_1}{a_b} = x \cdot \text{timer} + 0 \leq \frac{v_{10}}{a_b} \quad [x \in I_2]$

③  $x' \cdot \text{timer} + \frac{x' \cdot v_1}{a_b} = x \cdot \text{timer} + \frac{x \cdot v_1}{a_b} \leq \frac{v_{10}}{a_b} \quad [x \in I_2]$

$\Rightarrow I_2$  is an invariant

in any execution at any step

$$\alpha[k].\text{timer} + \frac{\alpha[k].v_1}{a_b} \leq \frac{v_{10}}{a_b}$$

$$\Rightarrow \alpha[k].\text{timer} \leq \frac{v_{10}}{a_b}$$

Is this enough to infer safety?

No, what if  $d_s$  is too small for this time &  $v_{10}$ ?

Maximum distance traveled after detection

$$v_{10} \cdot \text{timer} \leq v_{10} \cdot \frac{v_{10}}{a_b}$$

So, if  $d_s > v_{10}^2 / a_b$  then  $\tilde{I}_2 \Rightarrow \text{Safe}$

Final statement. If the sensing range  $d_s > v_{10}^2 / a_b$  then in any reachable state  $x_2 > x_1$ , i.e. there is no collision.