# How do elevated CO<sub>2</sub> and O<sub>3</sub> affect the interception and utilization of radiation by a soybean canopy?

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# Abstract

Net productivity of vegetation is determined by the product of the efficiencies with which it intercepts light ( $\varepsilon_i$ ) and converts that intercepted energy into biomass ( $\varepsilon_c$ ). Elevated carbon dioxide (CO<sub>2</sub>) increases photosynthesis and leaf area index (LAI) of soybeans and thus may increase  $\varepsilon_i$  and  $\varepsilon_{ci}$  elevated O<sub>3</sub> may have the opposite effect. Knowing if elevated CO<sub>2</sub> and O<sub>3</sub> differentially affect physiological more than structural components of the ecosystem may reveal how these elements of global change will ultimately alter productivity. The effects of elevated  $CO_2$  and  $O_3$  on an intact soybean ecosystem were examined with Soybean Free Air Concentration Enrichment (SoyFACE) technology where large field plots (20-m diameter) were exposed to elevated CO<sub>2</sub>  $(\sim 550 \,\mu\text{mol mol}^{-1})$  and elevated O<sub>3</sub> (1.2 × ambient) in a factorial design. Aboveground biomass, LAI and light interception were measured during the growing seasons of 2002, 2003 and 2004 to calculate  $\varepsilon_i$  and  $\varepsilon_c$ . A 15% increase in yield (averaged over 3 years) under elevated CO<sub>2</sub> was caused primarily by a 12% stimulation in  $\varepsilon_c$ , as  $\varepsilon_i$  increased by only 3%. Though accelerated canopy senescence under elevated O<sub>3</sub> caused a 3% decrease in  $\varepsilon_{i}$ , the primary effect of O<sub>3</sub> on biomass was through an 11% reduction in  $\varepsilon_c$ . When CO<sub>2</sub> and  $O_3$  were elevated in combination,  $CO_2$  partially reduced the negative effects of elevated  $O_3$ . Knowing that changes in productivity in elevated  $CO_2$  and  $O_3$  were influenced strongly by the efficiency of conversion of light energy into energy in plant biomass will aid in optimizing soybean yields in the future. Future modeling efforts that rely on  $\varepsilon_c$  for calculating regional and global plant productivity will need to accommodate the effects of global change on this important ecosystem attribute.

Keywords: crop, leaf area index, light, photosynthesis, production, SoyFACE

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### Introduction

The accumulation of energy in plant biomass ( $W_h$ ) is determined by the efficiency that intercepted radiation is converted to biomass energy ( $\varepsilon_c$ ), the efficiency of light interception by the canopy ( $\varepsilon_i$ ), and total incident solar radiation ( $S_t$ ;  $W_h = \varepsilon_c \times \varepsilon_i \times S_t$ ; Monteith, 1972, 1977). Because  $\varepsilon_c$  and  $\varepsilon_i$  integrate physiological, structural, and environmental processes in plant canopies, knowing how these parameters respond to predicted

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increases in the concentration of carbon dioxide (CO<sub>2</sub>) (Prentice *et al.*, 2001) and O<sub>3</sub> (Prather *et al.*, 2001) in the atmosphere will be particularly important for estimating ecosystem productivity over large spatial scales (Sinclair & Muchow, 1999; Turner *et al.*, 2002; Ahl *et al.*, 2004; Ewert, 2004), and for understanding whether changes in productivity are driven by canopy structure or by the efficiency of light use, determined by photosynthesis and respiration.

While elevated CO<sub>2</sub> generally stimulates productivity (Drake *et al.*, 1997; Ainsworth *et al.*, 2002; Long *et al.*, 2004), elevated O<sub>3</sub> often has the opposite effect (Fuhrer, 2003; Morgan *et al.*, 2003). Whether these responses are driven primarily by physiological ( $\varepsilon_c$ ) or structural changes ( $\varepsilon_i$ ) within the canopy remains largely unknown. Of the experiments that have examined the response of  $\varepsilon_c$  to elevated CO<sub>2</sub> or O<sub>3</sub> (Weerakoon *et al.*, 2000; Hui *et al.*, 2001; DeLucia *et al.*, 2002; Ewert *et al.*, 2002; Manderscheid *et al.*, 2003), only two have been conducted under realistic field conditions free of potential experimental artifacts (DeLucia *et al.*, 2002; Ewert *et al.*, 2002). Because of the paucity of data, it is not yet possible to draw a firm conclusion about how elevated CO<sub>2</sub> and O<sub>3</sub> will affect  $\varepsilon_c$  of intact ecosystems.

Elevated CO<sub>2</sub> may increase crop yields by stimulating photosynthesis and thus the rate of biomass accumulation, or by changing structural elements in the plant canopy that control light absorption. Elevated atmospheric CO<sub>2</sub> increases photosynthesis for individual leaves in soybean (Drake et al., 1997; Ainsworth et al., 2002; Anten et al., 2003; Rogers et al., 2004); if this stimulation extends to the entire canopy, the numerator (cumulative biomass) of  $\varepsilon_c$  also will increase (Ainsworth et al., 2002). Although not universal (Drake et al., 1997; Cowling & Field, 2003), elevated CO<sub>2</sub> also may increase the leaf area index (LAI) of crops (leaf area per unit ground area), including soybean (Dermody et al., 2006). Leaf area and orientation control the interception and absorption of photosynthetically active radiation (IPAR and APAR) and thus determine  $\varepsilon_i$ .

Ozone is highly reactive and at moderate concentrations inhibits photosynthesis and reduces the rate of biomass accumulation, particularly after leaf maturation (Sandermann et al., 1998; Ewert & Pleijel, 1999; Isebrands et al., 2001; Morgan et al., 2003). Using a Free Air Concentration Enrichment (FACE) system, Morgan et al. (2006) confirmed that ozone damage to photosynthesis occurred primarily late in the growing season, but this damage was less than reported by those using opentop chambers or other enclosures to administer the ozone treatment. At higher O3 levels, leaf senescence often is accelerated and there is a concomitant reduction in LAI (Isebrands et al., 2001; Morgan et al., 2003). At the Soybean Free Air Concentration Enrichment (SoyFACE) experiment, O<sub>3</sub> significantly decreased LAI (Dermody et al., 2006); however, even large decreases may have only a small effect on light interception and productivity in soybean canopies where LAI can be >6.

Elevated  $CO_2$  may compensate for the negative effects of low background levels of  $O_3$  on productivity (Cardoso-Vilhena *et al.*, 2004; Booker & Fiscus, 2005). The reduction in stomatal conductance for plants grown in elevated  $CO_2$  reduces diffusion of  $O_3$  into leaves and lowers its effective dose (Fiscus *et al.*, 1997; Reid & Fiscus, 1998). Increased activity of anti-oxidant enzymes in elevated  $CO_2$  also may reduce the deleterious effect of  $O_3$  (Rao *et al.*, 1995). Elevated  $CO_2$  reduced the negative effects of  $O_3$  on biomass and LAI of potato, poplar and wheat (McKee *et al.*, 2000; Donnelly *et al.*, 2000; Potato, Pota

2001; Isebrands *et al.*, 2001; Heagle *et al.*, 2003) and mitigated its effect on  $\varepsilon_c$  in wheat (Rudorff *et al.*, 1996).

Most studies that examined the response of  $\varepsilon_c$  to elevated CO<sub>2</sub> and O<sub>3</sub> relied on different types of enclosures to control the composition of the local atmosphere (Rudorff et al., 1996; Manderscheid et al., 1997, 2003), and may overestimate the effects of these gases, possibly because of edge effects associated with small plot size and microclimatic effects of the chamber (Long et al., 2004, 2006). By increasing humidity around the leaf, growth in an enclosure may increase stomatal opening and O<sub>3</sub> uptake. Furthermore, the forced circulation of air within chambers may increase exposure of lower canopy leaves to the bulk atmosphere, also increasing O<sub>3</sub> uptake by shaded foliage. Most importantly, the small size of open top chambers and their alteration of the light environment preclude accurate measurement of canopy light interception. The Soy-FACE experiment avoids these problems by growing soybeans in an intact ecosystem under fully open-air CO<sub>2</sub> and O<sub>3</sub> fumigation.

The objective of this research was to quantify the effects of elevated CO<sub>2</sub> and O<sub>3</sub> on  $\varepsilon_c$  and  $\varepsilon_i$  and how these variables contribute to changes in productivity of a soybean crop. Large plots (20-m diameter) in a soybean field were exposed to elevated levels of CO2 and O3, singly and in combination, with FACE technology. Estimates of  $\varepsilon_c$  incorporate changes in energy content and this was calculated at intervals through the entire growing season. We also calculated  $\varepsilon_{b}$ , defined as accumulated biomass per unit PAR. Because of differences in the energy content of vegetative tissue and seed,  $\varepsilon_b$  was estimated up to the time of maximum LAI. The calculations of  $\varepsilon_{c}$  and  $\varepsilon_{b}$  were performed with both IPAR and APAR. We hypothesized that improved photosynthetic efficiency, reflected in increases in  $\epsilon_c$  and  $\epsilon_b$ , would account for most of the increase in production in elevated CO<sub>2</sub>, rather than increased LAI and altered canopy structure, captured by changes in  $\varepsilon_i$ . Similarly, for  $O_3$ we hypothesized that reductions in productivity would largely be caused by lower  $\varepsilon_c$  and  $\varepsilon_b$  rather than decreased  $\varepsilon_i$ . Finally, we hypothesized, that elevated CO<sub>2</sub> would partially compensate for the effects of  $O_3$  on  $\varepsilon_c$ and  $\varepsilon_i$  when the two gases were elevated in combination.

# Materials and methods

### Site description

This study was conducted at the SoyFACE facility at the University of Illinois, Urbana-Champaign ( $40^{\circ}02'N$ ,  $88^{\circ}14'W$ , 228 m above sea level; www.soyface.uiuc.edu). Each experimental plot was surrounded by pipes that injected CO<sub>2</sub> or O<sub>3</sub> at supersonic velocity from 300 µm

pores above the canopy (Miglietta *et al.*, 2001). The rate and position of gas release was automatically altered with wind speed and direction to maintain the desired gas concentrations within the plot. Plots were fumigated during day light hours from planting until harvest. The target concentrations for CO<sub>2</sub> (550 µmol mol<sup>-1</sup>) and O<sub>3</sub> (1.2 × ambient) represent the predicted atmospheric levels by 2050 (Prather *et al.*, 2001; Prentice *et al.*, 2001). The O<sub>3</sub> fumigation system was turned off during periods of low wind speed and dew. The actual average CO<sub>2</sub> concentrations for each year from 2002 to 2004 were 552, 552 and 550 µmol mol<sup>-1</sup>, respectively, while the ambient plots were ~ 370 µmol mol<sup>-1</sup> CO<sub>2</sub>.

The elevated  $O_3$  treatment was initiated in 2002. The average ambient  $O_3$  concentrations between 10:00 and 18:00 hours in 2002, 2003 and 2004 were 62, 50 and 44 nmol mol<sup>-1</sup>, respectively. In 2002, 70% of values were within 10% of the set-point; in 2003, 84% of values were within 10% of the set-point; and in 2004, 79% of values were within 10% of the set-point. The full factorial experiment was completed in 2003 and 2004 with the addition of plots exposed to elevated  $CO_2$  and  $O_3$  in combination. Cross-contamination of  $CO_2$  and  $O_3$  was prevented by separating the experimental plots by at least 100 m (Nagy *et al.*, 1994). A more detailed description of the SoyFACE facility can be found in Rogers *et al.* (2004) and Dermody *et al.* (2006).

The experiment consisted of four randomized blocks, each containing 20-m diameter octagonal plots (total area  $314 \text{ m}^2$ ). Soybean (cv. 93B15, Pioneer Hi-Bred, Johnston, IA, USA) was planted at 0.38-m row spacing in May 2002. This variety is resistant to soybean cyst nematode and is typical of varieties commonly grown in this region. The soil was a deep and fertile Flanagan (fine, montmorillonitic, mesic aquic Argiudoll) with some low-lying blocks of Drummer [typic Haplaquoll; (Rogers *et al.*, 2004)]. According to standard agronomic practice in this region plots were fertilized with P and K as needed but were not inoculated with *Bradyrhizobium*, which is ubiquitous.

# LAI and biomass harvests

LAI was measured weekly with a plant canopy analyzer (LAI-2000, LI-COR, Lincoln, NE, USA) in the growing seasons of 2002, 2003 and 2004 according to the methods in Dermody *et al.* (2006). The efficiency of light interception by the canopy ( $\varepsilon_i$ ) was calculated as (1 – transmittance). Soybeans (aboveground tissues) were harvested from two subplots (0.23 m<sup>2</sup>) in each of the 16 plots every 2 weeks during the growing season as in Morgan *et al.* (2005).

To be comparable with most previous studies (e.g. Sinclair & Muchow, 1999; Manderscheid *et al.*, 2003;

Kiniry *et al.*, 2005; Lindquist *et al.*, 2005) estimates of radiation interception and conversion efficiencies were based on aboveground biomass only. Additionally, measurements of root biomass at SoyFACE were infrequent. The root/shoot ratio of soybean in the SoyFACE experiment (0.15–0.22) was not affected by the treatments (Rodriguez, 2003), so it is unlikely that exclusion of root biomass affected the magnitude of the treatment effect on  $\varepsilon_c$ . The energy contents of vegetative (18 MJ kg<sup>-1</sup>) and seed (23 MJ kg<sup>-1</sup>) biomass for the calculation of  $\varepsilon_c$  were from (Amthor *et al.*, 2004). Exposure to elevated CO<sub>2</sub> has been shown to have no effect on the energy content of soybean tissues (Amthor *et al.*, 1994).

# Radiation use efficiency

The conversion efficiency of radiation to biomass  $(\varepsilon_c)$ was calculated for each of three growing seasons (2002-2004) as the slope of cumulative energy in aboveground biomass vs. the cumulative energy intercepted ( $\varepsilon_{c,IPAR}$ ) or absorbed ( $\varepsilon_{c, APAR}$ ) by the canopy (Monteith, 1972). Additionally,  $\varepsilon_c$  was calculated at each measurement date from the slope of pairs of adjacent points. The slope of cumulative biomass vs. cumulative IPAR or APAR up to the time of maximum LAI, was used to calculate  $\varepsilon_{b, IPAR}$  and  $\varepsilon_{b, APAR}$ . Incident PAR was measured at 10-min intervals for the entire growing season with a quantum sensor ( $\Delta T$  BF2,  $\Delta T$  Devices Ltd, Cambridge, UK). Intercepted PAR was calculated as, IPAR = ( $\phi_a$ ) (1 – exp ( $-k \times LAI$ )); where *k* is the canopy extinction coefficient and  $\varphi_a$  is incident irradiance (Daughtry et al., 1992; Sinclair & Muchow, 1999; Turner et al., 2002). It was assumed that  $1 \,\mathrm{W}\,\mathrm{m}^{-2}$  of sunlight contains 4.6  $\mu$ mol (photons) m<sup>-2</sup> s<sup>-1</sup>. The canopy extinction coefficient (*k*) was obtained from  $d = \cos^{-1}(k)$ ; where d was the foliage inclination angle (Forseth & Norman, 1993). If foliage is randomly distributed, d represents the mean tip angle (MTA; Campbell & Norman, 1989), which was calculated from the angular distribution of canopy gap fraction by the plant canopy analyzer. To calculate cumulative IPAR over the season, weekly values of LAI and k were interpolated using a linear fit between adjacent measurement dates. Diurnal changes in *k* were not taken into account in the calculation of cumulative IPAR. The integral of incident solar radiation  $(S_t)$  was calculated by integrating incident PAR data over all days before each harvest date.

Radiation use efficiency also was calculated based on absorbed photosynthetically active radiation: APAR =  $(\varphi_a - \varphi_{cr})$  [1 – exp ( $-k \times LAI$ )]; where  $\varphi_{cr}$  represents canopy reflectance measured with a narrow band spectrometer (Unispec Spectral Analysis System, PP Systems Inc., Haverhill, MA, USA). Measurements of

canopy reflectance were made at six points in each experimental plot between 1200 and 1400 CST under a clear sky. Reflectance did not vary among treatments and the average value was  $\sim 7\%$ .

#### Statistical analysis

Repeated measures analysis of variance (PROC MIXED; SAS, The SAS Institute; Version 8.1, Cary, NC, USA.) was used to test for treatment effects on LAI and  $\varepsilon_i$ . All analyses were performed on the plot means and blocks were included as a random component. Post-hoc linear contrasts were performed to elucidate treatment effects within interaction terms. Biomass data were analyzed as in Morgan *et al.* (2005). Whole season  $\varepsilon_c$  and  $\varepsilon_b$  values were calculated on a plot basis by linear regression of cumulative plot biomass or energy in biomass vs. cumulative IPAR or APAR (SAS, PROC MIXED). To determine if elevated CO<sub>2</sub> and O<sub>3</sub> affected  $\varepsilon_c$  and  $\varepsilon_{i'}$  the slopes of the relationships between cumulative biomass and energy in biomass vs. cumulative IPAR or APAR were compared with analysis of covariance (ANCOVA). The least-squared means are presented in the figures and the associated variances are the standard errors from mixed model ANOVAS. To avoid type II errors, differences were considered significant at P < 0.1.

#### Results

The response of LAI to elevated CO<sub>2</sub> and elevated O<sub>3</sub> in 2003 and 2004 was similar to the responses in 2001 and 2002 reported by Dermody et al. (2006). Exposure to elevated CO<sub>2</sub> increased maximum LAI by  $\sim$  9–25% and this difference persisted as LAI declined, such that elevated CO2 delayed maturity of the canopy (data not shown). By accelerating canopy senescence, elevated O<sub>3</sub> reduced LAI late in the season; on day 253 in 2003 LAI was 59% lower in elevated  $O_3$  than in ambient air (F = 4.7, P < 0.05, n = 4). A hailstorm on July 11, 2003 reduced LAI by 60%, after which it recovered rapidly and attained its maximum value on day 230 (August 19). The exception to this pattern occurred in elevated O<sub>3</sub> plots where a significant reduction in LAI persisted throughout the season (e.g. at maximum LAI, ambient: 4.9; elevated  $O_3$ : 4.0; F = 6.2,  $P \le 0.01$ , n = 4). When combined, elevated CO<sub>2</sub> tended to offset the negative effects of elevated O<sub>3</sub>; in 2004, elevated  $CO_2 \times O_3$  reduced senescence relative to am-

**Table 1** Average interception efficiency ( $\varepsilon_i$ ) across the growing season, the conversion efficiency-based on energy content ( $\varepsilon_c$ ) for the entire growing season, and the conversion efficiency based cumulative biomass ( $\varepsilon_{b}$ ) at maximum LAI (max LAI, gMJ<sup>-1</sup>), for soybeans grown in ambient air (control), 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> (CO<sub>2</sub>), 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub>3</sub> (O<sub>3</sub>) or 550  $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2  $\times$  ambient O<sub></sub>  $O_3 (CO_2 \times O_3)$ 

Year	Treat	ε <sub>i</sub> (average)	ɛ <sub>c, IPAR</sub> (whole season)	<sup>ɛ</sup> ь, <sub>IPAR</sub> (max LAI)	<sup>ε</sup> <sub>c, APAR</sub> (whole season)	<sup>ɛ</sup> b, APAR (max LAI)
2002	Control	0.89 (0.01)	0.031 (0.001)	1.4 (0.06)	0.032 (0.001)	1.5 (0.06)
	$CO_2$	0.89 (0.01)	0.035 (0.002)(*)	1.7 (0.20)*	0.036 (0.002)*	1.8 (0.20)*
	O <sub>3</sub>	0.88 (0.01)*	0.026 (0.002)*	1.2 (0.10)*	0.027 (0.002)*	1.2 (0.11)*
2003	Control	0.75 (0.02)	0.018 (0.002)	0.9 (0.09)	0.019 (0.002)	1.0 (0.09)
	$CO_2$	0.80 (0.02)*	0.019 (0.001)	0.9 (0.06)	0.019 (0.001)	1.0 (0.06)
	O <sub>3</sub>	0.69 (0.02)*	0.015 (0.001)*	$0.8 (0.06)^{(*)}$	0.017 (0.001)*	$0.8 (0.07)^{(*)}$
	$CO_2 \times O_3$	0.79 (0.02)*	0.022 (0.001)*	1.1 (0.08)*	0.022 (0.001)*	1.2 (0.08)*
2004	Control	0.78 (0.03)	0.026 (0.001)	1.3 (0.06)	0.027 (0.001)	1.4 (0.06)
	$CO_2$	0.79 (0.03)	$0.029 (0.002)^{(*)}$	1.6 (0.06)*	0.031 (0.002)(*)	1.7 (0.07)*
	O <sub>3</sub>	0.78 (0.03)	0.024 (0.001)	1.3 (0.01)	0.026 (0.001)	1.4 (0.02)
	$\dot{CO_2} \times O_3$	0.81 (0.03)*	0.027 (0.001)	1.5 (0.04) <sup>(*)</sup>	0.029 (0.001)	1.6 (0.04) <sup>(*)</sup>

\*Significant differences ( $P \le 0.05$ ) between elevated CO<sub>2</sub> or O<sub>3</sub> and ambient air.

<sup>(\*)</sup>Indicates differences significant at  $P \le 0.1$ .

Efficiencies were calculated using both intercepted photosynthetically active radiation (IPAR) and absorbed photosynthetically active radiation (APAR). Interception efficiency ( $\varepsilon_i$ ) is the fraction of light intercepted by the canopy and was measured with a plant canopy analyzer. The values of  $\varepsilon_{c, IPAR}$  were obtained from the slope of the regression between season long measurements of accumulated biomass and IPAR. The values of  $v_{b, IPAR}$  at the time of maximum LAI were obtained from the slope of the regression between bi-weekly measurements of biomass and IPAR. Absorbed photosynthetically active radiation (APAR; MJ m<sup>-2</sup>) was calculated from PAR and used in a regression of bi-weekly measurements of biomass and APAR to determine  $\varepsilon_{c,APAR}$  and  $\varepsilon_{b,APAR}$ . The least squared mean of four experimental plots are presented with standard error of the mean in parentheses.



**Fig. 1** Interception efficiency ( $\varepsilon_i$ ) and conversion ( $\varepsilon_c$ ) for each harvest date during the 2002–2004 growing seasons. Interception efficiency ( $\varepsilon_i$ ) is the fraction of light intercepted by the canopy and was measured with a plant canopy analyzer. On each date  $\varepsilon_c$  was calculated as the quotient of cumulative total energy content (MJ m<sup>-2</sup>) of aboveground biomass and cumulative interception and absorption of photosynthetically active radiation (MJ m<sup>-2</sup>) on that and the preceding date. Soybeans were grown in ambient air (open circles,  $\bigcirc$ ), 550 µmol mol<sup>-1</sup> CO<sub>2</sub> (black circles,  $\bigcirc$ ), 1.2 × ambient O<sub>3</sub> (open triangles,  $\bigtriangledown$ ), and 550 µmol mol<sup>-1</sup> CO<sub>2</sub> plus 1.2 × ambient O<sub>3</sub> (gray triangles,  $\bigtriangledown$ ). In 2002, only the ambient, elevated CO<sub>2</sub> and elevated O<sub>3</sub> treatments were present; the elevated CO<sub>2</sub> × O<sub>3</sub> treatment was added in 2003. Each point represents the least squared mean (n = 4); the error bar represents the standard error of the mean. The 15th day of June, July, August, and September correspond to days 167, 197, 228, and 259, respectively.

bient air and elevated O<sub>3</sub> alone (e.g. day 243, F = 3.7,  $P \le 0.05$ , n = 4).

Although LAI responded to elevated CO<sub>2</sub> and elevated O<sub>3</sub>, the subsequent effects on  $\varepsilon_i$  and cumulative IPAR or APAR were generally small (Table 1, Fig. 1). Even after the hailstorm in 2003, elevated CO<sub>2</sub> increased  $\varepsilon_i$  but only by 6%. A slow recovery of LAI in elevated O<sub>3</sub> reduced IPAR and APAR for the remainder of the season (e.g. 2003, day 230, F = 1.6,  $P \le 0.12$ , n = 4; day 246, F = 1.8,  $P \le 0.08$ , n = 4).

Changes in atmospheric composition had a more pronounced effect on  $\varepsilon_c$  than  $\varepsilon_i$  (Fig. 1). Elevated CO<sub>2</sub>

consistently increased whole-season  $\varepsilon_c$ , with the largest increase relative to ambient air (14%) occurring in 2004 (Table 1, Fig. 1). Elevated O<sub>3</sub> also affected  $\varepsilon_c$ , reducing it in 2002 and 2003 by 15% (Table 1, Fig. 1). Whenever CO<sub>2</sub> and O<sub>3</sub> were combined, the effect of CO<sub>2</sub> partially outweighed that of O<sub>3</sub>; in 2003 this effect was particularly strong and  $\varepsilon_c$  was significantly greater than in ambient air (Table 1, e.g. 2003, F = 3.5,  $P \le 0.08$ , n = 4). In 2004, the magnitude of this effect declined and  $\varepsilon_c$  in CO<sub>2</sub> × O<sub>3</sub> was not significantly different from ambient air. The response of  $\varepsilon_b$  to the treatments was similar to  $\varepsilon_c$ . The hailstorm in 2003 reduced the values measured

for  $\varepsilon_c$  and  $\varepsilon_b$  relative to 2002 and 2004 ( $F = 23.5, P \le 0.01$ ). The values of  $\varepsilon_c$  and  $\varepsilon_b$  we calculated (0.01–0.04 and 0.8–1.7, respectively) were within the range for soybeans and other well tended C<sub>3</sub> crops (e.g.  $\varepsilon_b$  0.7–2.04; Muchow *et al.*, 1993; Sinclair & Muchow, 1999).

# Discussion

That the effects of elevated  $CO_2$  and  $O_3$  on  $\varepsilon_c$  were more pronounced than those on  $\varepsilon_{i}$ , suggests that physiological rather than structural processes drive the response of crop productivity to altered atmospheric chemistry. We measured a 12% simulation of  $\varepsilon_c$  in soybean exposed to elevated CO<sub>2</sub>, and this likely drove the increase of 15-16% in yield measured by Morgan et al. (2005). The increase in efficiency of radiation interception ( $\varepsilon_i$ ) was small (3%) and was a minor contribution to the increase in biomass under elevated CO2. By accelerating senescence and lowering LAI, elevated O<sub>3</sub> reduced ANPP by approximately 17% (Dermody et al., 2006; Morgan et al., 2006). However, these changes were driven primarily by  $\varepsilon_{c'}$  as again it responded more strongly than  $\varepsilon_i$  to altered atmospheric chemistry. When administered together, elevated CO<sub>2</sub> partially ameliorated the negative effects of  $O_3$  on  $\varepsilon_c$ . Under all treatment combinations soybean attained an average interception efficiency of approximately 99% at maximum LAI, but  $\varepsilon_c$  varied considerably among treatments (Fig. 1). The large stimulation in  $\varepsilon_c$  compared with  $\varepsilon_i$  indicates that changes in photosynthesis or respiration, rather than changes in LAI altered production when soybeans were grown in elevated CO2. Similarly, the proportionately greater reduction of  $\varepsilon_c$  compared with  $\varepsilon_i$  in elevated O<sub>3</sub> indicates that the primary deleterious effects of O<sub>3</sub> operate through changes in photosynthesis rather than canopy structure. Knowing that the processes controlling the conversion of light energy into biomass are impacted more strongly by changes in atmospheric composition than those driving interception, will aid in optimizing crop yields in the future.

Elevated CO<sub>2</sub> caused a relatively small but consistent increase in LAI up to its maximum, but this increase did not translate into a significant increase in  $\varepsilon_i$  or IPAR. Because light attenuates quasi-exponentially through plant canopies,  $\varepsilon_i$  and IPAR are relatively insensitive to changes in LAI, particularly at high values. Assuming an extinction coefficient of 0.5 and applying a Beer's law approximation for light attenuation, a ~17% increase in LAI from six to seven would cause a corresponding increase in IPAR of only 2%. Thus, at the high values of LAI attained by soybean, large treatment effects on LAI would be necessary to affect  $\varepsilon_i$  or IPAR (Daughtry *et al.*, 1992). Elevated CO<sub>2</sub> increased maximum LAI on average by 14% (Dermody *et al.*, 2006) which was not sufficient to substantially increase IPAR, and contributed to an average increase of only 3% in  $\varepsilon_i$  at maximum LAI. In a loblolly pine plantation, DeLucia *et al.* (2002) also found no effect of elevated CO<sub>2</sub> on APAR.

An increase in  $\varepsilon_c$  rather than  $\varepsilon_i$ , contributed to the stimulation in aboveground biomass for soybeans grown in elevated CO<sub>2</sub>. Greater biomass in the elevated CO<sub>2</sub> plots resulted primarily from higher rates of photosynthesis not increased LAI (Rogers et al., 2004; Bernacchi et al., 2005; Morgan et al., 2005; Dermody et al., 2006). Under strong limitation by soil nutrients, higher rates of photosynthesis under elevated CO<sub>2</sub> may not always contribute to an increase in biomass, partly because of limitations on the formation of new sinks (Rogers et al., 1998; Stitt & Krapp, 1999). However, nitrogen fixation in soybean and N fertilization of corn the previous year reduced nutrient limitations, and the nodules themselves may act as sinks for additional photosynthate (Stitt & Krapp, 1999; Rogers et al., 2004). At SoyFACE the 25% increase in leaf level photosynthesis in elevated  $CO_2$  contributed to an average increase of 12% in  $\varepsilon_c$  and a subsequent increase of 15% in aboveground biomass across three growing seasons (Morgan et al., 2005). That the increase in photosynthesis contributed more than greater light interception to enhanced biomass production is reflected in the relatively small increase in  $\varepsilon_i$ under elevated  $CO_2$  over the same time period.

Consistent with observed reductions in photosynthesis per unit leaf area (Sandermann et al., 1998; Ewert & Pleijel, 1999; Isebrands et al., 2001; Morgan et al., 2003), lower biomass accumulation in elevated O<sub>3</sub> was associated with reduced  $\varepsilon_c$ . The diversion of assimilates to repair and detoxification processes may have reduced  $\varepsilon_{c}$ throughout the season. It also is possible that later in the season, accelerated senescence in O<sub>3</sub> was sufficient to reduce canopy photosynthesis (Long & Drake, 1991). Exposure to elevated  $O_3$  also reduced  $\varepsilon_i$ ; however, reductions in  $\varepsilon_i$  were detected only toward the end of the growing season and were much smaller than the change in  $\varepsilon_c$ . Elevated O<sub>3</sub> may also reduce the capacity of plants to recover from stress (Miller & McBride, 1999; Eichelmann et al., 2004; Ashmore, 2005). After the hailstorm in 2003, exposure to elevated O<sub>3</sub> reduced LAI and  $\varepsilon_{\rm c}$  by approximately 44% relative to ambient air, and the subsequent yield was 25% less than in ambient air compared with a reduction of only 15% in the previous year (Morgan et al., 2005).

At least for the doses administered in this experiment, which were below the levels of  $O_3$  predicted for 2050, the detrimental effects of  $O_3$  on soybean  $\varepsilon_c$  were partially counteracted by elevated  $CO_2$  (Table 1). By increasing leaf level photosynthesis, elevated  $CO_2$  may increase the availability of assimilates for repair of  $O_3$  damage (Allen, 1990; McKee *et al.*, 1997; Booker & Fiscus, 2005). Additionally, by reducing stomatal conductance, elevated  $CO_2$  may lower the effective dose of  $O_3$  reaching the leaf mesophyll (Cardoso-Vilhena *et al.*, 2004; Booker & Fiscus, 2005). However, elevated  $CO_2$  may not mitigate the negative effects of  $O_3$  at levels closer to those predicted for 2050, and the interactive effects of these gases will be modulated by other stress factors (Rudorff *et al.*, 1996; Fuhrer, 2003).

Because of the difficulties associated with measuring belowground biomass much of the research on  $\varepsilon_c$  is limited to aboveground processes (e.g. Muchow et al., 1993; Kiniry et al., 1998; Sinclair & Muchow, 1999; DeLucia et al., 2002; Manderscheid et al., 2003; Ahl et al., 2004; Lindquist et al., 2005). To enable comparison with these studies, we also focus on aboveground processes. Additionally, root biomass data for SoyFACE was not available in all years between 2002 and 2004 or at the same frequency as aboveground biomass data. Based on data previously collected at SoyFACE by Rodriguez (2003), we assumed that elevated  $CO_2$  and O<sub>3</sub> had minimal effects on root-to-shoot ratios. Two meta-analyses that examined soybean responses to elevated  $CO_2$  and  $O_3$  by Ainsworth *et al.* (2002) and Morgan et al. (2003) also showed no significant effects of these gases on root-to-shoot ratios.

Despite a range of growing conditions, from optimal in 2004 (Changnon & Changnon, 2005) to stressful in 2003, we detected consistent effects of elevated CO<sub>2</sub> and elevated  $O_3$  on  $\varepsilon_c$ . Growth in elevated  $CO_2$  increased biomass accumulation in soybean by stimulating photosynthesis and  $\varepsilon_{c}$ , and not by affecting the interception of solar radiation ( $\varepsilon_i$ ). Similarly, the primary effect of elevated O<sub>3</sub> on biomass accumulation was a reduction in  $\varepsilon_c$  across the growing season. Elevated O<sub>3</sub> reduced the accumulation of aboveground biomass and although elevated O3 increased the rate of senescence, this occurred deep in the canopy and had minimal effect on  $\varepsilon_i$ . Radiation use efficiency is widely used in empirical models that predict productivity (Bartelink et al., 1997; Nouvellon et al., 2000; Medlyn et al., 2003; Berry & Roderick, 2004; Chen & Coughenour, 2004; Richter & Semenov, 2005). However, only a few studies have examined the effects of  $CO_2$  or  $O_3$  on  $\varepsilon_c$  (Rudorff et al., 1996; Manderscheid et al., 1997, 2003; DeLucia et al., 2002; Van Oijen et al., 2004) and all but one of these studies have been in enclosed systems. The soybean agro-ecosystem occupies approximately 30 million hectares in the United States and has an approximate value of \$19 billion (http://www.ers.usda.gov/News/ soybeancoverage.htm). Current yield losses to O3 are estimated at \$2 billion annually (Murphy et al., 1999). Knowing how  $\varepsilon_c$  and  $\varepsilon_i$  respond to elevated CO<sub>2</sub> and O<sub>3</sub> will thus be crucial to efforts that seek to optimize productivity of soybean in the future.

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