

Lecture 10: Advanced Topics in Control

Professor Katie Driggs-Campbell

March 4, 2021

ECE484: Principles of Safe Autonomy



Administrivia

- Introducing half-time breaks ✓
- Safety training information posted on discord
- MP1 due this week
 - Demo due today
 - Report due Friday
- Milestone report due Friday 3/19 by 5pm
 - Rubric now online!



Today's Plan

- Quick discussion of future topics in advanced control theory
- Introduction to optimal control
 - Linear Quadratic Regulation (LQR)
 - Model Predictive Control (MPC)
- End-to-end learning



Today's Plan

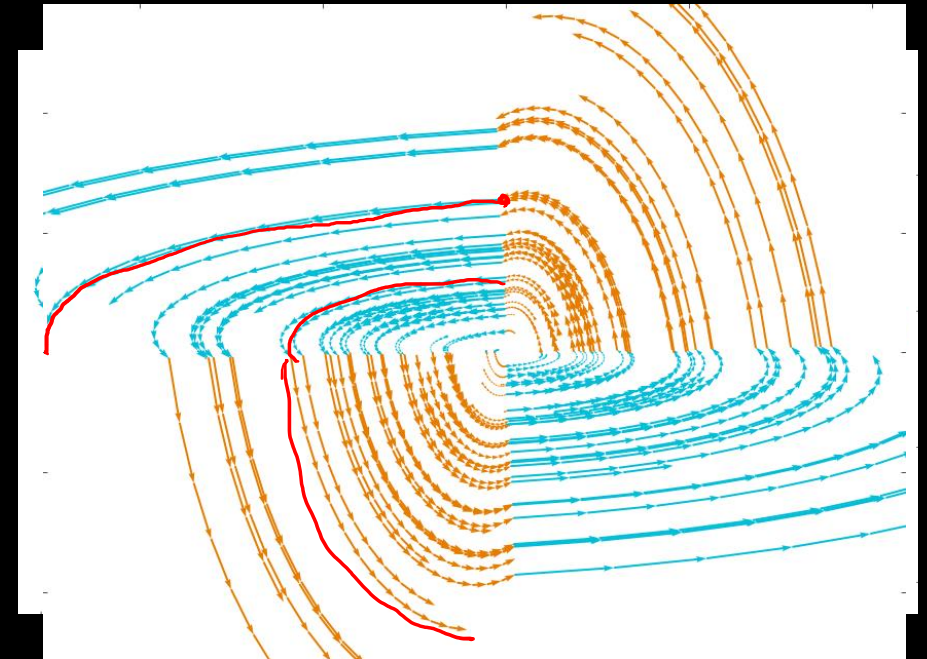
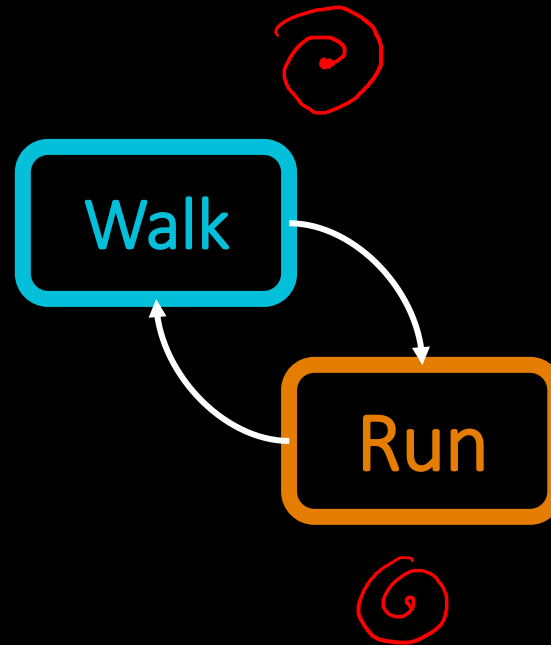
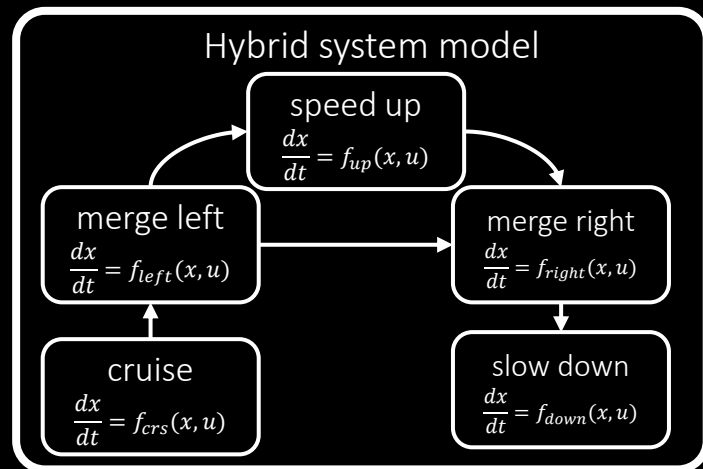
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Extensions from Control Theory

1. Hybrid Control

- Given discrete modes of continuous behavior, can we guarantee stability?



Extensions from Control Theory

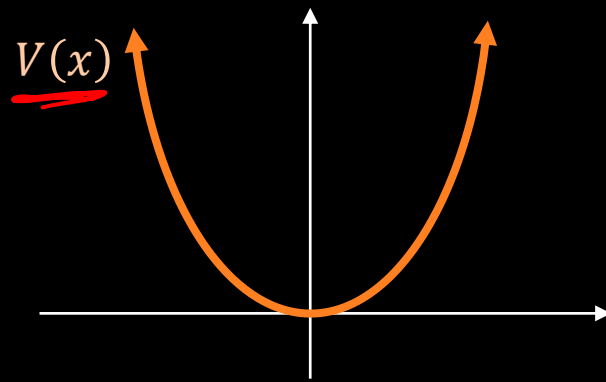
1. Hybrid Control

- Given discrete modes of continuous behavior, can we guarantee stability?

2. Lyapunov Stability

- The system is said to be Lyapunov stable about an equilibrium if

$$\forall \varepsilon > 0 \exists \delta_\varepsilon > 0 \text{ such that } |x_0| \leq \delta_\varepsilon \Rightarrow \forall t \geq 0, |\xi(x_0, t)| \leq \varepsilon$$



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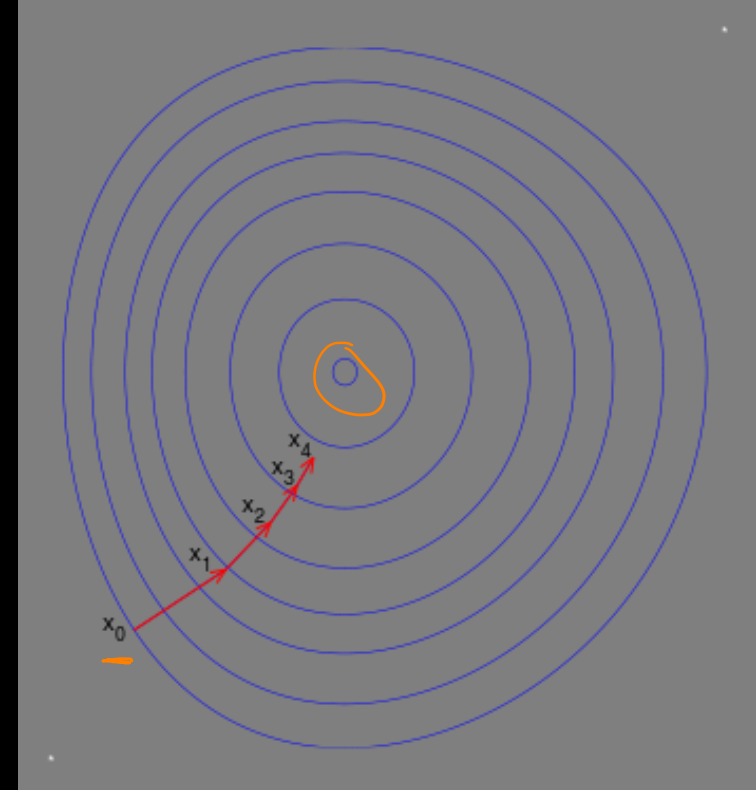
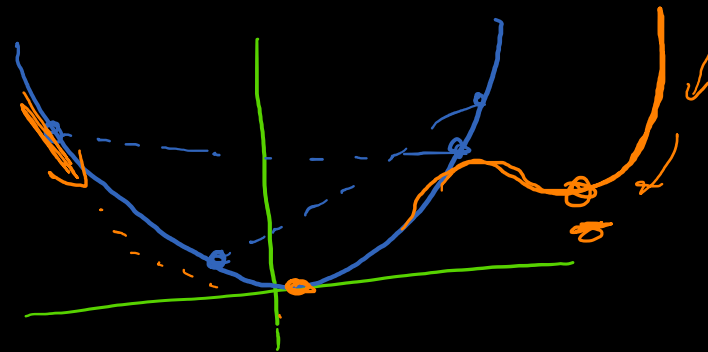
Convex Optimization

minimize $f(x)$

subject to

- $g_i(x) \leq 0, \forall i$
- $h_j(x) = 0, \forall j$

if f is convex:
→ every local min
is a global min



Linear Quadratic Regulation (LQR)

$$x_{t+1} = Ax_t + Bu_t \quad // \quad x = [x_1 \dots x_T]^T, \quad u = [u_1 \dots u_T]^T$$

goal: find input to min cost:

$$\rightarrow J(x, u) = x_T^T Q_F x_T + \sum_t x_t^T Q x_t + u_t^T R u_t$$

where $\underline{Q}, \underline{R}, \underline{Q}_F \in \mathbb{R}^{n \times n} \rightarrow$ solve w/ Least Squares!

$$x_2 = Ax_1 + Bu_1 \quad // \quad x_3 = Ax_2 + Bu_2 = A(Ax_1 + Bu_1) + Bu_2$$

\rightarrow full traj: $x = Gu + Hx_1$

$$J = x^T \begin{bmatrix} Q & & 0 \\ & \ddots & \\ 0 & & Q_F \end{bmatrix} x + u^T \begin{bmatrix} R & \\ & \ddots \\ & & R \end{bmatrix} u$$

$$u = (Q^{1/2} G)^T Q^{1/2} H x \quad \textcircled{\otimes}$$



Is Optimal Enough?

Deploying a PID Controller



Is Optimal Enough?

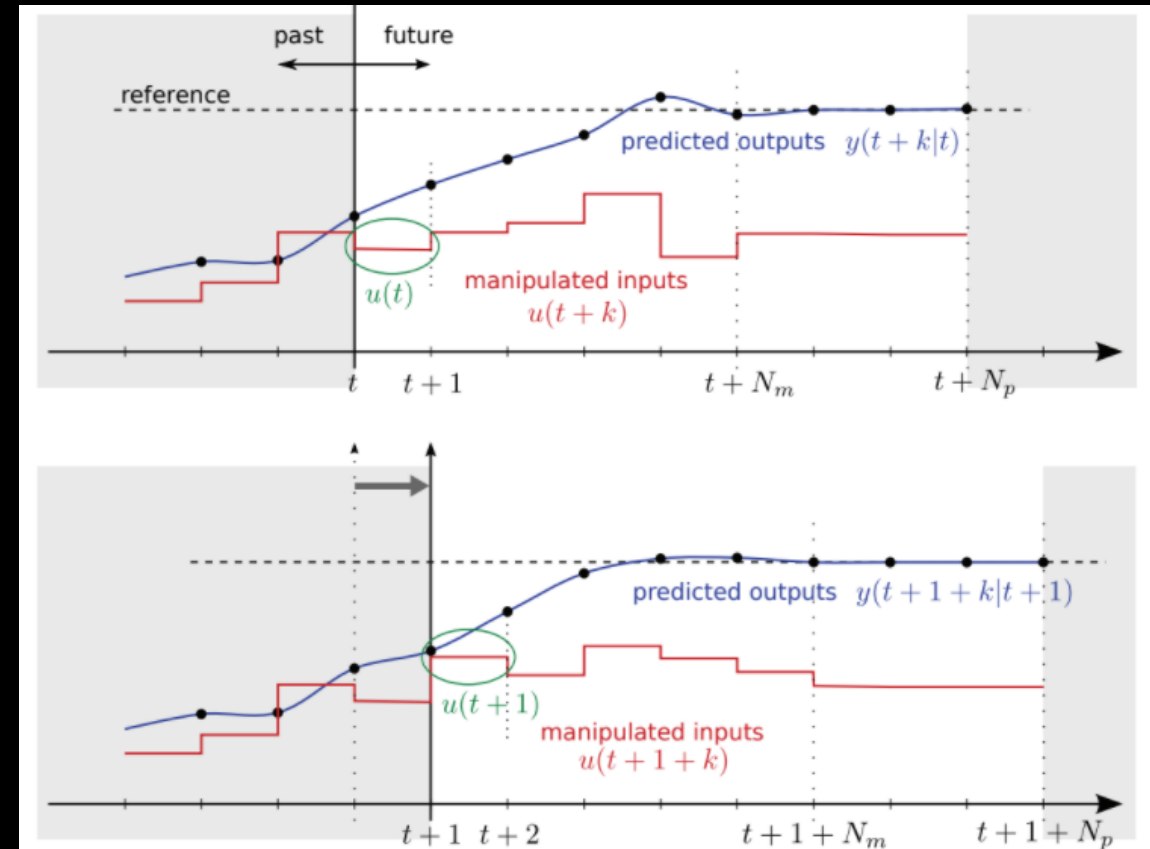
Deploying a PID Controller

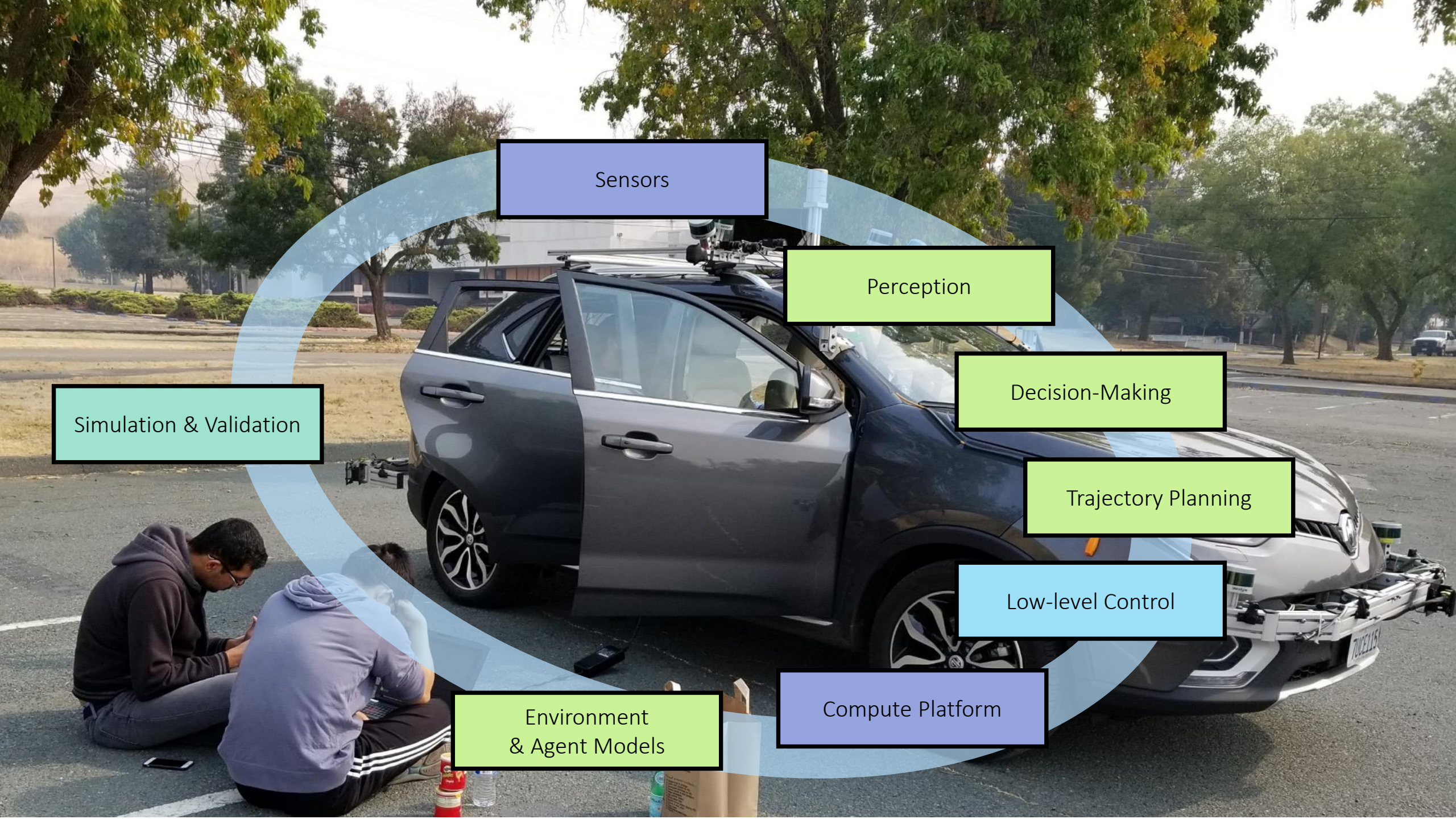


Model Predictive Control



Model Predictive Control





Simulation & Validation

Sensors

Perception

Decision-Making

Trajectory Planning

Low-level Control

Compute Platform

Environment & Agent Models

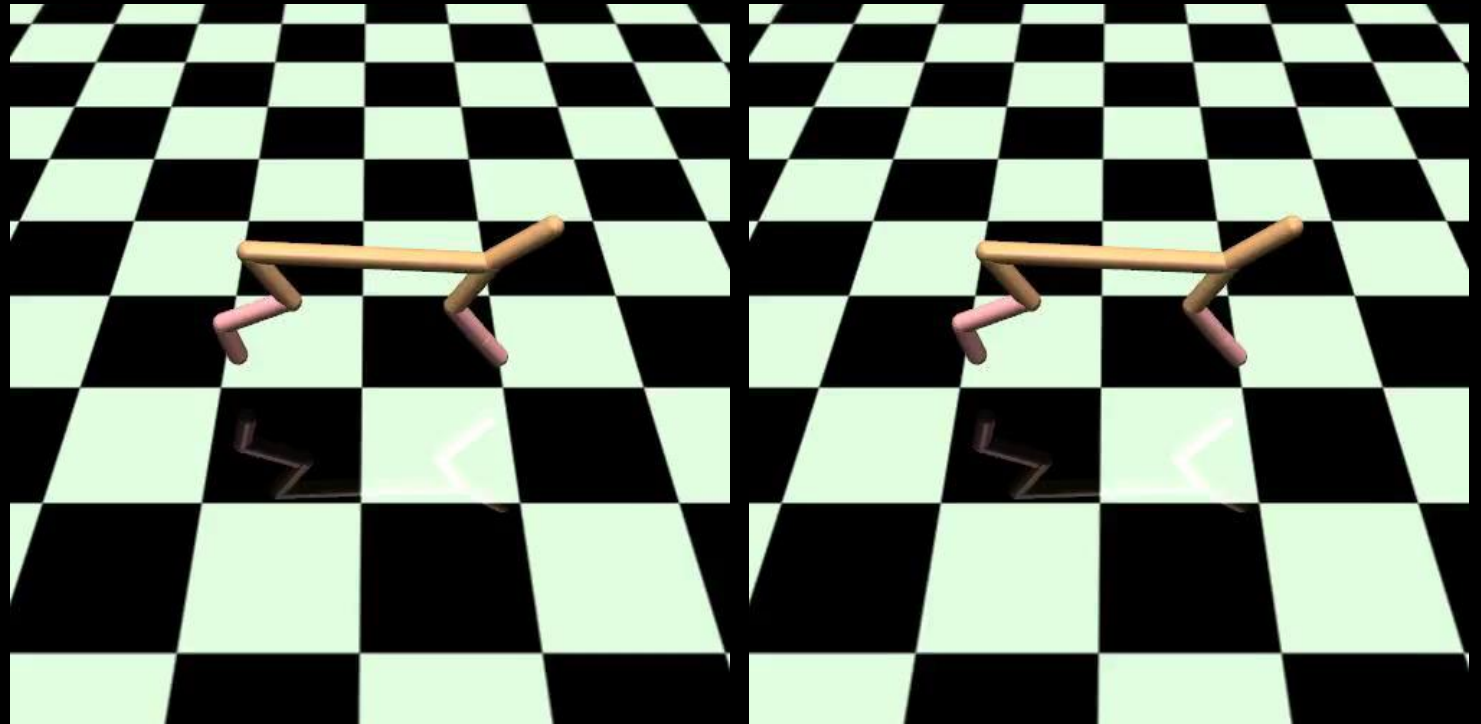
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RL Approaches: Hand Specifying Rewards

OpenAI Gym Racecar Environment

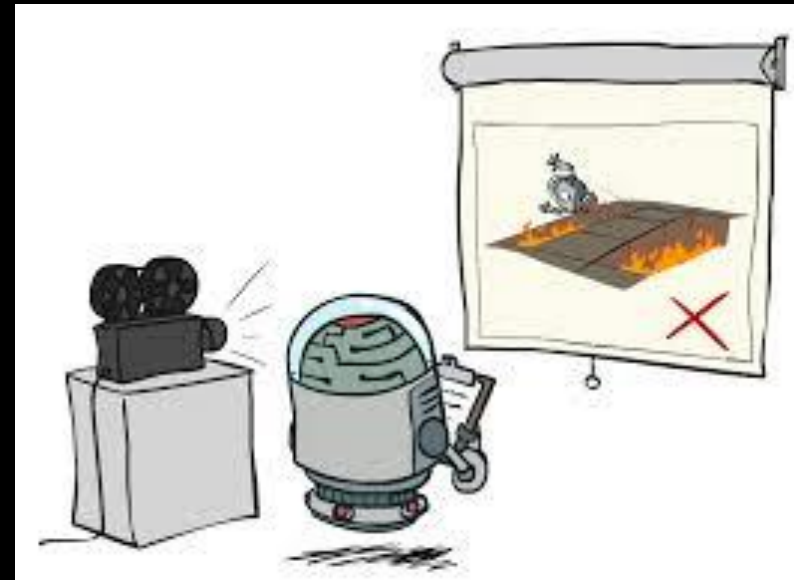


Experience vs. Demonstrations

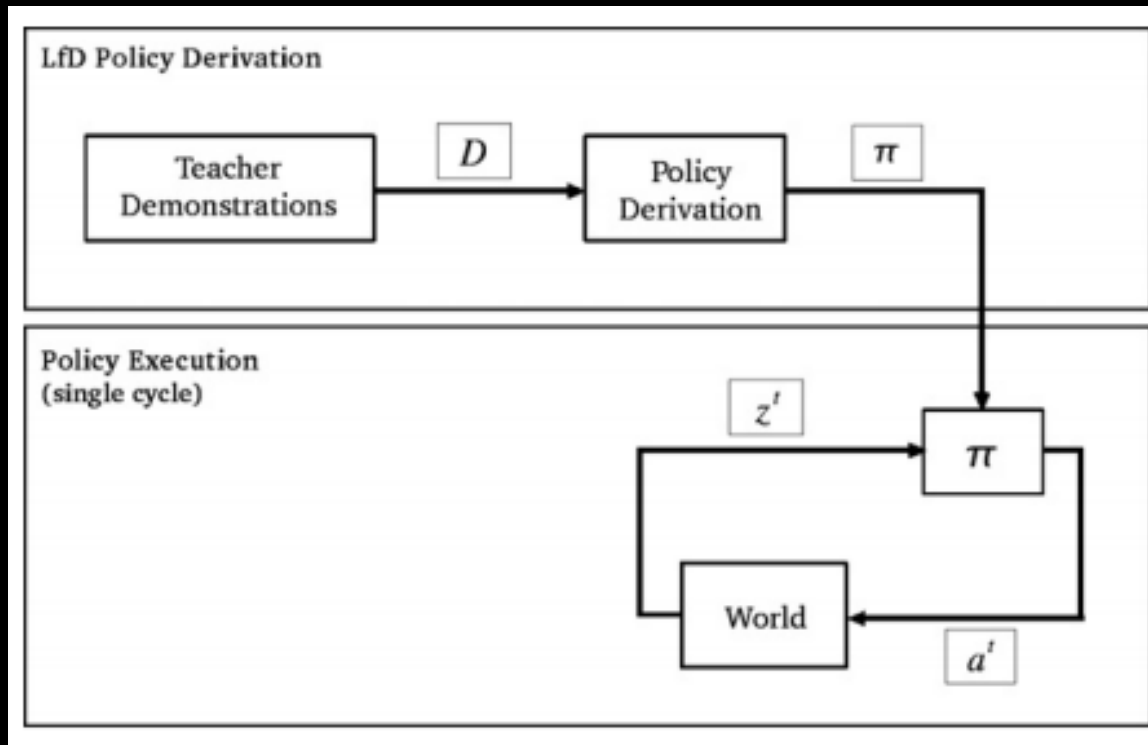
Reinforcement Learning



Demonstrations (sort of)



LfD: Framework and Design Choices

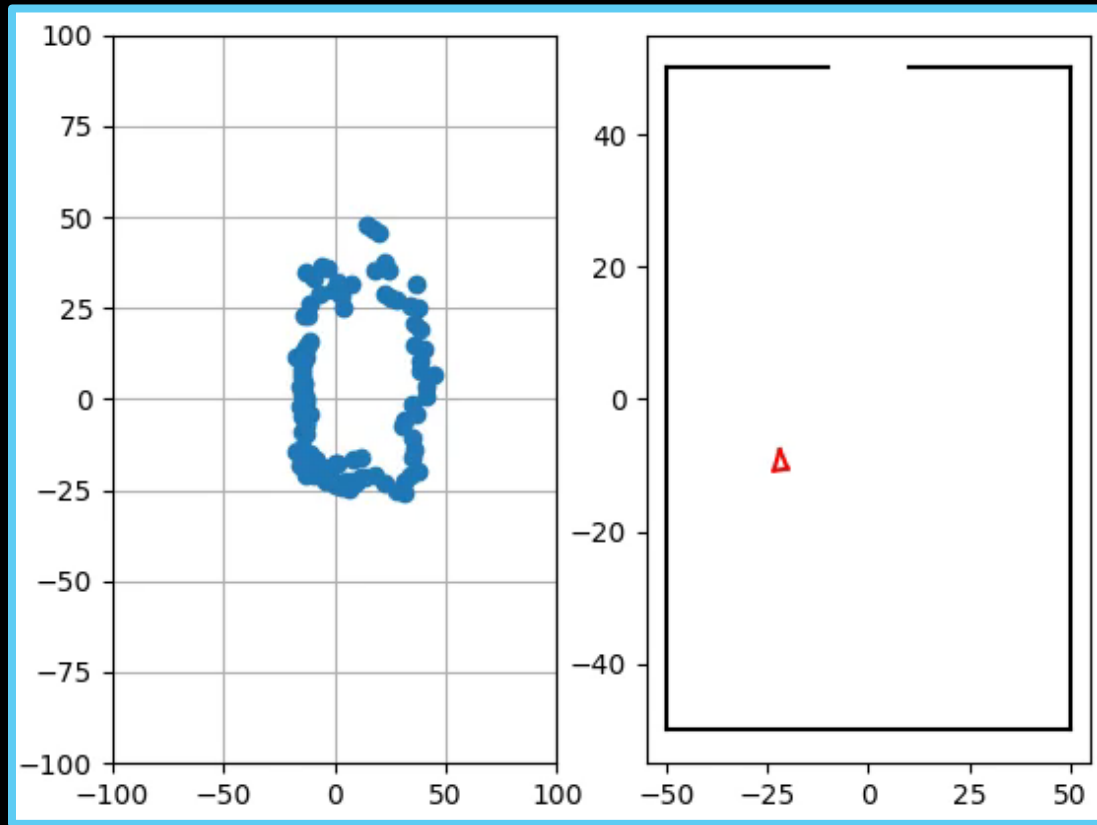


$$d_j = \{(z_j^i, a_j^i)\} \in D$$
$$z_j^i \in Z, a_j^i \in A$$
$$i = 0, \dots, k_j$$

- Demonstration approach
 - Choice of demonstrator (expert)
 - Demonstration technique (offline, online, iterative)
- Problem space continuity
- Dataset gathering (and limitations)
 - Correspondence (recording, embodiment)
 - Demonstration (teleoperation, shadowing)
- Policy derivation



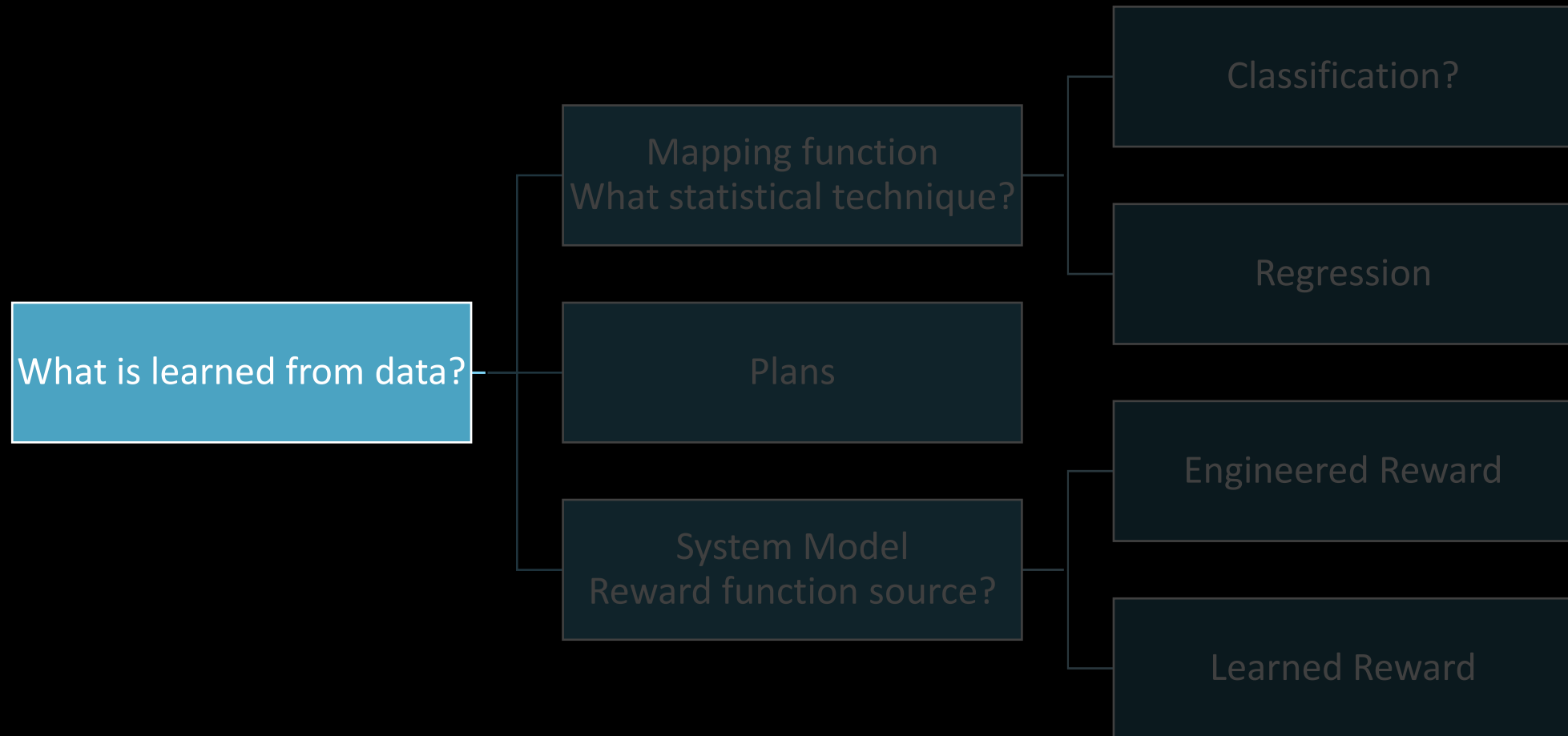
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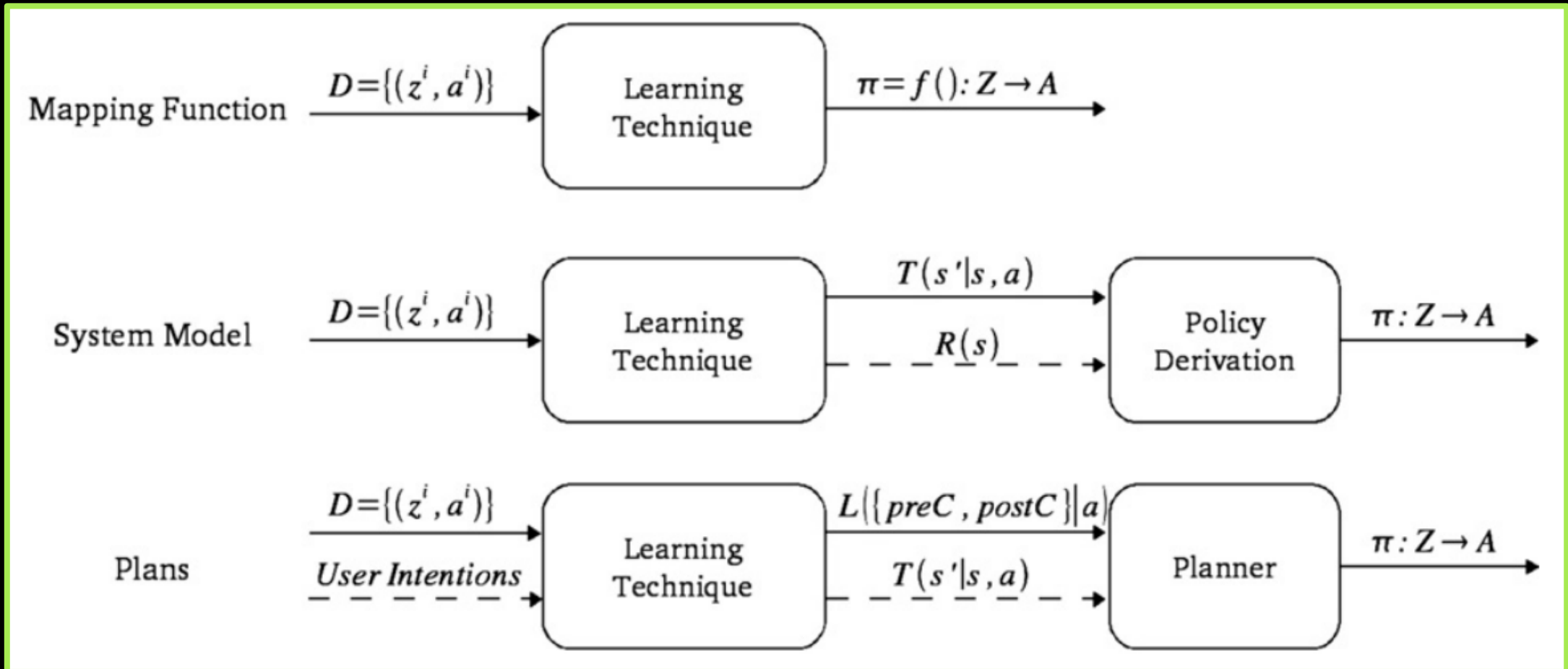
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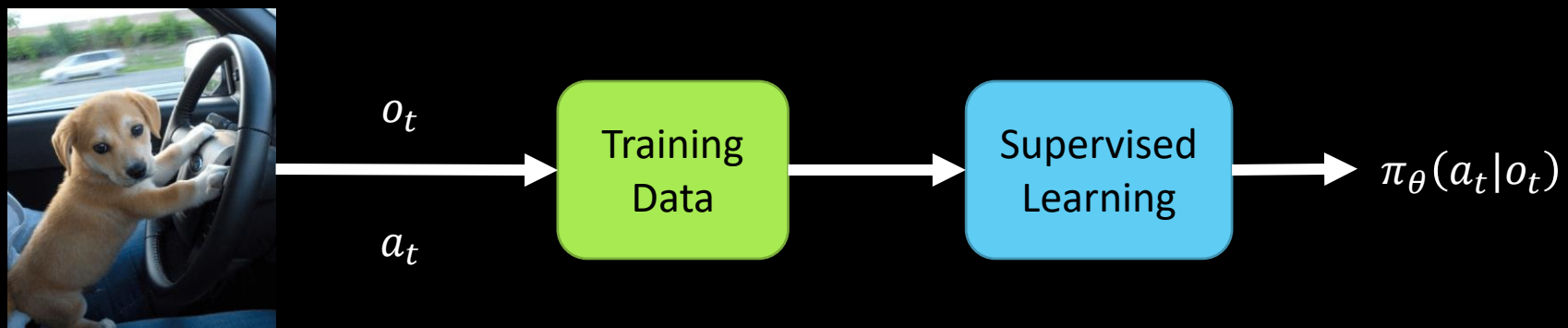
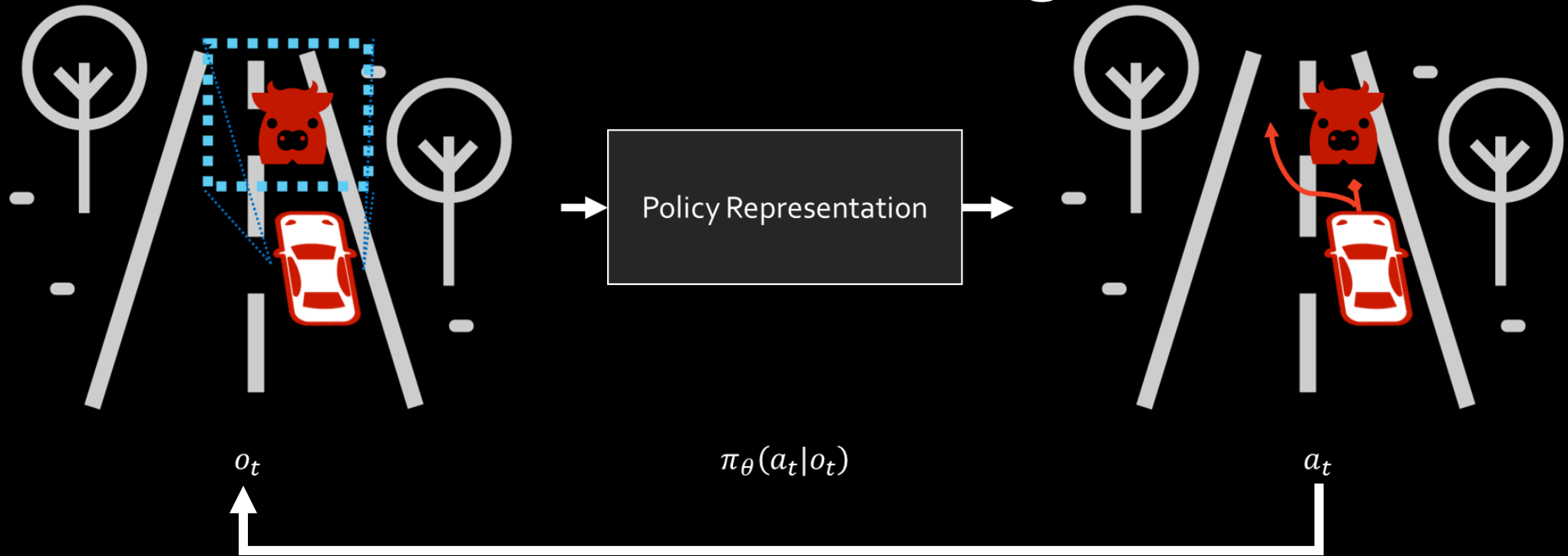
LfD: Deriving a Policy



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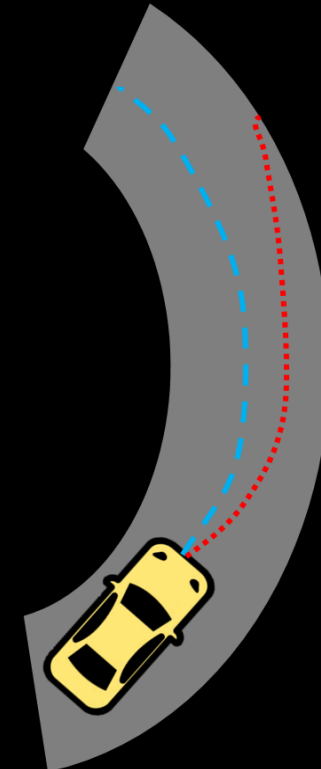
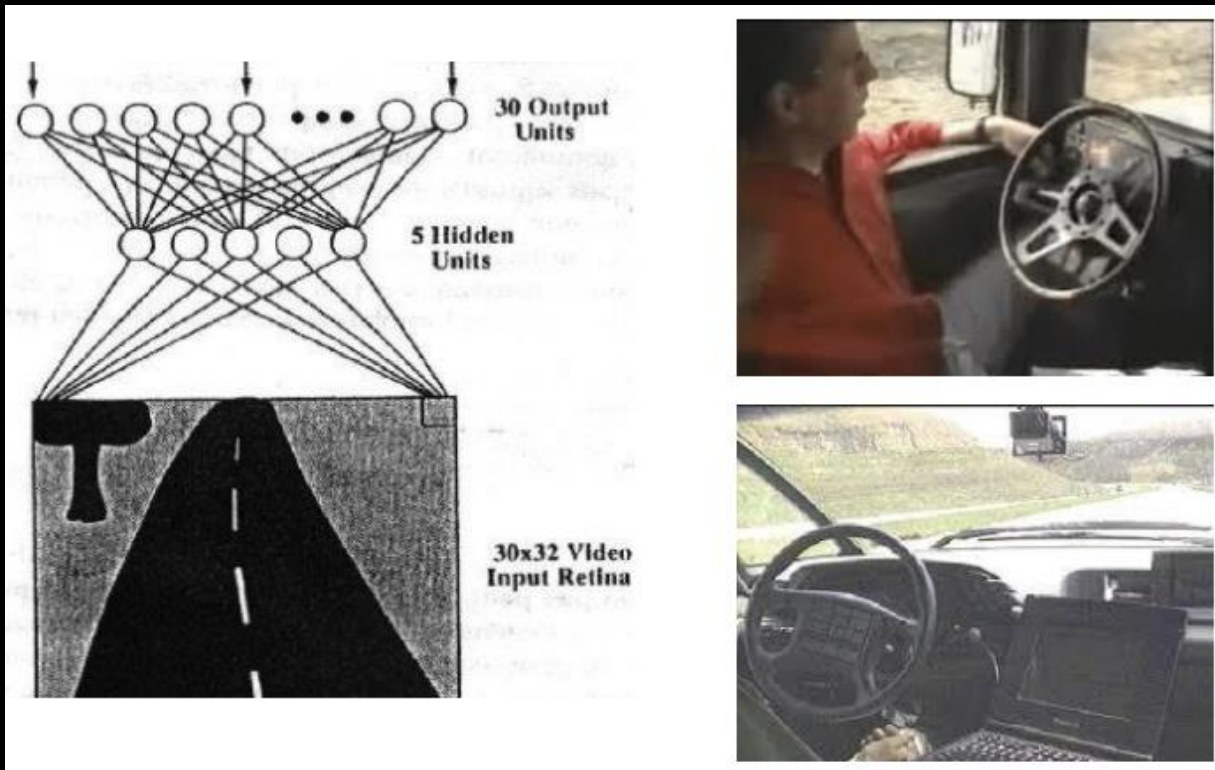


Behavior Cloning



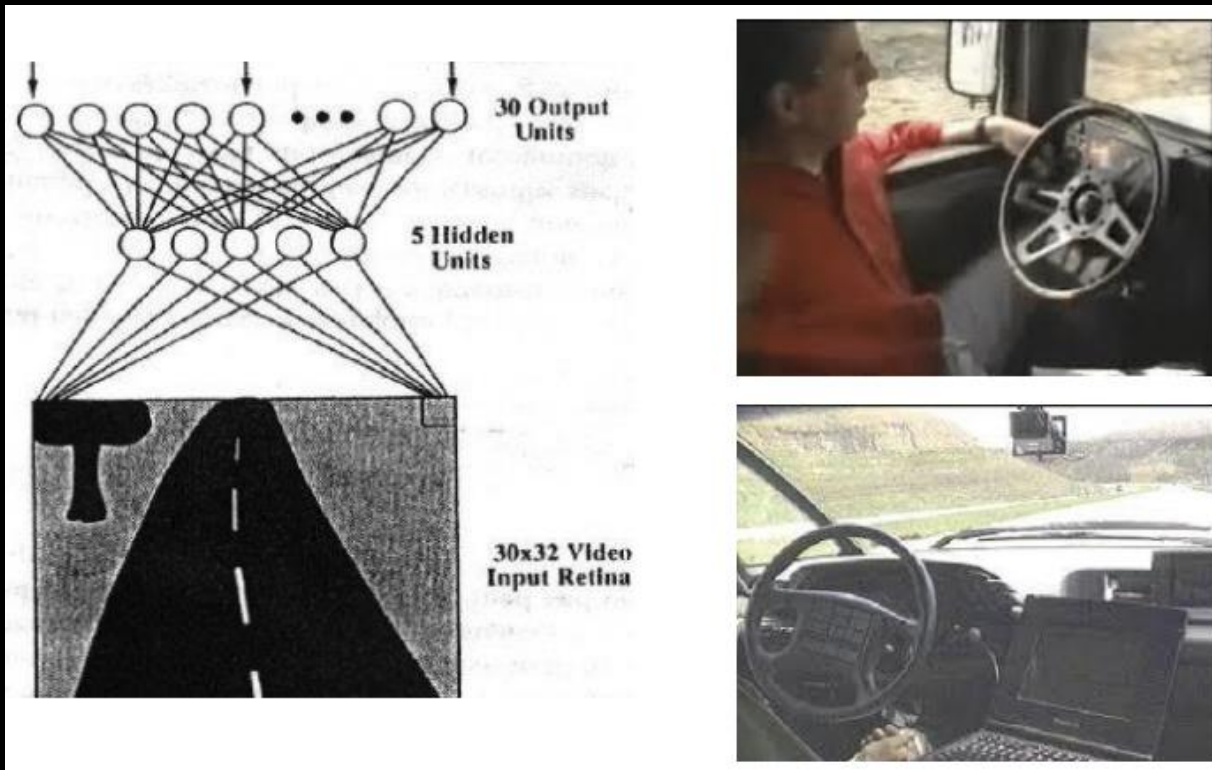
Behavior Cloning

ALVINN: Autonomous Land Vehicle In a Neural Network (1989)

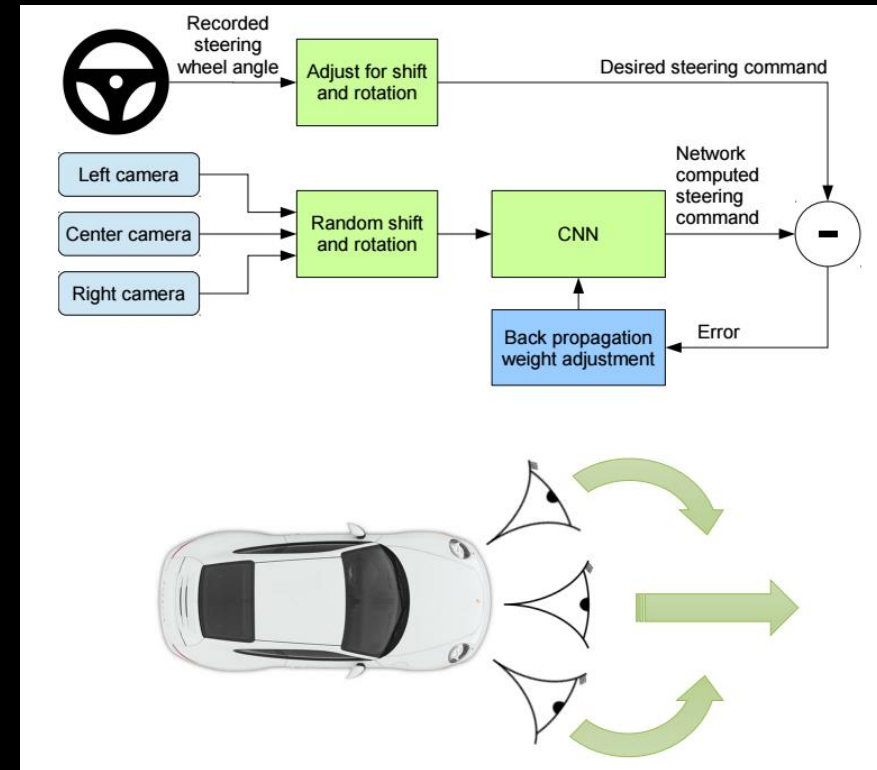


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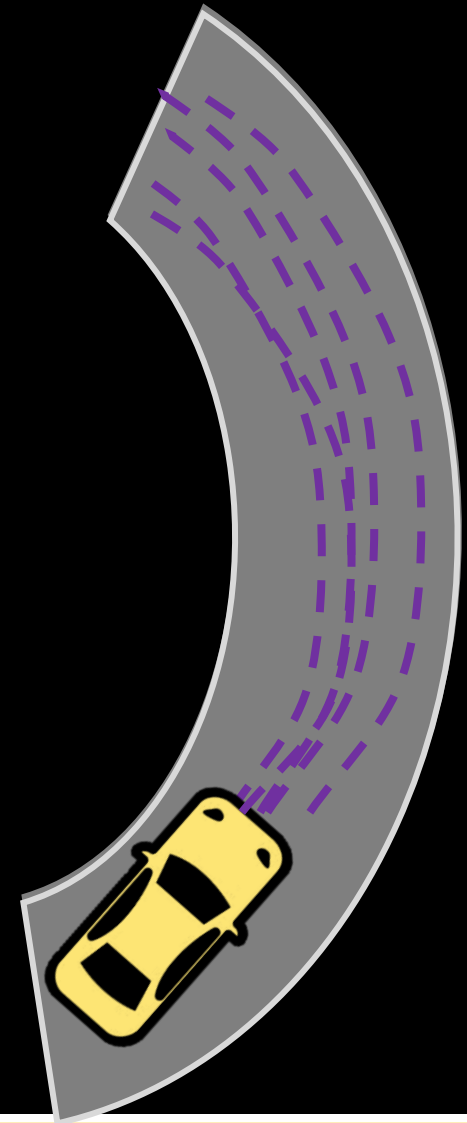


End-to-End Deep Learning for Self-Driving Cars (2016)



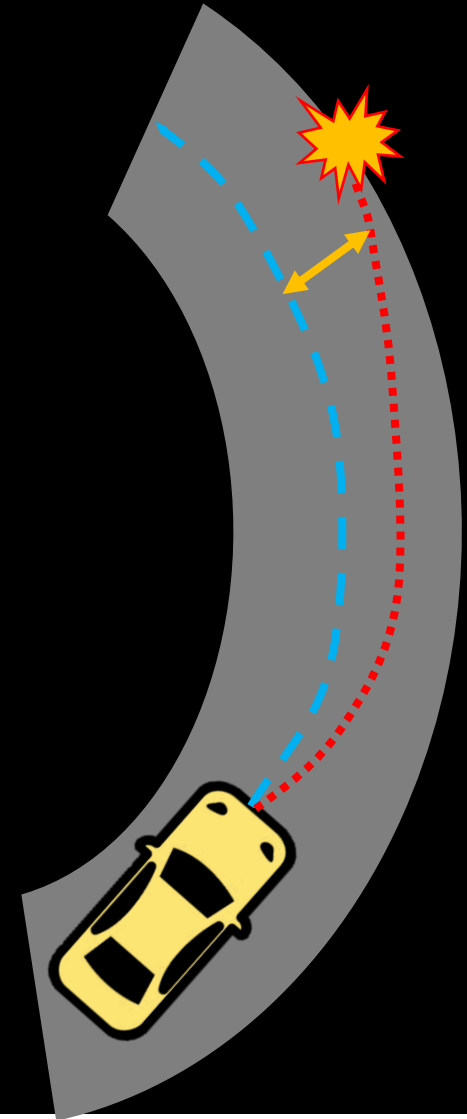
(Deep) Imitation Learning

- Given sample trajectories from an expert, try to learn the underlying policy



(Deep) Imitation Learning

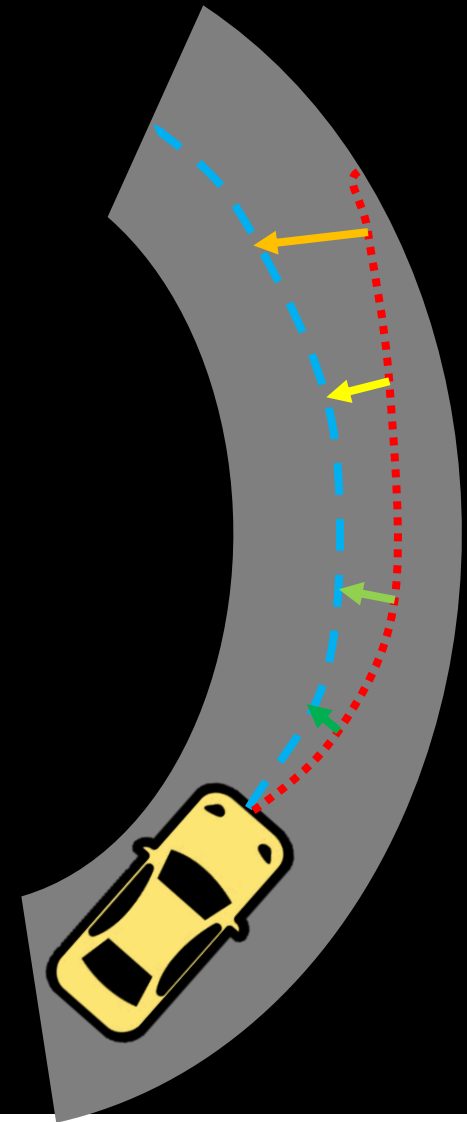
- Given sample trajectories from an expert, try to learn the underlying policy
- Tends to suffer from distribution shift, compounding errors, model mismatch



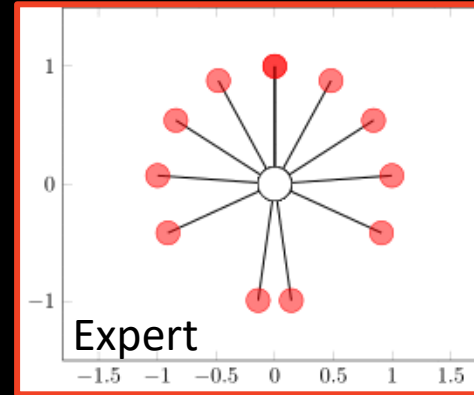
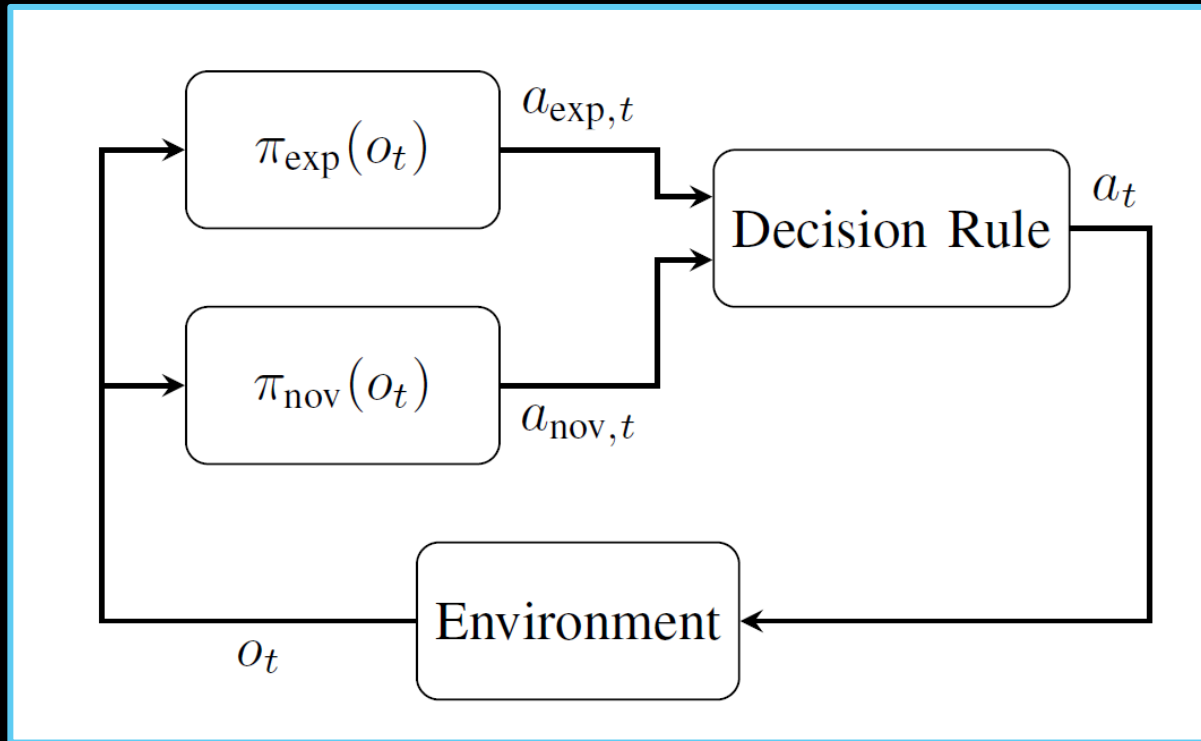
HG-Dagger:
Interactive Imitation Learning with Human Experts
M. Kelly, C. Sidrane, K. Driggs-Campbell, M. Kochenderfer

(Deep) Imitation Learning

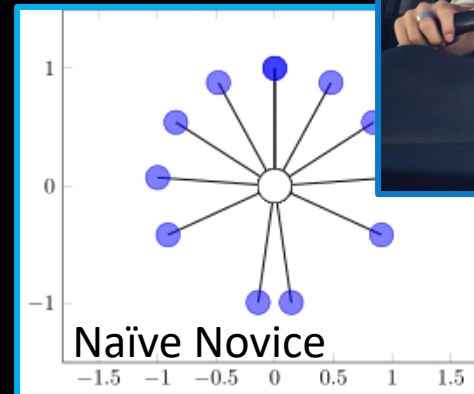
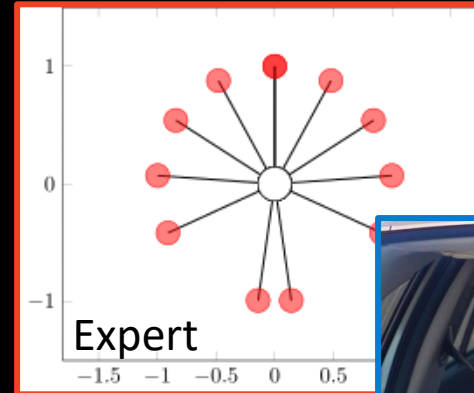
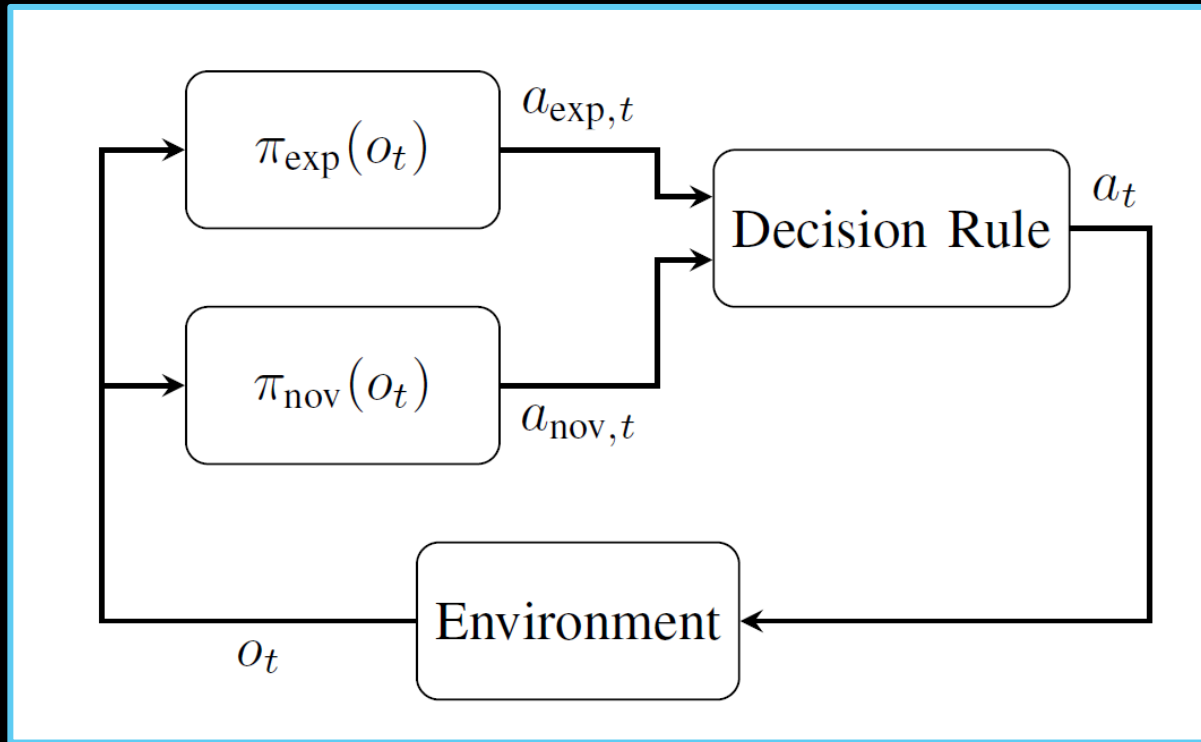
- Given sample trajectories from an expert, try to learn the underlying policy
- Tends to suffer from distribution shift, compounding errors, model mismatch
- By improving how we collect the data, we can improve the resulting policy!



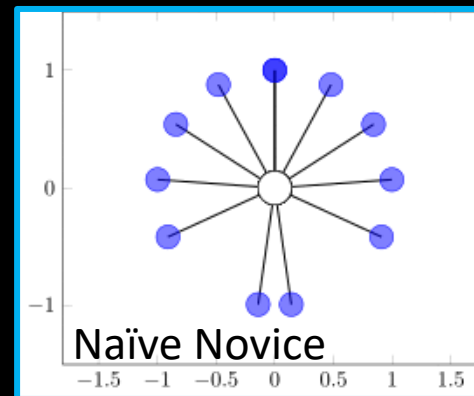
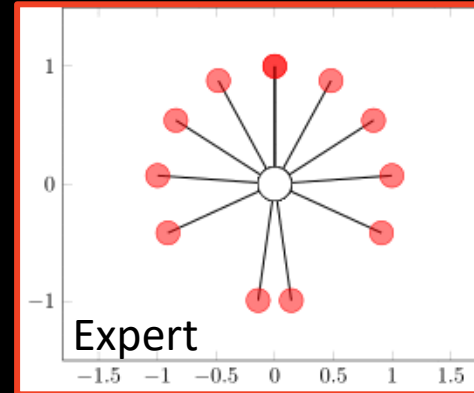
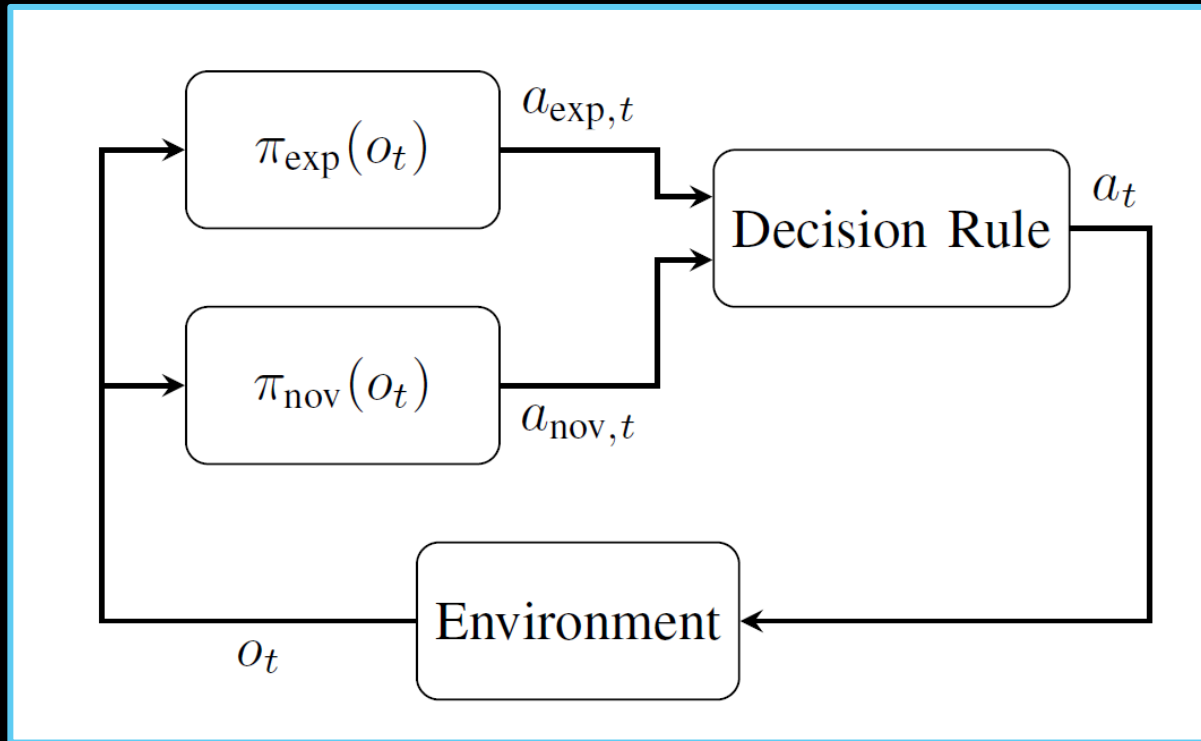
“Safe” Imitation Learning



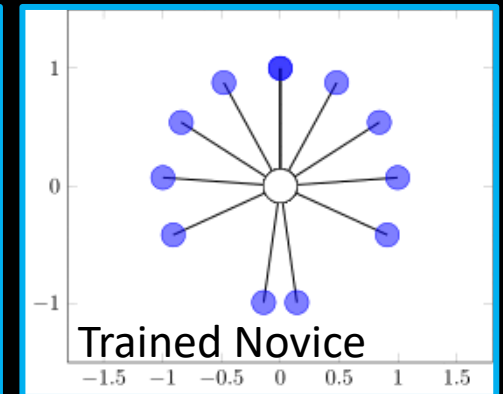
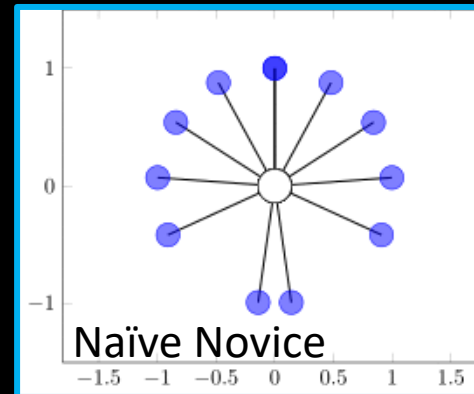
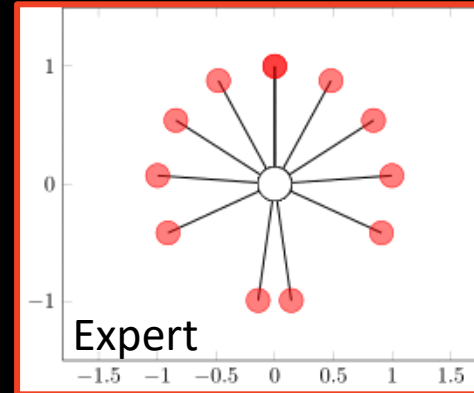
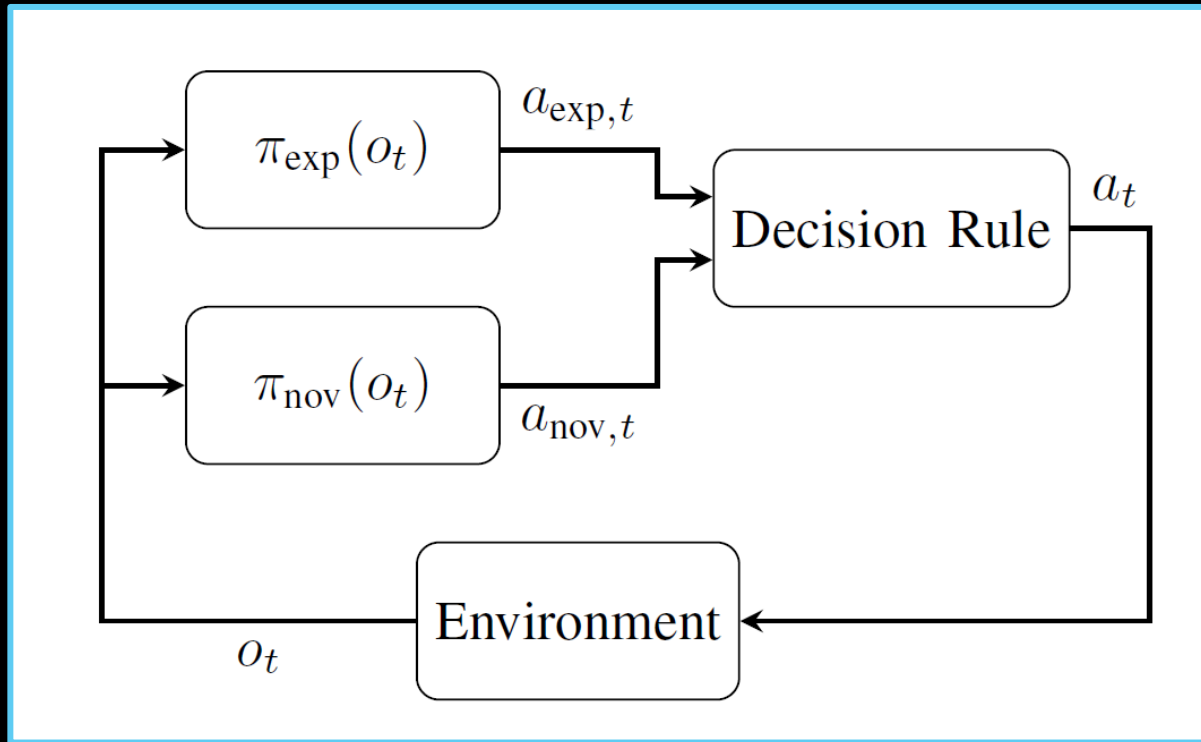
“Safe” Imitation Learning



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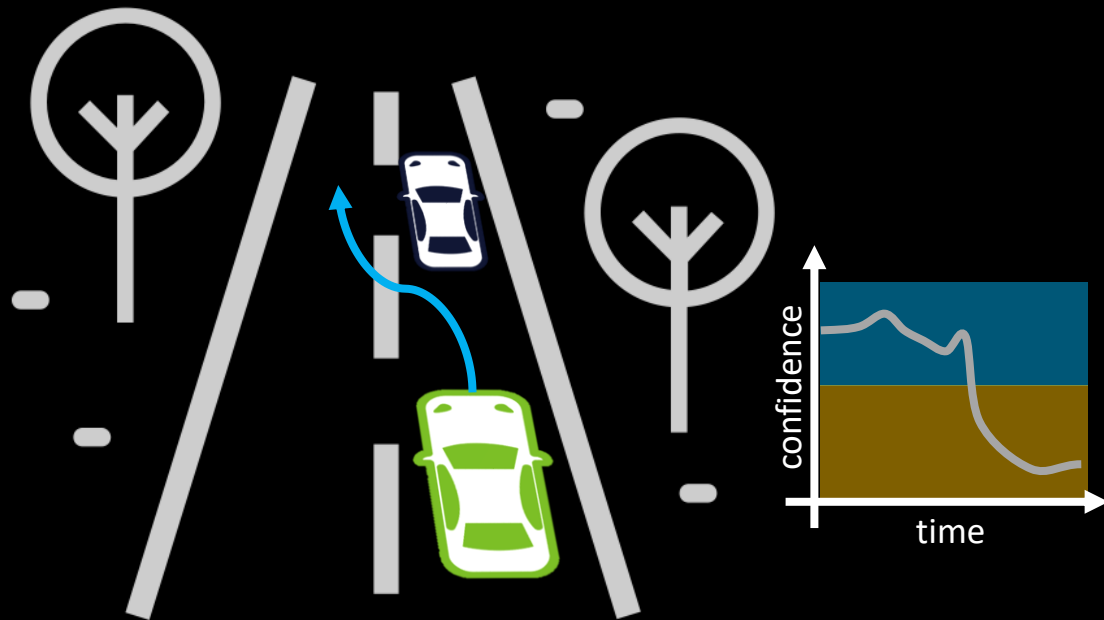


“Safe” Imitation Learning

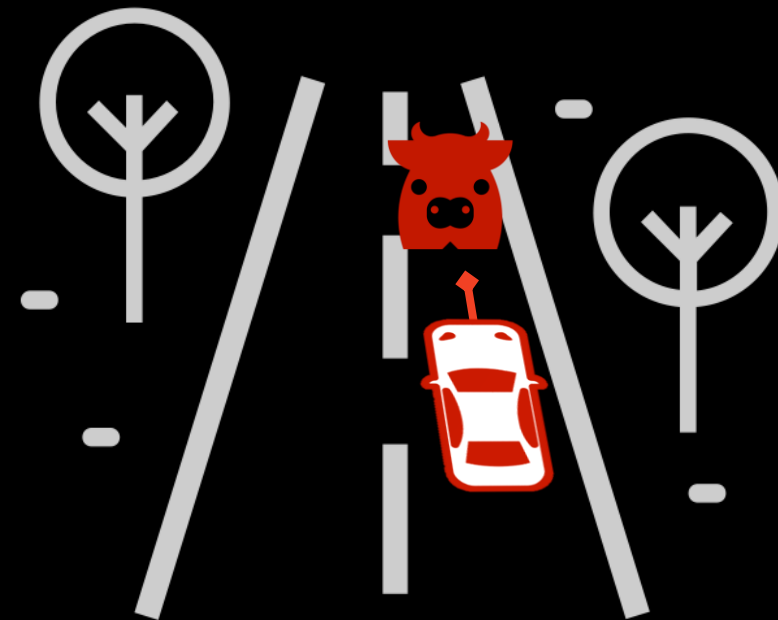


Self-Driving Demonstration

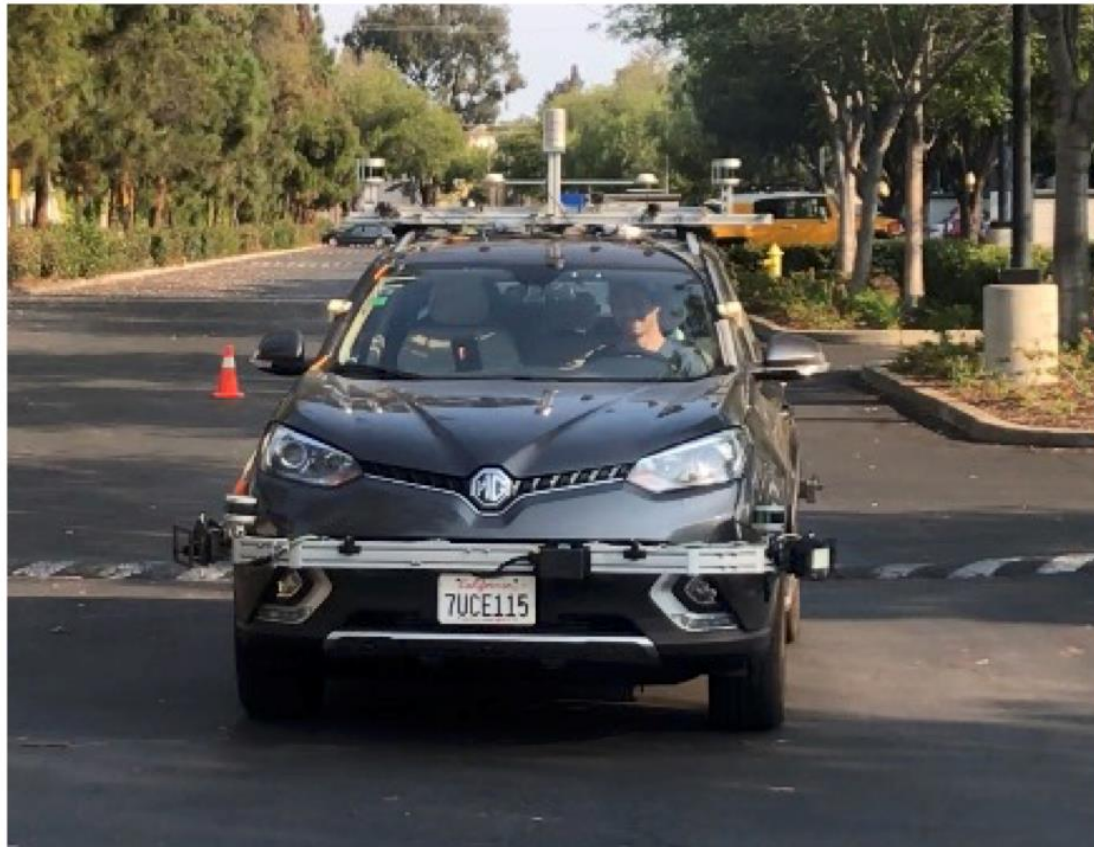
High Confidence in NN Policy



Unseen scenario → Resume control



Human-Gated Imitation Learning

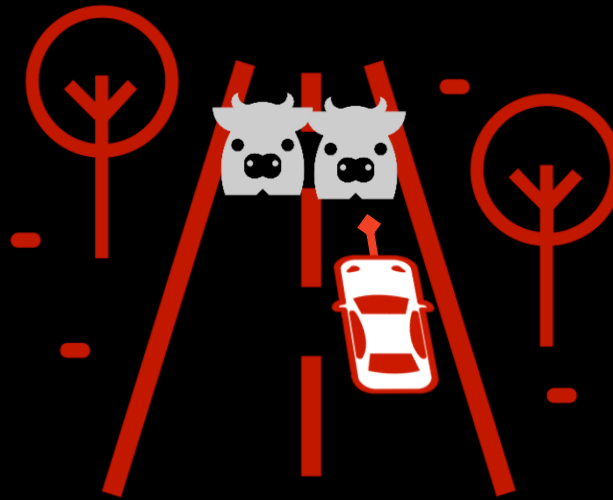


Demo Test Scenarios

Deep NN Policy:
Weaving Lane Changes



No Feasible Lane Change:
Emergency Stop Required



No Obstacles Detected:
Lane Keeping Required



HG-DAgger:
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Lane Keeping Required

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No Feasible Lane Change

Summary

- Introduced a few advanced topics on **model-based control**
- Discussed learning and end-to-end (**model-free**) approaches
- Note that all of the methods discussed require some low-level controller (i.e., PID) and some high-level input (i.e., decision-making)
- Did not discuss the safety implications of different control methods!
What do you think are the hazards and advantages of different approaches?
- *Next time*: Filtering and localization!



Extra Slides



Inverse Reinforcement Learning

- Given an optimal trajectory, we want to find the cost function:

$$\xi_D \rightarrow \mathcal{U}: \mathcal{E} \rightarrow \mathbb{R}_+ \text{ s.t. } \mathcal{U}[\xi_D] \leq \mathcal{U}[\xi], \forall \xi$$

- Rewrite as: $\mathcal{U}[\xi_D] \leq \min_{\xi} \mathcal{U}[\xi] \rightarrow$ Suffers from trivial solutions!

- Modify to find cost function that gives minimum cost by a margin:

$$\mathcal{U}[\xi_D] \leq \min_{\xi} \mathcal{U}[\xi] - l(\xi, \xi_D), \text{ where } l(\xi, \xi_D) = \begin{cases} 0 & \text{if } \xi = \xi_D \\ 1 & \text{otherwise} \end{cases}$$

- To make this hold true for the *maximum margin*:

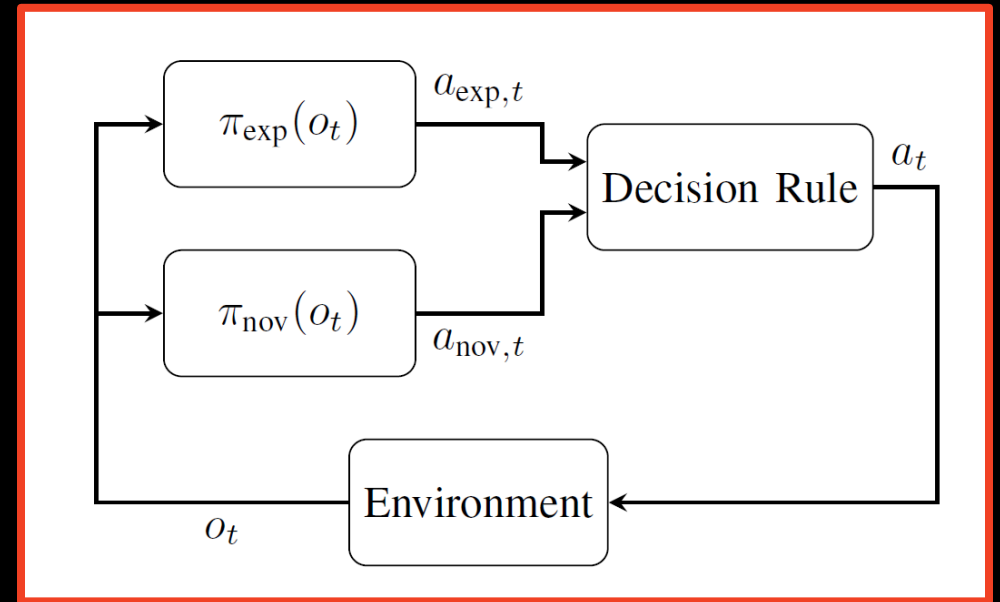
$$\begin{aligned} & \max_{\mathcal{U}} \min_{\xi} \mathcal{U}[\xi] - l(\xi, \xi_D) - \mathcal{U}[\xi_D] \\ & \min_{\mathcal{U}} \left[\mathcal{U}[\xi_D] - \min_{\xi} [\mathcal{U}[\xi] - l(\xi, \xi_D)] + \lambda R(\mathcal{U}) \right] \end{aligned}$$

- To solve this problem, parameterize the function $\mathcal{U} \rightarrow$ often a linear combination of features



Dagger: Dataset Aggregation

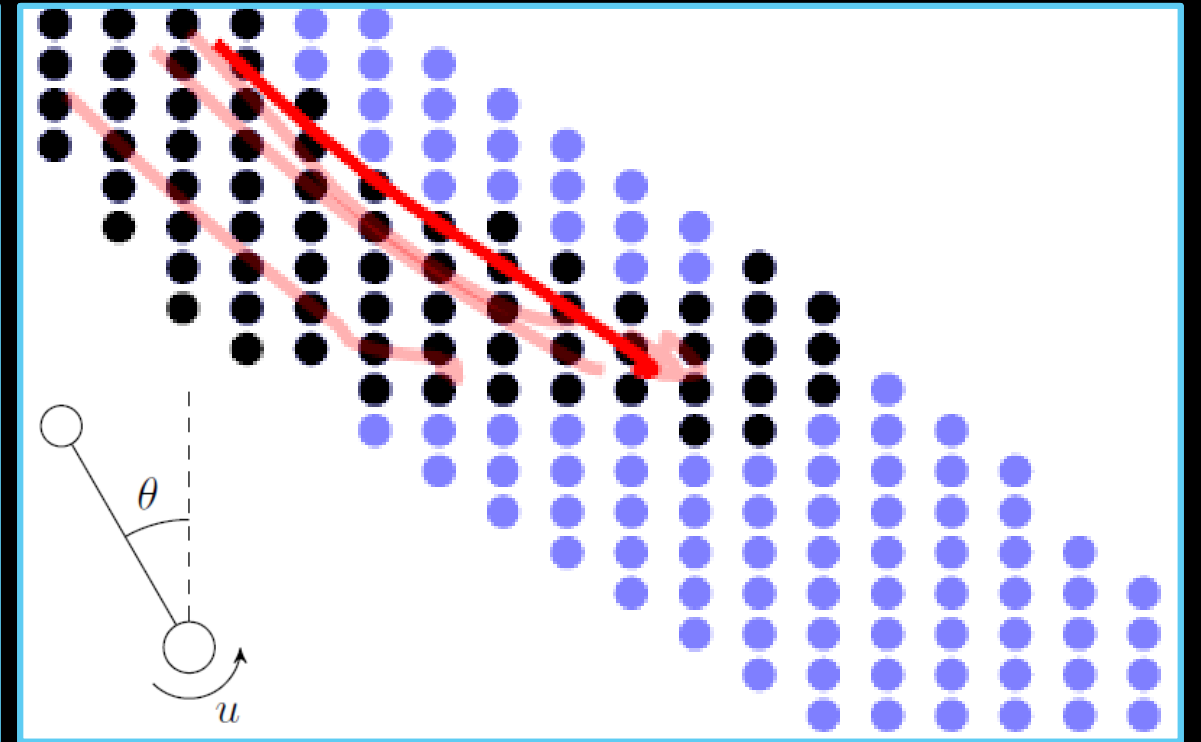
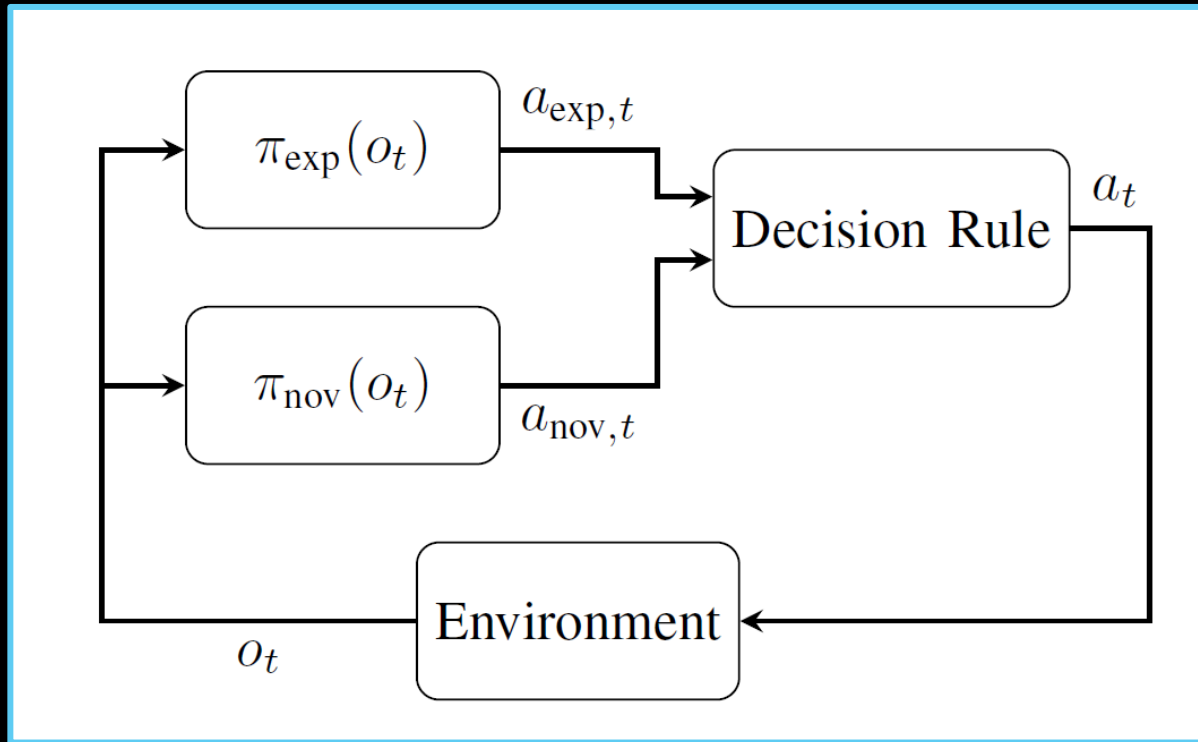
1. Train π_{nov} from human data \mathcal{D}
2. Run π_{nov} to get dataset $\mathcal{D}_{\pi_{nov}}$
3. Obtain corrected labels
4. Aggregate: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_{\pi_{nov}}$
5. Repeat!



Algorithm 2 VANILLADAGGER Decision Rule

```
1: procedure DR( $o_t, i, \beta_0, \lambda$ )
2:    $a_{nov,t} \leftarrow \pi_{nov}(o_t)$ 
3:    $a_{exp,t} \leftarrow \pi_{exp}(o_t)$ 
4:    $\beta_i \leftarrow \lambda^i \beta_0$ 
5:    $z \sim \text{Uniform}(0, 1)$ 
6:   if  $z \leq \beta_i$ 
7:     return  $a_{exp,t}$ 
8:   else
9:     return  $a_{nov,t}$ 
```

Safe Imitation Learning



Methods for Determining the Decision Rule?

Algorithm 3 SAFEDAGGER* Decision Rule

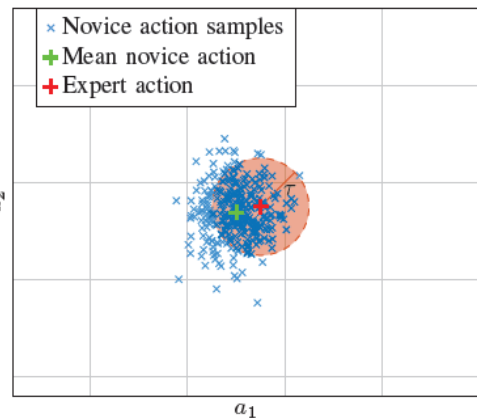
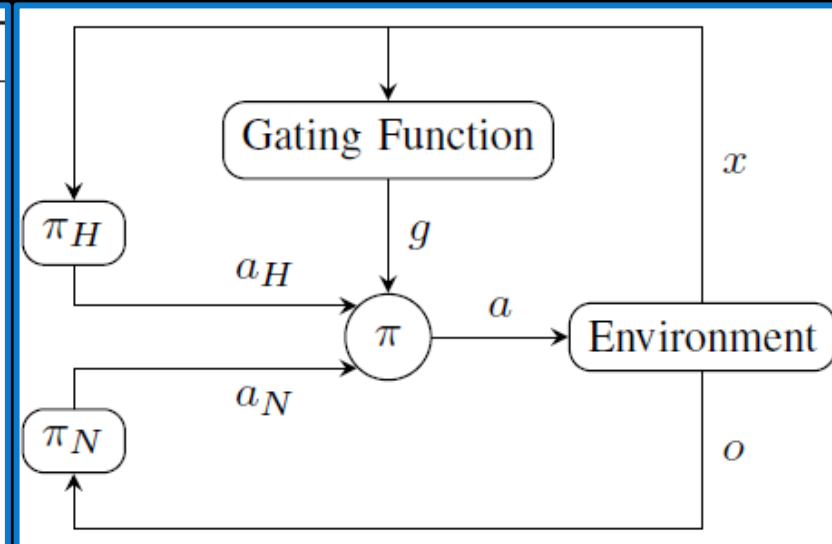
```

1: procedure DR( $o_t, \tau$ )
2:    $a_{\text{nov},t} \leftarrow \pi_{\text{nov}}(o_t)$ 
3:    $a_{\text{exp},t} \leftarrow \pi_{\text{exp}}(o_t)$ 
4:   if  $\|a_{\text{nov},t} - a_{\text{exp},t}\|^2 \leq \tau$ 
5:     return  $a_{\text{nov},t}$ 
6:   else
7:     return  $a_{\text{exp},t}$ 
    
```

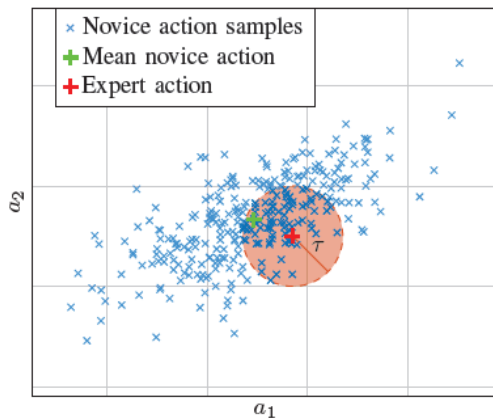
Algorithm 4 EnsembleDagger Decision Rule

```

1: procedure DR( $o_t, \tau, \chi$ )
2:    $\bar{a}_{\text{nov},t}, \sigma_{a_{\text{nov},t}}^2 \leftarrow \pi_{\text{nov}}(o_t)$ 
3:    $a_{\text{exp},t} \leftarrow \pi_{\text{exp}}(o_t)$ 
4:    $\hat{\tau} \leftarrow \|\bar{a}_{\text{nov},t} - a_{\text{exp},t}\|^2$ 
5:    $\hat{\chi} \leftarrow \sigma_{a_{\text{nov},t}}^2$ 
6:   if  $\hat{\tau} \leq \tau$  and  $\hat{\chi} \leq \chi$ 
7:     return  $\bar{a}_{\text{nov},t}$ 
8:   else
9:     return  $a_{\text{exp},t}$ 
    
```



(a) Well-represented state



(b) Poorly-represented state

